



CITY OF MODESTO

2012 - 2013
ANNUAL ACTION PLAN



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EXECUTIVE SUMMARY

In order to be eligible to receive CDBG, HOME, and ESG funds, the City of Modesto must submit a Consolidated Plan to the Department of Housing and Urban Development (HUD) every five years, and is required to update that plan annually. The Consolidated Plan is an assessment and analysis of local conditions and issues related to housing, homelessness, community development, and economic development. Utilizing a comprehensive outreach and citizen participation process, the Consolidated Plan describes priority needs facing the community and develops strategies to address those needs. This 2012 Action Plan (Plan) is the third of five required annual updates to the 2010-2015 Consolidated Plan.

The annual Plan also identifies funding priorities and details the projects proposed to receive Federal funds under the Community Development Block Grant (CDBG) Program, HOME Investment Partnership (HOME) Program and Emergency Shelter Grant (ESG) Program.

PRIOR YEAR SELF-EVALUATION

The City of Modesto received \$2.1 million in CDBG entitlement funds In FY 2011/12. The City funded 20 public service programs. The funding for these programs encompassed a variety of services to benefit youth, seniors, homeless persons, and other special needs groups. Award amounts ranged from \$9,892 to \$39,568. The City also supported community development projects based on clearly identified needs. Among these initiatives were programs that focused on handicap accessibility projects and home maintenance repairs for special needs populations and low to moderate-income homeowners. Both the public service programs and the community development projects were based on priorities identified in the Consolidated Plan.

The City of Modesto provided decent and suitable housing through two housing rehabilitation program, the Home Repair Program/Disabled Access Program and the Minor Home Repair Grant Program. These programs offered financial and technical assistance for owner occupied homes and mobile homes.



Major repairs such as roofs, HVAC, windows, bathroom remodels, electrical, and handicap modifications were completed through the loan program, and small repairs, such as leaking faucets and electrical repairs, water heater replacement, light fixture replacement, etc. were completed through the grant program.

United Samaritans Foundation (USF) provided meals five days a week to low-income persons and persons experiencing homelessness.

The City of Modesto funded Disability Resource Agency for Independent Living (DRAIL) Assistive Technology Program to purchase durable medical equipment, assistive technology and adaptive aids.

The City also provided financial assistance to agencies that assist seniors with food and social services. These programs are available to individuals and families citywide. Both programs serving seniors provided accessibility to suitable living environments Second Harvest Food Bank (SHFB).



In 2009, the city allocated more than \$100,000 in block grant funds for the demolition and disposal of the 153-foot tower and its 100,000-gallon water storage tank. The demolition, which took place in March, 2012, is a step toward developing a 48-unit apartment complex for seniors with low to moderate incomes.



The City of Modesto utilized entitlement funds to assist people that are homeless improve their quality of life. The need for emergency and transitional shelters for the homeless population continues to grow in Modesto. For the past few years, the City of Modesto has annually supported a winter emergency shelter and has directed funds for the purpose of sheltering homeless people.

In this FY, Center for Human Services received \$31,967 in ESG funds to assist homeless families or those faced with imminent homelessness with safe, reliable short-term shelter. The Haven's Women's Center of Stanislaus provided a transitional living facility to serve women who have experienced domestic and sexual violence. Services included crisis intervention, safety planning, peer counseling, and legal and other system advocacy.

In May 2011, City Council approved the purchase of a 2,240 square-foot, three-bedroom, two-bathroom duplex constructed in 2002 on a 10,947 square-foot lot. The site was purchased in order to convert it into a 2,400 square-foot community center with a meeting hall, four offices, an outdoor area, and a small commercial kitchen. The establishment of a community center in the Airport Neighborhood has long been a top priority for residents and other stakeholders of the neighborhood. City staff worked closely with project architect in finalizing the plans and technical specifications. The project is planned to be out to bid in May, 2012 with construction starting in June/July 2012 and project completion in December 2012

CDBG and RLF provided funding in 2011/2012 for revitalization efforts in the Airport Neighborhood. This funding went primarily towards:

- ◆ Code Enforcement
- ◆ Housing Demolition/Board ups
- ◆ Neighborhood Clean ups
- ◆ Economic Development



A project that has been a great success for the City of Modesto was a combined effort between the City of Modesto and the County of Stanislaus to clean up the Airport Neighborhood, a revitalization strategy area for Modesto. Located in a low-income census tract known for illegal dumping and blight as well as criminal activity, the larger neighborhood is a mixture of both City and County jurisdictions. City and County residents along with civic groups, faith-based groups, and others came together, filled several dumpsters and cleaned out multiple alleyways and areas that had become blighted dumping grounds.



Efforts in the Airport Neighborhood will continue to focus to enhancing the area's economic development and revitalization, building on the theory that catalyst investments in the area will jump-start other activities and spur additional private investment, increasing pride in the neighborhood and creating a neighborhood of choice instead of a neighborhood of neglect. To adequately address community development needs in the neighborhood, HUD funding will be leveraged with private donations and other forms of state and federal grants to carry out tasks.



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Annual Action Plan

This Annual Action Plan (Plan) outlines activities that will be undertaken during the program year beginning July 1, 2012 and ending June 30, 2013. Activities identified in this Action Plan are based on current priorities and level of need. By addressing these priorities, the City hopes to meet local objectives identified in the 2010-2015 Consolidated Plan. Proposed activities and projects are intended to principally benefit citizens of Modesto who have extremely low-, low-, and moderate-incomes and populations that have special needs, such as the homeless, elderly, disabled persons, persons with HIV/AIDS, and other special needs populations.

Citizen Participation 91.200 and 91.220(b)

Two of the formal objectives of the Plan are to: 1) promote citizen participation in the development of local priority needs and objectives; and 2) encourage consultation with public and private agencies to identify shared needs and solutions to persistent community problems. The Housing Division of the Parks, Recreation and Neighborhoods Department has the full support and commitment from the Mayor and City Council.

The City of Modesto invites the community to provide input on the way grant funds from the Department of Housing & Urban Development (HUD) are spent. This Citizen's Participation Plan outlines the way the City communicates with residents regarding the use and expenditure of HUD funds.

The City continues to address potential gaps in the delivery system. Among City departments that are involved in housing and community development programs, Memorandums of Understanding (MOUs) are in place that delineates program and reporting requirements for each department. Other examples of efforts to overcome gaps in the institutional structure and to enhance coordination include:

- ◆ The City's Housing Division staff works with the City's Finance, Parks Planning, and Public Works Departments to ensure that capital improvement projects are on schedule, and that invoices are paid in a timely manner.
- ◆ The Acting Deputy Director for HUD programs meets regularly with key Housing Division staff members to discuss the status of CDBG, ESG and HOME projects and upcoming meetings.
- ◆ Housing Division staff participate in the following the community/ collaborative committees on a regular basis: Stanislaus County Housing and Support Services Collaborative, Emergency Food and Shelter Board, United Way Impact Councils on Building Strong Neighborhoods, and Safety Net.
- ◆ The HOME Program Manager is involved in the update of the City's Housing Element, particularly in the discussions surrounding multi-family and affordable housing, and a review of existing HUD-funded housing programs and an evaluation of their effectiveness.

Community Meetings

Community and neighborhood meetings are organized by City staff to seek community input and identify community needs. These meetings serve several purposes:

- ◆ Obtains community input about funds should be spent;
- ◆ Solicits information about neighborhood needs;
- ◆ Builds a sense of community among neighborhood residents;
- ◆ Encourages community empowerment and greater community voice;
- ◆ Provides neighborhoods with information about city services.

Citizens' Housing & Community Development Committee (CH&CDC)

The CH&CDC provides overall review of the City's HUD funds. The committee makes funding recommendations to the Modesto City Council regarding the HUD Community Development Block Grant, Emergency Solutions Grant (formerly Emergency Shelter Grant), and HOME Investment Partnership Grant programs as well as other one-time HUD funded programs such as the Neighborhood Stabilization Programs

There are 13 members of the committee:

- ◆ Five (5) citizens at-large representing a cross-section of the community;
- ◆ Two (2) representatives from the Citizens Redevelopment Advisory Commission;
- ◆ One (1) representative from each of the following: Housing Rehabilitation Loan Committee, Human Relations Commission, Modesto Planning Commission, and the Stanislaus County Board of Supervisors;
- ◆ Two (2) members of the Modesto City Council.

To avoid a perceived conflict of interest, no person who is a member of the board of directors of, or is employed by, an agency that applies for or receives CDBG, ESG, HOME, or other HUD funding will be eligible to vote on funding decisions involving the said agency regarding that funding source.

The Committee meets approximately once a month. These are public meetings, and the public is welcome and comments are encouraged. There is at least one meeting scheduled during the public comment period for the Annual Action Plan, the Consolidated Plan, any substantial amendments to the Plans, and the CAPER. These meetings are publicly noticed and are generally held at Tenth Street Place.

The Draft FY 2012-13 Plan was prepared with oversight by the CH&CDC. Other key aspects of the planning process were:

- ◆ Consultations with key stakeholders, including representatives of Housing Authority of Stanislaus County; non-profit organizations that provide housing, facilities, and/or services to low- and moderate-income residents; and neighborhood organizations.
- ◆ Consultation with the general public through workshops and public hearings (see Citizen Participation below).
- ◆ The Stanislaus County Housing and Support Services Collaborative (SCHSSC) Executive Committee is the primary decision making body for the Continuum of Care. The collaborative executive committee meets at least monthly and sets the organization's objectives.

Quantitative data on the ethnic or racial characteristics of the homeless are not available.

The City holds a minimum of two neighborhood meetings each year in low-income census tract areas to encourage participation of low- and moderate-income persons in conjunction with development of the Annual Action Plan and/or Consolidated Plan. These are held at different times and locations in an effort to accommodate different households.

The City also conducts a minimum of one community meeting annually with service providers as the primary audience. The service provider meeting is held during the day to accommodate service providers. Additionally, the City will, as needs are identified, make presentations at meetings hosted by civic groups, faith-based groups and other community organizations.

Spanish-speaking translators are available at all meetings. If a request for other language translators is made at least five working days prior to a public meeting, translators will be provided. All community input meetings will be held at public buildings with disabled access.

To obtain community input on the development of this Plan, Housing Division staff requested to be included on the agenda for local focus groups and forums providing health services, social and fair housing services, including those focusing on services to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, the homeless and the chronically homeless persons. Presentations to these groups outlined the eligible uses of these funds, how the funds are currently utilized, and proposed future uses.

Housing Division staff presented at the following meetings:

- ◆ April 9 1:30
Commission on Aging/Area Agency on Aging Advisory Council
121 Downey Avenue Suite 102
- ◆ April 10 4:00
King Kennedy Memorial Center 601 S. Martin Luther King Drive Class Room A
- ◆ April 10 5:30
Neighborhood Center at Marshal Park 420 Chicago Avenue
- ◆ April 18 12:00 (noon)
Citizens Housing and Community Development Committee
1010 Tenth Street Rm 3001
- ◆ May 8 5:30 Public Hearing
City Council Chambers at 1010 Tenth Street, Council Public Hearing

Public Hearings and Legal Public Notices

Legal public notices for the public hearings and the public comment period are published in the local newspaper of general circulation, as well as the Hispanic paper of general circulation at least 30 days prior to the hearing unless HUD specified a different time period.

Public hearings are held during regularly scheduled City Council meetings in the City Council Chambers. Any resident choosing to speak will be provided an opportunity to do so in accordance with established Council protocol. Any comments received, whether orally or in writing, will be considered in the preparation of the report and will be submitted to HUD with the applicable written report.

A public hearing notice was published in the Modesto Bee, Vida Del Valle and on the City of Modesto website to solicit input on the 2012-13 DRAFT Plan. The notice was translated into Spanish and was distributed to neighborhood organizations that serve low-income and hard-to-

reach residents. Persons who did not want to speak at the public hearing were encouraged to provide written comments to the Housing Division. A copy of the public notice and comments received can be found in [Attachment A](#).

Comments were accepted from April 3, 2012 to May 8, 2012. The City seeks to address as many of the public's concerns and priorities as possible. However, the lack of adequate funding to address all needs during the third-year period covered by this Plan means that not all priority needs can be addressed, or fully addressed.

All locations were accessible to persons with disabilities, and translation services were made available to the public. A Spanish language translator was also available at all public meetings. In addition, public notices pertaining to the public consultation meetings were issued in both English and Spanish.

As a result of new federal regulations for the ESG program released in late 2011, the City, on March 26, 2012, released a request for proposals (RFP) soliciting proposals from eligible organizations to provide homeless prevention and rapid re-housing assistance to eligible individuals and families.

Proposals were due on April 9. One proposal was received from Children' Crisis Center. The CH&CDC reviewed the Draft FY 2012-13 AAP along with the allocation of funds to the Children' Crisis Center on April 18, 2012.

The Public Notice closed with a Public Hearing on May 8, 2012 in Council Chambers.

Public Access to Information

As required by law, the City of Modesto provides the public with reasonable and timely access to information and records relating to the development of the Annual Action Plan, the Consolidated Plan, any substantial amendments of those Plans, and the CAPER.

The written reports submitted to HUD each year will be available to the public free of charge at the following location:

City of Modesto
Parks, Recreation and Neighborhoods Department
Tenth Street Place
1010 Tenth Street Suite 4400
Modesto, California

Additionally, most documents are also available on-line at www.modestogov.com.

Technical Assistance

The City accepts funding proposals for public service and emergency solutions grants as new funding becomes available. All potential applicants for funding are encouraged to contact City staff for technical assistance before completing a proposal.

The technical workshops will include the following:

- ◆ Activities eligible for funding;
- ◆ Required leveraging for award of funds;
- ◆ Rating criteria for proposals;

- ◆ Documentation required to be submitted with the proposal.

Service providers who have received funding in the prior two fiscal years will be notified of these workshops at least 10 days in advance of the workshop along with any others who have requested to be on the mailing list. In addition, City staff will make every effort to identify potential new service providers and others who may be interested in participation. Notice will at a minimum be by correspondence sent directly to the known list of service providers. In addition, notice may also include press releases, email, and other forms of notification as appropriate.

Complaints

Citizens with complaints concerning the Consolidated Plan, the Annual Action Plan, any substantial amendments to those Plans, or the CAPER, should contact the City at 1010 Tenth Street, Suite 4300, Modesto, California in writing at:

City of Modesto
Parks, Recreation and Neighborhoods Department
P O Box 642
Modesto, CA 95353
Telephone (209) 577-5247

Complaints will be responded to in writing within 15 days. A record will be maintained of all complaints received including the nature of the complaint, referrals made, and the final disposition.

Contacting City Housing Division Staff

There are a number of ways to contact City of Modesto Housing Division staff.

Telephone: (209) 577-5247
Email: housing@modestogov.com
In person: 1010 Tenth Street, Suite 4400
Modesto, California
Mail: City of Modesto
Parks, Recreation, & Neighborhoods Department – Housing Division
P.O. Box 642
Modesto, California 95353

Residential Anti-Displacement and Relocation Policy

The City of Modesto will comply with all federal regulations governing residential anti-displacement and relocation assistance as they pertain to the CDBG and HOME programs.

To assure compliance, the City of Modesto:

1. Identifies reasonable steps to minimize the displacement of persons from their homes as a result of an assisted project;
2. Replaces all occupied and vacant occupiable "low/moderate-income dwellings" that are converted to a use other than "low/moderate-income dwellings" or are demolished for a project;
3. Provides relocation assistance to low/moderate-income households (including families and individuals) displaced as a direct result of the conversion of a low/moderate-income dwelling or the demolition of any housing for a project;

4. Provides reimbursement for actual reasonable out-of-pocket costs incurred in connection with temporary relocation, including moving expenses and increased housing costs, if the person must relocate temporarily because continued occupancy of the unit constitutes a substantial danger to the health or safety of the person or public.

Amendments to the Citizens' Participation Plan

The Citizens' Participation Plan can be changed only after the public has been notified of the intent to modify it, and only after the public has had a reasonable opportunity (30 days) to review and comments on the proposed substantial changes to it. At a minimum, the Citizens Participation Plan is to be reviewed with each update of the City's Consolidated Plan.

Definitions

Annual Action Plan: This document updates the Consolidated Plan on an annual basis and allocates one year's funding (entitlement and program income) to specific projects and activities for the HUD funded programs.

Consolidated Annual Performance Evaluation Report (CAPER): This document reports on the progress made in carrying out the Consolidated Plan and Annual Action Plan. The City of Modesto prepares the report annually in accordance with 24 CFR Part 91.

Consolidated Plan: This document is submitted to HUD and serves as the planning document of the jurisdiction and an application for funding for the HUD funded programs. The document is developed in accordance with 24 CFR Part 91 and establishes the priorities and strategies of the various programs over a five-year period. **Legal Public Notice:** Legal public posting by the City Clerk's Office in the local paper of general circulation with the purpose of announcing a legal action or intent.

Public Notice: Announcement which may take the form of advertising, press releases, or other communication tools and utilized to get information out regarding programs or upcoming events.

Substantial Amendment: An amendment to the Consolidated Plan or Annual Action Plan which involves implementing an activity not previously described, a change in the purpose, scope, location or beneficiary of an activity, or an increased appropriation to an existing activity in excess of \$50,000 is considered a substantial amendment. An increase or decrease in the amount of HOME funds appropriated to an approved HOME activity shall not be considered a substantial amendment if it does not change the scope, location or objectives of the approved activities or beneficiaries. These changes may however require reporting to the City's governing body based on established City procedures.

Resources 91.220(c)(1) and (c)(2)

The City of Modesto expects to have a total of \$2.6 in new CDBG, ESG and HOME funds for program year 2012-2013. The grant amounts indicated below are estimates.

Allocation of funds and assignment of priorities for funding are based upon the national goals set forth by HUD regulations, and local goals for housing and community development. Local goals, consistent with HUD regulations, focus on building up and improving the City's residents and neighborhoods.

2012-13 Estimated Revenue

Community Development Block Grant

Entitlement	1,703,833
Program Income (RLF)*	200,000
Program Income (EN)*	50,000
Prior Year Reprogrammed Fund**	2,897,011
Total CDBG	\$4,850,844

Emergency Solutions Grant

Entitlement	182,643
2 nd allocation to be expended in FY 2012-13	45,678
Total ESG	\$228,321

*2nd allocation received in FY 2011 - \$57,698. Difference of \$12,020 for 2011-2012 Administration

HOME Investment Partnership Grant

Entitlement	671,157
Program Income*	50,000
Total HOME	\$721,157

*Program Income is expected to be earned from repayments to loan programs.

** Financial data as of 04/01/2012

The following is a list of preliminary funding recommendations for the 2012-2013 CDBG, ESG and HOME programs.

Community Development Block Grant (CDBG) Funds

CDBG funds are limited to activities which

- ◆ benefit low- and moderate-income persons
- ◆ aide in the prevention or elimination of blight and
- ◆ address other urgent community development needs.

The CDBG program is directed toward neighborhood revitalization through the funding of local programs that support the empowerment of low-income households through

- ◆ workforce development initiatives
- ◆ economic development
- ◆ housing and

- ◆ the provision of improved community facilities and services.

CDBG Budget	FY 2012-13 Entitlement	Anticipated Program Income	Total PY Funds Committed to Activities	
			EN*	RLF*
Homebuyers Assistance Program (HAP)	100,000	0.00	0.00	0.00
Home Repair Program (HRP)/Disabled Access Program (DAP)	0.00	200,000	0.00	364,343
Housing Rehabilitation Administration	371,640	0.00	0.00	0.00
Lead-Based Paint Services	2,500	0.00	0.00	0.00
Minor Home Repair Grant Program (MHRGP)	50,000	0.00	0.00	0.00
Energy Efficiency Improvement Program (EEI)	60,000	0.00	0.00	0.00
Temporary Relocation	2,500	0.00	0.00	0.00
1230 12th Street Retrofit	0.00	0.00	0.00	50,000
Economic Development	0.00	0.00	0.00	250,000
Public Facilities & Improvements Accessibility Features	58,184	0.00	490,716	0.00
Section 108 Loan Repayments (Principal/Interest)	377,906	0.00	0.00	0.00
Neighborhood Revitalization Strategy - Empire Street Project	0.00	50,000	704,575	476,955
Neighborhood Cleanup /Demolition	25,000	0.00	0.00	0.00
Code Enforcement - Neighborhoods	48,456	0.00	0.00	0.00
Neighborhood Airport Community Center	0.00	0.00	185,000	116,000
Neighborhood Revitalization Strategy	0.00	0.00	244,584	14,838
CDBG Administration	310,767	0.00	0.00	0.00
Fair Housing Activities	40,000	0.00	0.00	0.00
Public Service Funding	256,880	0.00	0.00	0.00
TOTAL CDBG Funding	\$1,703,833	\$250,000	1,624,875	1,272,136

* Financial data as of 04/01/2012

Beginning in FY 2011-12, Council approved a two-year funding cycle for CDBG Public Services and ESG grants, which are competitively awarded through a request for proposals (RFP) process. The Council-appointed Citizens Housing & Community Development Committee (CH&CDC) reviews these proposals and made funding recommendations. The grant amounts of the CDBG Public Services and ESG funding recommendations have been adjusted for the second year of this two-year funding cycle to reflect the available funding for FY 2012-13.

CDBG Public Services and ESG assistance may be available to eligible individuals and families of Modesto, including Stanislaus County unincorporated areas of Modesto as per 24 CFR 570.309.

For the purposes of CDBG Public Services (non-housing activities only), the City of Modesto defines “elderly” as 55 years of age or older as per 24 CFR 570.208(a)(2)(i)(A). This definition pertains to activities that exclusively benefit a clientele who are generally presumed to be principally low- and moderate-income persons.

CDBG Public Service Funding	FY 2012-13
Aspiranet - Advocate for Emancipated Foster Youth / Youth Experiencing Homelessness Project	21,295
Court Appointed Special Advocates (CASA) of Stanislaus County - Court Appointed Special Advocate Training and Support	12,777
Family Promise of Greater Modesto - Case Management	11,239
Habitat for Humanity, Stanislaus - Housing Counseling	12,777
Howard Training Center - Senior Meals Program	12,777
Modesto City Schools - Healthy Start Family Resource Center at Orville Wright Elementary School	25,554
Parent Resource Center - Mom's Moments Parenting Program	12,777
Second Harvest Food Bank of San Joaquin and Stanislaus Counties - Food Assistance and Food 4 Thought	34,072
Stanislaus Multi-Cultural Community Health Coalition/ West Modesto King Kennedy Neighborhood Collaborative - Enhanced Refresh Program	8,518
United Cerebral Palsy of Stanislaus County - Community Project for Safe Seniors	8,518
United Samaritans Foundation- Daily Bread Mobile Lunch Program	12,777
City Of Modesto - Recreation Programs	68,144
American Red Cross – Emergency Response Services	12,777
Disability Resource Agency for Independent Living (DRAIL) – Assistive Technology Program	2,878
TOTAL CDBG Public Service Funding	\$256,880

Emergency Solutions Grant (ESG) Funds

The ESG program requires a match of an equal amount of funds from sources other than ESG. According to the ESG regulations, the City may comply with this requirement by providing the matching funds itself, or through matching funds provided by any nonprofit recipient.

The intent of the ESG program is to provide assistance to individuals and families experiencing homelessness and those at risk of experiencing homelessness. Eligible activities include

- ◆ Emergency Shelter and Street Outreach
- ◆ Homelessness Prevention
- ◆ Rapid Re-housing
- ◆ Homeless Management Information System (HMIS)
- ◆ Shelter Renovation

Proposed ESG Funding		FY 2012-13
Children's Crisis Center - Respite Child Shelter Program	Shelter	27,121
Children's Crisis Center	Homeless Prevention	105,043
Center for Human Services - Pathways	Shelter	34,072
Haven Women's Center of Stanislaus	Shelter	12,777
Family Promise of Greater Modesto (FPOGM)	Shelter	1,538
Salvation Army – Berberian Homeless and Transitional Living Center	Shelter	34,072
Administration		13,698
TOTAL ESG Funding		\$228,321

The Stanislaus County Continuum of Care is working with the appropriate local and State government agencies to ensure that discharge policies for persons leaving publicly funded Stanislaus County institutions or systems of care are being developed and implemented to prevent the discharge of persons from immediately resulting in homelessness. The following illustrates policies currently in place within the County and future plans to improve the discharge planning process.

The state has policies in place that require health care facilities to participate in regional planning meetings and develop a specific document to identify best practices for the post-hospital transition of homeless patients, methods to establish and support effective communications between hospitals and stakeholders regarding this transition and the identification of resources. Local health care facilities have specific protocol in place requiring a safe discharge for all patients. The Stanislaus County Public Health Agency reestablished the task force to review the current protocol in place and address any gaps in services necessary to ensure successful discharge planning services. The Public Health Agency has become actively involved in the CoC and is working towards developing liaisons with housing services agencies

within the CoC to update the existing discharge planning protocol. Currently in place, there are discharge planning social workers on staff at the hospitals who work with service providers to locate appropriate housing and prevent the release of patients to the streets or to HUD McKinney-Vento funded emergency shelters, transitional or permanent housing units.

HOME Investment Partnership (HOME) Funds

HOME grants in partnership with local nonprofit groups

- ◆ fund a wide range of activities that build, buy, and/or rehabilitate affordable housing for rent or homeownership
- ◆ provide direct rental assistance to low-income people

Proposed HOME Funding (includes program income)	FY 2012-13
Administration	67,116
Direct Loans	514,809
HOME Community Housing Development Organization (CHDO) Set Aside	100,674
HOME Community Housing Development Organization (CHDO) Operating	33,558
Program Income	50,000
TOTAL HOME Funding	\$721,157

HOME Matching Funds:

HOME regulations require that participating jurisdictions match those federal HOME funds that are used for housing development, rental assistance or down payment assistance with local sources at a rate of 25%. The City intends to satisfy this requirement by allocating sufficient funds from the Affordable Housing Fund for this purpose.

Housing Opportunities for Persons with AIDS (HOPWA) Funds

The City does not receive HOPWA funds directly.

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Annual Objectives 91.220(c)(3)

**Table 3A Attachment B*

Each activity being funded in the 2012-13 Plan will correspond to one of the following three objectives:

- ◆ Provide Decent Affordable Housing
- ◆ Create Suitable Living Environment
- ◆ Create Economic Opportunities

In addition, each activity includes an outcome category that best reflects what the City of Modesto is seeking to achieve. The three outcome categories are:

- ◆ Availability/Accessibility
- ◆ Affordability
- ◆ Sustainability: Promoting Livable or Viable Communities

Table of Objectives and Outcomes	Outcome 1: Availability/ Accessibility	Outcome 2: Affordability	Outcome 3: Sustainability
Objective DH: Provide Decent Affordable Housing	Accessibility for the purpose of providing decent housing DH-1	Affordability for the purpose of providing decent housing DH-2	Sustainability for the purpose of providing decent housing DH-3
Objective SL: Create Suitable Living Environment	Accessibility for the purpose of providing a suitable living environment SL-1	Affordability for the purpose of creating a suitable living environment SL-2	Sustainability for the purpose of creating a suitable living environment SL-3
Objective EO: Create Economic Opportunities	Accessibility for the purpose of providing economic opportunities EO-1	Affordability for the purpose of providing economic opportunities EO-2	Sustainability for the purpose of providing economic opportunities EO-3

The 3c activity tables detail respective objectives and outcomes for each of the activities proposed in the *2012-13 Action Plan*.

Description of Activities 91.220(d) and (e)

**Table 3C Attachment C*

The three basic objectives for all community development programs, as well as all housing programs against which the City of Modesto's performance is evaluated by HUD are (1) Decent Housing (2) A Suitable Living Environment (3) Expanded Economic Opportunities.

Provide Decent Affordable Housing

- ◆ assisting homeless persons obtain affordable housing;
- ◆ assisting persons at risk of becoming homeless;
- ◆ retaining affordable housing stock;
- ◆ increasing the availability of affordable permanent housing in standard condition to low-income and moderate-income families, particularly to members of disadvantaged minorities without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability;
- ◆ increasing the supply of supportive housing which includes structural features and services to enable persons with special needs (including persons with HIV/AIDS) to live in dignity and independence; and
- ◆ providing affordable housing that is accessible to job opportunities.

Create Suitable Living Environment

- ◆ improving the safety and livability of neighborhoods;
- ◆ eliminating blight influences and the deterioration of property and facilities;
- ◆ increasing access to quality public and private facilities and services;
- ◆ restoring and preserving properties of special historic, architectural, or aesthetic value; and
- ◆ conserving energy resources and use of renewable energy resources.



Create Economic Opportunities

- ◆ job creation and retention;
- ◆ establishment, stabilization and expansion of small businesses (including micro-businesses);
- ◆ the provision of public services directed towards employment;
- ◆ the provision of jobs to low-income persons living in areas affected by those programs and activities, or jobs resulting from carrying out activities under programs covered by the plan;
- ◆ availability of mortgage financing for low-income persons at reasonable rates using non-discriminatory lending practices;
- ◆ access to capital and credit for development activities that promote the long-term economic and social viability of the community; and empowerment and self-sufficiency for low-income persons to reduce perpetual poverty and dependence on federally assisted housing and public housing.

To achieve the City's goals for economic opportunity leading to self-sufficiency, a suitable living environment, and decent housing, a network of support services is needed to ensure that lower-income residents are trained and educated for the labor needs of today and tomorrow. This network is also needed to ensure that lower-income residents have access to transportation between work, home, and other daily activities; and that they have access to health care to

remain self-sufficient. For working parents, access to child care and/or programs for school-age children is important. The network of services must ensure that lower-income residents can live as independently as possible within any physical and developmental abilities, and can be secure in their homes without the threat of violence. The City also places a priority on using public funds to encourage private sector investment, especially towards the recruitment and retention of job-generating enterprises.

Antipoverty Goals, Programs and Policies

Providing opportunities for low-income families to improve their economic status is a focus of effort for the City. The City will work in this area by:

- ◆ Seeking to address economic development through partnerships with the nonprofit and for profit sectors to increase economic opportunity in the City of Modesto.
- ◆ Providing staff support to organizations and businesses interested in furthering economic development opportunities.
- ◆ Enhancing relationships with other public agencies that work with low-income families through non-profit workgroups, to address the potential of joint applications for grants that address the needs of low-income families.
- ◆ Continuing with efforts to increase the supply of affordable housing in the community. While the production and preservation of affordable housing on its own will not raise people's income or lift them from poverty, it does contribute to stabilizing living expenses for low-income families, so that they have more discretionary income for other living expenses.
- ◆ Developing a process, in compliance with Section 3 requirements, to give preference to low- and very low-income persons or business concerns employing low- and very low-income persons in contract bidding opportunities for housing construction, rehabilitation, or other public construction projects that use HUD funds.

The City will continue the following community development priorities, strategies, and objectives in the 2012-2013 Plan:

Priority CD-1 Support Neighborhood Revitalization Efforts

The City of Modesto is committed to investing heavily in the social, economic, and physical revitalization of the Airport Neighborhood. The Plan is informed by and will be largely carried out by committed stakeholders, including residents of the Airport Neighborhood themselves, to foster a strong sense of ownership in their community, as it is vital for a successful revitalization. The City's efforts in the Airport Neighborhood have helped foster a critical mass of leadership and commitment in the neighborhood. The City has been working diligently with a broad group of stakeholders since January 27, 2009 when the U.S. Department of Housing and Urban Development (HUD) approved its plan to designate the Airport Neighborhood a Neighborhood Revitalization Strategy Area (NRSA). This approval offers enhanced regulatory flexibility designed to promote innovation in intensively carrying out economic development, housing, public service, and other community development activities with Community Development Block Grant (CDBG) funds to effectively stimulate revitalization.

A robust community planning process driven by residents and community organizers of the Airport Neighborhood, residents from the broader community, and representatives from local community-based organizations and Stanislaus County has informed the NRSA Plan (the Plan). The Plan is aimed at improving the social, economic, and physical environments of the Airport Neighborhood. In addition to the originally-proposed activities of establishing a community center; investing in infrastructure; carrying out code enforcement; and creating economic opportunity, the City in partnership with HUD, Stanislaus County, and a broad group of

stakeholders are committed to community capacity-building as a means for residents of the Airport Neighborhood to lead their neighborhood's revitalization. The complexity of issues in the Airport Neighborhood requires an equally sophisticated plan.

The City's Community Development Program Specialist is responsible for overall planning and implementation of the Plan. The City's ultimate goal is to contribute toward the revitalization of the Airport Neighborhood from the inside out by investing in place-based, community capacity-building activities that empower residents to build a sense of community, identify issues that matter most to them, and develop and implement strategies for addressing these issues. In addition to overseeing the technical aspects of carrying out the Plan, it is the City's Community Development Program Specialist's responsibility to "lead from behind" to help move this process forward.

Strategy CD-1A: Provide CDBG funds for neighborhood clean-up and crime-prevention activities.

- ◆ **Objective CD-1A:** Airport Neighborhood Community Center - site acquisition and new construction/conversion/rehabilitation of a community center that will serve residents of the Airport Neighborhood. This public facility will be located near other public facilities in the neighborhood, particularly Orville Wright Elementary School (the sole school and hub of activity in the neighborhood) and George Rogers Park (the neighborhood park), and will provide a space for residents to access and carry out community, cultural, educational, and recreational activities, and services.
- ◆ **Objective CD-1A** City of Modesto staff will work closely with Airport Neighborhood residents and other stakeholders, including the local school, Stanislaus County staff, and nonprofit service providers, in developing a management plan for the community center to ensure that neighborhood residents have access to the public facility to the greatest extent possible.

The Airport Neighborhood community center will be located near other public facilities, particularly Orville Wright Elementary School and George Rogers Park (neighborhood park), and will provide a space for residents to access and carry out community, cultural, educational, and recreational activities and services. City of Modesto and Orville Wright Elementary School will explore a joint-use agreement to share maintenance and operations costs to better serve residents of the neighborhood.



City of Modesto will work closely with residents and other stakeholders when developing the management plan for the community center to ensure that residents have access to the public facility to the greatest extent possible.

- ◆ **Objective CD-1A.i** Assist neighborhood clean-up projects for the City's low-income residents through Neighborhood Clean-Up events. (Line 03K) Modesto

In an effort to not only arrest but reverse the decline of the neighborhood and recognizing that blight begets blight, City of Modesto partners with residents of the Airport Neighborhood and local service organizations to carry out neighborhood cleanup and beautification projects three times a year. This campaign empowers residents to take their neighborhood back from those who illegally dump in the streets, parks, and



alleyways by providing them with what they need to cleanup and beautify their neighborhood. This campaign is driven by residents and provides City staff an opportunity to work side-by-side with residents.

- ◆ *Objective CD-1A.ii* Fund code enforcement officers that work in low-income census tracts, along with support staff to serve about 2,184 households annually, or 10,920 over five years. (Line 15)

The City's Code Enforcement Unit (CEU) enforces municipal codes and land use requirements, and educates and builds cooperative relationships with neighborhood residents, both property owners and tenants. CEU not only works in a timely, responsible, fair, and impartial manner but also serves as an ally to tenants and property owners empowering them to improve the physical conditions of their neighborhood by addressing hazardous and deteriorating conditions and to deter crime.

- ◆ *Objective CD-1B.i* Airport Neighborhood Special Economic Development - financial assistance (grant) to a private, for-profit business to develop a supermarket to provide residents of the Airport Neighborhood with jobs and affordable, accessible, and healthy food options. Activity will provide financial assistance to one private, for-profit business.

The City's Community and Economic Development Department (CED) builds relationships with residents and other stakeholders, local business owners, and potential investors to attract a supermarket to the Airport Neighborhood to promote job creation and promote accessible, healthy, and affordable nutrition. In addition to building relationships with potential developers and grocers to stimulate the development of a supermarket, CED provides technical assistance to activities within the larger Plan relating to economic development.

- ◆ *Objective CD-1B.ii* Airport Neighborhood Special Economic Development - technical assistance to for-profit businesses interested in developing a supermarket to provide residents of the Airport Neighborhood with jobs and affordable, accessible, and healthy food options.

As is characteristic of so many low-income neighborhoods, the Airport Neighborhood has a multitude of "convenience" stores that sell tobacco and alcohol products and overpriced, highly processed or otherwise unhealthy foods. Attracting a culturally appropriate supermarket would not only provide Airport Neighborhood residents access to affordable and healthy food options, but would also represent the creation of scores of jobs for residents of the neighborhood. City of Modesto will incentivize the development of a culturally appropriate supermarket to the neighborhood through the provision of financial assistance (grant) to a private, for-profit business that will develop such a supermarket and commit to vicinity hiring.

- ◆ *Objective CD-1C:* Airport Neighborhood Public Services - neighborhood revitalization and community economic development activities, such as youth development programming, case management services to address a variety of barriers to economic development, and leadership development programming for adults.

Schools generally represent a safe space for community activity. The Airport Neighborhood's only school is no exception. Orville Wright Elementary (Modesto City Schools) and its Healthy Start Family Resource Center serve as the nexus of neighborhood organizing and is the hub of neighborhood activity. Its principal and Healthy Start Family Resource Center administrator have developed strong and trusting relationships with residents throughout the neighborhood,

as well as with government stakeholders and other groups and individuals committed to revitalizing the neighborhood.

- ◆ **Objective CD-4B.i** Capital improvement project (CIP) of Empire Avenue from Monterey Avenue to Hillside Drive.

The Empire Avenue capital improvement project (CIP) has completed surveying the area and engineering plans, and recently began right-of-way and construction easement acquisition. This section of Empire Avenue was strategically selected for its proximity to Orville Wright Elementary School; to improve access to the southernmost street of the neighborhood, Hillside Drive, and Legion Park; and to improve one of the arterial streets of the neighborhood. Construction is scheduled for summer 2011 and project completion for fall 2011. CIP includes street widening, new sidewalks, improved drainage, and new lighting.

**Airport Neighborhood
Neighborhood Revitalization Strategy Area (NRSA) Activities**

Activity	Funding Amount	Outcome
Empire Avenue CIP	\$1,284,891	Improve strategic section of arterial street. Increase property values on/near this section.
Community Center	\$420,000	Create space for residents to access and carry out community, cultural, educational, and recreational activities and services
Code Enforcement	*\$48,456	Address hazardous and deteriorating conditions and deter crime.
NRSA Citizen-Driven Project/CBDO	\$31,000	Build the leadership of residents of the Airport Neighborhood to directly participate in the revitalization of their neighborhood
Economic Development - Financial Assistance	\$100,000	Create jobs and accessible, affordable, and healthy food options.
CDBG-R Public Services	\$94,762	Carry out economic development and community capacity-building through skill-building, microenterprise development, and leadership development.
Economic Development - Technical Assistance	\$34,046	Attract culturally appropriate supermarket.
CDBG Public Services	\$20,000	Provide one-on-one support and case management for residents to address a multitude of issues.
Neighborhood Cleanup Campaign	*\$25,000	Provide residents and service organizations with resources to address blight in their neighborhood.

*While activity primarily serves the Airport Neighborhood, it is also available to the City's thirteen other low-income census tracts.

The detailed NRSA Plan is available at www.modestogov.com

Priority CD-2 Promote Economic Development Activities

Strategy CD-2A: Use CDBG funds to support activities associated with the Workforce Development Collaborative.

- ◆ **Objective CD-2A.i:** Fund a Business Analyst \$34,046 for 2012-20123 (Line 21A)

Strategy CD-2B: Develop a process, in compliance with Section 3 requirements, to give preference to low- and very low-income persons or business concerns employing low- and very low-income persons in contract bidding opportunities for housing construction, rehabilitation, or other public construction projects that use HUD funds.

- ◆ **Objective CD-2B.i:** Generate 7 jobs annually or 35 jobs over five years, for low- and very low-income persons by giving preference in contract bidding for HUD-funded projects. (Line 05H)

Strategy CD-2C: Use CDBG funds to support adult literacy programs, including English as a Second Language courses.

- ◆ **Objective CD-2C.i:** Provide literacy services to 20 residents annually or 100 residents over five years. (line 05)

Priority CD-3 Provide Public Services for Residents

Strategy CD-3A: Use CDBG funds to provide supportive services that allow for independent living by populations with special needs.

- ◆ **Objective CD-3A.i:** Serve approximately 41,000 residents annually, or 205,000 residents over five years, with food and nutrition programs for low- and moderate-income persons, including delivery of food to homeless individuals or referred clients temporarily living in hotels, food programs for seniors, and delivery of food to persons with HIV/Aids. (Line 05, 05A, and 05M)
- ◆ **Objective CD-3A.ii:** Fund health services for 11 disabled persons annually or 55 over five years, mainly funding for medical equipment, adaptive aids or assistive devices for the DRAIL program. (Line 05B)

Strategy CD-3B: Provide CDBG and ESG funds to combine supportive services with housing programs for homeless persons and families.

- ◆ **Objective CD-3B.i:** Provide shelter services for 2,500 persons annually or 12,500 over five years, including a seasonal homeless emergency shelter and a transitional shelter for women recovering from alcohol/drug addictions and their children, and case management for families and/or individuals who are homeless or at risk of homelessness. *Note: persons assisted may receive services over multiple years, duplicating numbers over the five-year period.* (Line 05)

Strategy CD-3C: Use CDBG funding to support programs for at-risk youth.

- ◆ **Objective CD-3C.i:** Support a shelter for runaway or homeless youth, serving approximately 72 persons annually, or 364 over five years. (Line 05D)
- ◆ **Objective CD-3C.ii:** Support services for abused or neglected children, serving 21 to 22 persons annually, or 109 over five years. (Line 05N)

Strategy CD-3D: Provide CDBG funding for child care



services.

- ◆ *Objective CD-3D.i:* Support parenting education services for 171 persons annually, or 855 over five years. (Line 05L)
- ◆ *Objective CD-3D.ii:* Fund childcare services at a shelter for abused, neglected and at-risk children, serving 120 persons annually, or 600 over five years. (Line 05L)

Priority CD-4 Fund Public Facilities and Improvement in Low-Income Neighborhoods

Strategy CD-4A: Use CDBG dollars to fund improvements to address accessibility issues for persons with disabilities.

- ◆ *Objective CD-4A.i:* Fund Americans with Disabilities Act (ADA) accessibility improvements in 3 public buildings over five years. (Line 03)
- ◆ *Objective CD-4A.ii:* Installation of ADA-compliant curb ramps at 6 priority intersections over five years. (Line 03L)



Strategy CD-4B: Use CDBG funds to pave streets in low-income areas where such amenities are non-existent or in a state of neglect.

- ◆ *Objective CD-4B.i:* Pave 12 street segments over five years. (Line 03K)

Strategy CD-4C: Provide funding support for the City's Property Agent with CDBG funds to pursue the purchase of land or right-of-way for CDBG-funded projects.

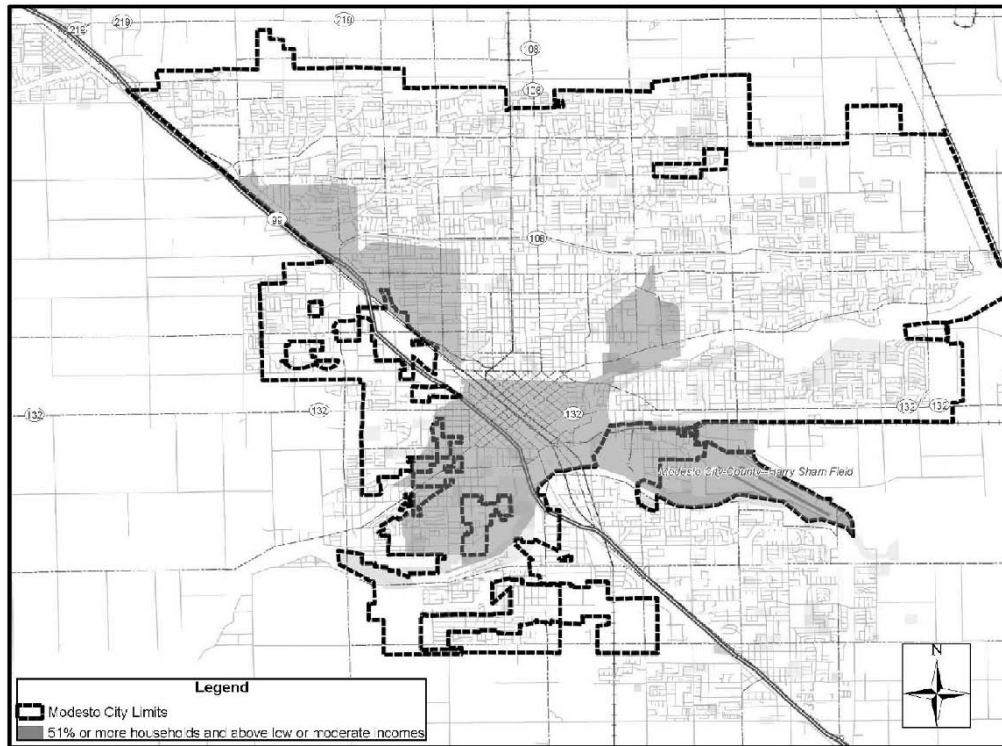
- ◆ *Objective CD-4C.i:* Provide \$280,000 over five years for the City's Property Agent to acquire property. (Line 01)

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Geographic Distribution/Allocation Priorities 91.220(d) and (f)

Modesto is conveniently located in California's Central Valley. San Francisco, Sacramento and Yosemite National Park are some of the many locations easily accessible from Modesto. The figure entitled “**Low and Moderate Income Household Concentrations,**” provides information on the Census tracts in Modesto that have concentrations of households with low- or moderate incomes.

Low and Moderate Income Household Concentrations, City of Modesto, 2000



Sources: CHAS, 2000; BAE, 2010.

Attachment D highlights the Census Tracts where there are concentrations of minority populations. If 51 percent or more of the households in a given Census Tract qualify as low- or moderate-income, or 51 percent or more of the population are members of minority groups, then the tract is defined as an area of concentration. Nine Census Tracts mainly located along Highway 99 and surrounding downtown Modesto, have low- or moderate-income concentrations. Fourteen Census Tracts, including most of the Census Tracts west of Highway 99 and a couple other tracts on the edges of the City limits, have minority concentrations.

Additional figures in **Attachment D** show the Census Tracts where there are concentrations of Hispanic, Black, and Asian/Native Hawaiian/Pacific Islander populations. Across these three figures, the highlighted Census Tracts indicate places where the percentage of a given minority is above the city average and Census Tracts where the percentage of a given minority is twice or more than the City average. In the City of Modesto, an average of 6.8 percent of the population is Asian/Native Hawaiian/Pacific Islander. There is only one Census Tract where this population represents twice or more of the city average, and an additional 14 Census Tracts, mainly in western Modesto, where the population concentration exceeds the City average. Blacks comprise 4.1 percent of the City population, and there is only one Census Tract where Blacks represent more than twice this proportion. Fifteen additional Census Tracts have Black population above the City average, including most of the Census Tracts west of Highway 99 and

some other tracts interspersed throughout the remainder of the City. As for the Hispanic population, there are five Census Tracts concentrated in southern Modesto where the Hispanic population is more than twice the City average of 32.8 percent. An additional seven Census Tracts have concentrations above the City average.

The final figure in [Attachment D](#) highlights the locations of the Licensed Community Care Facilities in the City of Modesto. Licensed Community Care Facilities include adoption agencies, adult day care, adult residential facilities, childcare centers, crisis nurseries, foster family sub agencies, group homes, infant centers, and residential care for the elderly, and school age childcare. These facilities are dispersed relatively evenly throughout the City, and the facilities are not concentrated in the lower income Census Tracts.

Annual Affordable Housing Goals 91.220(g)

** Table 3B Annual Housing Completion Goals Attachment D.*

Priority H-3 Preserve Existing Affordable Housing Stock.

Barriers to Affordable Housing 91.220(j)

The City of Modesto has been faced with a high foreclosure rate in recent years. The recent foreclosure crisis and economic recession has created additional barriers to affordable housing. Many families have lost their homes which created a strain on the rental market. Foreclosed properties have displaced homeowners and tenants, causing them to become homeless because they could not afford to rent a home. A high rate of unemployment in Stanislaus County (17.1% in February 2012) has also made it difficult for many families to afford a home. Many foreclosed homes are ill maintained, becoming blighted properties and depressing neighborhoods.

Actual or potential constraints on the provision and cost of housing affect the development of new housing and the maintenance of existing units for all income levels. Market, government, infrastructure, environmental, and fair housing constraints contribute to this situation.

Most recently the State of California passed State bill AB 1X 26 for the dissolution of Redevelopment Agencies and established a schedule for the wind down of agency affairs. The legislation required to transfer assets to the successor agency as well as other actions including the determination on how the housing functions would be administered. In addition, AB 1X 26 provides that the City, as the entity that created the Agency, may elect to retain the housing assets and obligations of the former redevelopment agency. The City on January 2012 elected to retain the responsibility for performing housing functions previously performed by the Agency, all rights, powers, duties, and obligations of the former redevelopment agency were transferred to the City and also retained funds in the Agency's Low and Moderate-Income Housing Fund that were encumbered.

The elimination of the Redevelopment Agencies created a great barrier to affordable housing. The housing set aside funds were a source of leveraging for many affordable housing projects, which at this point and based in the current economic situation we live in will make extremely difficult for affordable housing projects to secure additional funding. In addition HOME funds were reduce 48% this fiscal year 2012-2013, which creates a major impact for the City of Modesto to create or preserve affordable housing units.

Zoning Code Amendments

As part of this Housing Element update, the City identified several revisions to the Zoning Code necessary to meet recent changes to State laws and to further facilitate the development of affordable housing and housing for persons with special needs. The following are the revisions that have been completed:

- ◆ Revisions to specifically identify in which zones transitional, supportive and single room occupancy housing is permitted by right. As a result transitional housing is permitted by right and supportive housing is permitted up to 6 units, and requires use permit for seven or more units if it operates as a care facility
- ◆ Identify a zone in which at least one additional emergency shelter is permitted by right. As a result of State law a homeless shelter is permitted.
- ◆ Revisions to establish a Mixed-Use Overlay Zone in the downtown area to implement the Redevelopment Master Plan was also completed.

Accessory/Second Units

The Zoning Ordinance allows the development of an accessory living unit on Parcels in residential districts. The City also revised the fees for the construction of a second unit and lowered the rates.

In addition the City lowered the minimum lot size in multifamily zones; and revised the development impact fees, which reduces the cost of building homes.

Strategy H-3A: Work with the HACS to preserve existing affordable housing units at risk of conversion to market-rate units.

- ◆ **Objective H-3A.i:** Preserve 300 affordable housing units in the eight complexes with project-based Housing Choice Vouchers subsidies, through contract extensions.
- ◆ **Strategy H-3B:** Promote rehabilitation and improvements to existing very low- and low-income owner-occupied housing using the Disabled Access Assistance/Emergency Home Repair and Minor Home Repair Grant Programs.
- ◆ **Objective H-3B.i:** Assist 7 to 8 households annually or approximately 35 households over five years through the Emergency Home Repair Program/Disabled Access Assistance Program.

The 2010-2015 Consolidated Plan estimates that a potential 7,670 households in Modesto live in housing with lead hazards. Housing and Neighborhood Services Division Rehabilitation staff developed a workbook to guide contractors through the process when lead-based paint is found or presumed to be present during construction. The workbook contains federal requirements for paint and rehabilitation programs, a flowchart of assistance thresholds, the documentation that is required, and the timeframe to submit the documentation, and cost worksheets on level of rehabilitation assistance. This workbook includes Lead Safe Housing Rule Checklist and Associated Guidance, which complies with Information Bulletin CPD- 2003-017, August 2003.

Housing Rehabilitation staff periodically sponsors an EPA-HUD certified class, “Lead Safety for Remodeling, Repair, & Painting”. Most housing rehabilitation projects are subject to federal regulations for Lead-Based Paint and contractors must be certified in safe work practices when completing the rehabilitation work. This effort in the past has resulted in more contractors being able to bid on and participate in the projects that contain lead-based paint.

The cost for the stabilization of lead based paint can be included in the City’s housing rehabilitation loan program. The cost of the lead-based paint inspection and/or clearance is paid from entitlement funds.

- ◆ **Objective H-3B.ii:** Assist 12 to 13 households annually or approximately 60 households over five years through the Minor Home Repair Grant Program.
- ◆ **Objective H-3B.iii:** Provide funding to support rehabilitation administration, services and supplies necessary to provide technical support and oversight of the City’s housing rehabilitation programs



Public Housing 91.220(h)

The City's priorities are based upon the following broad principles

- ◆ Modesto is a community that values diversity; respects cultural, ethnic and individual differences; and provides equal opportunity and access to services.
- ◆ Modesto is a community where children and youth thrive and succeed, with adequate education, health care, and nutrition.
- ◆ Modesto is a community that has a labor force able to secure and maintain employment.
- ◆ Modesto is a community that provides senior citizens and disabled persons with the support needed to be secure, to function independently, and to contribute to the community.
- ◆ Modesto is a community that has an adequate supply of affordable housing dispersed throughout the community.

Goals contained in the City of Modesto's 2010-2013 Strategic Plan are also relevant to this Plan, including these:

- ◆ Build and promote partnerships that engage neighborhoods and create a sense of community.
- ◆ Provide a safe and inviting community in which to live, work, and play.
- ◆ Provide an innovative and accountable city government that embraces a standard of service through adaptive leadership and responsive public service.
- ◆ Foster a vibrant, competitive and diverse economic environment for business.
- ◆ Champion education partnerships.
- ◆ Promote Modesto.

Specific priorities, strategies, and objectives for the City of Modesto are described below:

As part of the City's preparation of its Draft 2009 - 2014 Housing Element (a part of the Modesto General Plan required under State law), the following priorities were developed in community meetings throughout Modesto:

- ◆ Promote economic development and jobs.
- ◆ Provide street improvements.
- ◆ Ensure traffic safety.
- ◆ Facilitate neighborhood revitalization and clean up.
- ◆ Assist and promote neighborhood-based non-profit organizations.

In addition, the Draft 2009 - 2014 Housing Element identified a number of key issues

related to housing and community development needs:

- ◆ Projected population growth of 60 percent over the next ten years and double over the next 20 years.
- ◆ Employment growth lagging behind population and housing growth during the past 10 years.
- ◆ Geographic and income mismatch between jobs and housing available in the City, resulting in increased commuting time, and worse traffic and air quality, among other issues.
- ◆ Historic increase of housing prices in the Bay Area, causing workers from the Bay Area to seek affordable housing in the San Joaquin Valley.
- ◆ Most significant employment gains in the last ten years were among the service and production/craft/repair occupations, primarily lower paying jobs.
- ◆ Lack of multi-family housing construction, leading to overcrowding and cost burden issues among renter-households.
- ◆ Increase in families without children and decrease in families with children indicate the need for smaller size units to accommodate start-up families.
- ◆ Increase in “other” families, particularly the single-parent households, indicates a need for affordable housing and child care.
- ◆ Approximately 35 percent of the senior households pay more than 30 percent of their limited incomes on housing. Cost burden is particularly prevalent among senior renter households.
- ◆ Limited availability of large rental units for large renter-households.
- ◆ A rising homeless issue county-wide with inadequate services and facilities to address the needs. Of specific note is the rising number of homeless families.
- ◆ Too little supportive housing for special needs populations.
- ◆ Approximately 36 percent of the housing stock is at least 30 years old. On-going maintenance is necessary to preserve and improve the quality of the housing stock.
- ◆ Certain neighborhoods exhibit deferred maintenance.
- ◆ Some affordable housing developments may be eligible to convert to market-rate housing.
- ◆ Lower-income households have limited housing choices and most likely cannot afford to own a house.

Several policies contained the City’s 2009 – 2014 Housing Element were also relevant to the shaping of the Plan priorities. These policies include:

- ◆ *Policy 1.1:* Establish and/or support programs to supply below market housing for extremely low-, very low-, low-, and moderate-income households, as well as market rate housing.

- ◆ *Policy 1.2:* Promote the development of affordable housing throughout the community, where appropriate and compatible with existing uses, and facilitate the development of housing for the unmet needs of lower income special needs groups, including the disabled, elderly, homeless, and large families (five or more persons).
- ◆ *Policy 2.1:* Promote equal opportunity for all residents to live in the housing of their choice by continuing to make a strong commitment to the issue of fair housing practices, as well as ensure that fair housing opportunities prevail for all City residents regardless of age, sex, religion, ancestry, marital status, family status, income or source of income, race, creed, national origin, sexual orientation, or disabilities.
- ◆ *Policy 2.2:* Facilitate the development of accessory units as an affordable housing alternative.
- ◆ *Policy 2.3:* Facilitate the development of entry level housing as well as “step-up” housing and encourage a range of housing types to be constructed in subdivisions and large developments.
- ◆ *Policy 3.1:* Maintain the supply of safe, decent, and sound affordable housing in the City of Modesto through the conservation and rehabilitation of the City’s existing housing stock, focus on the use of City resources for housing rehabilitation, and encourage the development and rehabilitation of housing that is accessible to persons with disabilities.
- ◆ *Policy 3.4:* Make a maximum effort to preserve for its lower income households, the units in assisted housing developments that are eligible to change to uses for other than lower-income households, due to terminations of subsidy contracts, mortgage prepayments, or expiration of use restrictions.
- ◆ *Policy 5.1:* Establish and maintain development standards that support housing production while protecting quality of life goals.
- ◆ *Policy 5.2:* Continue to provide financial incentives such as fee deferrals and exemptions for developments meeting the affordable and special housing needs of the community. Review the City’s fee structure, including development fees, impact fees, and other municipal costs, periodically to ensure that they do not unduly constrain the production of housing, especially affordable housing.
- ◆ *Policy 5.3:* Continue to provide timely and coordinated processing of residential development projects to encourage housing production.
- ◆ *Policy 6.2:* Promote infill development as a method of ensuring maximum utilization of existing urban services.
- ◆ *Policy 7.1:* Encourage the development of workforce housing.

The Stanislaus County Housing & Support Services Collaborative, *Continuum of Care Application 2009* influenced the funding priorities related to homeless persons. These objectives are to be maintained or exceeded over the next ten years.

The objectives include:

- ◆ **Objective 1:** Create new permanent housing beds for chronically homeless individuals.
- ◆ **Objective 2:** Increase percentage of homeless persons staying in permanent housing over 6 months to at least 77 percent.
- ◆ **Objective 3:** Increase percentage of homeless persons moving from transitional housing to permanent housing to at least 65 percent.
- ◆ **Objective 4:** Increase percentage of persons employed at program exit to at least 20 percent.
- ◆ **Objective 5:** Decrease the number of homeless households with children.

In addition, priorities were established on the basis of comments received from the general public and interested parties from community workshops, surveys, and during the Plan preparation process. Priorities were also developed as a result of City outreach to non-profit agencies, neighborhood groups, the private sector, other government agencies, and service clubs.

The significant decline in housing prices has created an opportunity for affordable home ownership and current economic conditions have impacted many households. These factors have increased the need for additional affordable housing in Modesto. At the same time, existing affordable housing units should be preserved, to avoid further exacerbating the need for additional affordable housing.

The City has adopted the following housing priorities, strategies, and objectives in the 2012-2013 Plan:

Priority H-1 Increase the Supply of Affordable Rental Housing

Strategy H-1A: Use HOME funds to leverage funding for the construction of new affordable rental housing units through partnerships with the Modesto Redevelopment Agency, the Housing Authority of the County of Stanislaus, and using tools such as land banking, the Revolving Loan Fund, and housing set-aside funds.

- ◆ **Objective H-1A.i:** Construction of 44 affordable rental units over five years, or approximately 8 to 9 units annually.

Priority H-2 Provide Homeownership Opportunities.

Strategy H-2A: Use CDBG and Neighborhood Stabilization Program funds to acquire and rehabilitate vacant/foreclosed properties for conversion to affordable homeowner housing and the Down Payment Assistance Program in order to promote homeownership opportunities among lower and moderate income households.

- ◆ **Objective H-2A.i:** Acquire and rehabilitate 14 to 15 units annually or 73 units over five years.
- ◆ **Objective H-2A.ii:** Assist 7 to 8 households annually or 36 households over five years, through the HAP.



Priority H-4 Continue to Support Fair Housing.

Strategy H-4A: Disseminate information and conduct outreach regarding Fair Housing services and counseling. Provide information regarding fair housing rights in Modesto and violation remedies, especially landlord responsibilities to provide tenants notice of foreclosure proceedings.

- ◆ *Objective H-4A.i:* Assist 437 residents annually, or 2,184 residents over 5 years by providing fair housing consultations and information, investigation services, outreach and educational presentation and tenant landlord dispute

The City actively furthers fair housing in the community. The City contracts with Project Sentinel to provide fair housing services and counseling for the community, working to eliminate housing discrimination and remove impediments to equal housing opportunities. The City also coordinates seminars on tenant rights and responsibilities and to address illegal activities in rental housing.

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Homeless and Special Needs 91,220(i)

The City has adopted the following homeless priorities, strategies, and objectives in the five-year Consolidated Plan and 2012-2013 Plan:

Priority HM-1 Address Chronic Homelessness. (91.220(i))

As is required to remain eligible as an Entitlement Jurisdiction and receive Community Development Block Grant (CDBG) funds and Emergency Shelter Grant (ESG) funds, the City of Modesto is required to coordinate the approval of a 10-Year Plan to End Long-Term Homelessness. Modesto worked in conjunction with Stanislaus County and local homelessness service providers to develop the required plan.

This plan will assist in directing opportunities for some new construction, acquisition and rehabilitation of existing housing or viable buildings, set-asides of units within housing developments in the pipeline, as well as master lease existing housing towards serving the long-term homeless population.

As a member of the SCHSSC, the City of Modesto will be coordinating with other member organizations of the SCHSSC towards achieving the objectives in the 2009 CoC Plan aimed at eliminating chronic homelessness, including:

- ◆ Creating new permanent housing beds for chronically homeless individuals.
- ◆ Increasing the percentage of homeless persons staying in permanent housing over 6 months to at least 77 percent.
- ◆ Increasing the percentage of homeless persons moving from transitional housing to permanent housing to at least 65 percent.

The City of Modesto is increasingly moving towards a housing first model where permanent housing is prioritized.

Strategy HM-1A: Increase the number of leveraging CDBG and ESG funds with other federal, State, and private funding sources.

- ◆ *Objective HM-1A.i:* Increase the supply of emergency shelter beds by 8 beds annually, or by 40 beds over five years, for individuals and 4 beds annually, or 20 beds over five years, for families.

Strategy HM-1B: Allocate ESG and CDBG funds to provide counseling and other supportive services for homeless individuals and families as well as those most at risk.

- ◆ *Objective HM-1B.i:* Provide supportive services to 600 homeless individuals, families, and those most at risk annually, or 3,000 persons over five years.

Priority HM-2 Increase Transitional Housing.

Strategy HM-2A: Increase options for long-term transitional housing, especially for certain affected groups such as households with children and those with mental health and chemical dependency issues. Leverage CDBG, HOME, and ESG with other federal, State, and private funding sources.

- ◆ *Objective HM-2A.i:* Provide 4 additional transitional housing units annually for families, or 20 over five years, and 3 annually for individuals, or 15 over the next five



years.

Priority HM-3 Provide Permanent Supportive Housing.

Strategy HM-3A: Increase the inventory of permanent supportive housing beds, leveraging CDBG, HOME, and ESG funds with other federal, State, and private funding sources.

- ◆ *Objective HM-3A.i:* Provide 3 permanent supportive housing beds annually for individuals, or 15 over five years, and three additional permanent supportive housing beds annually for families, or 15 over five years.

Priority HM-4 Prevent Homelessness for those Most at Risk.

Strategy HM-4A: Use CDBG and ESG, funds to provide counseling to homeowners to avoid defaulting on mortgage payments, offer alternative financing to address delinquencies and refinance eligible homeowners into more favorable fixed-rate loans and provide advocacy service to negotiate with lenders for alternative financing.

- ◆ *Objective HM-4A.i:* Assist between 42 and 69 households annually, or 291 over five years.

Priority HM-5 Provide intensive and coordinated case management.

Strategy HM-5A: Fully implement the Homeless Management Information System (HMIS) to better identify gaps in service and to maintain accurate data about housing and service needs. Encourage greater HMIS participation from non-HUD funded organizations by providing CDBG funding to acquire necessary HMIS equipment and technical assistance to assist those organizations

Program Specific Requirements

CDBG 91.220(I)(1)

For the CDBG Program 100% of the 2012-13 funding identified in the “Resources” section will benefit low- and moderate-income people.

HOME 91.220(I)(1)

In 2012 - 2013 the City will receive \$671,157 in HOME funding, 70% of which will be used development and rehabilitation of affordable rental and ownership housing for low-income households. The City adheres to the HOME regulations when using HOME funds and will continue to follow the HOME regulations and HUD guidelines.

The City works closely with for-profit and nonprofit developers to increase the supply of affordable housing units. Prior to committing any HOME funds to a project, the City follows a review process that included an evaluation of the application, financial data to ensure that the HOME funds are needed to fill a gap and that the City will not use HOME funds in combination with other federal assistance beyond that necessary to provide affordable housing. All costs charged to a HOME project are reviewed for allowability, allocability, and reasonableness.

All affordable housing projects funded with HOME funds are evaluated to ensure that the per unit subsidy limit set by HUD is not exceeded and the project file includes a subsidy layering evaluation. This evaluation uses the standards set by HUD if other HUD funds are included in the financing package for the project. Project evaluation files will include the following: sources and uses of all funds in the project (private and public), certification of governmental assistance providing information as to whether or not additional governmental assistance will be provided to the project and what kind, and a project development budget. A project pro forma is required to determine the reasonableness of the rate of return on equity investment. The pro forma will include achievable rent levels, market vacancies, and operating expenses as well as specifying the consequences of tax benefits and other assumptions used in calculating the project cash flow.

The City of Modesto implemented an Affirmative Marketing Policy for use in its HOME program in order to comply with HUD fair housing objectives. Both the borrower/developer and the City share the responsibility to inform the public about federal fair housing laws. The City, through its monitoring of the HOME units, evaluates the effectiveness of the marketing efforts. The beneficiaries are held to the terms of the policy by reference in the HOME Loan Agreement and Trust Deed executed to secure the HOME loan.

HOME Program Monitoring

In accordance with 24 CFR, Section 92.252, HOME-assisted rental units are monitored and inspected for income certifications, housing quality standards, and other affordability criteria. There are different levels of monitoring that staff follow, beginning at project predevelopment and continuing through the period of affordability. Staff reviews each draw request. Staff meets with the developers to provide technical assistance if needed, and make periodic site visits to follow up on project progress. In addition, staff are constantly monitoring to see if the projects are meeting the standards established in the initial agreement, if costs are on target, and to check on quality of construction.

For rental housing, during the period of affordability, staff conducts on site inspections of units to determine compliance with property standard requirements. Staff corroborate information furnished by the owners in regards to lease, income verification, rent, utility allowances and compliance with provisions of written agreements. In addition, City staff performs on site inspections of HOME-assisted units as required.

- ◆ Complexes containing one to four HOME-assisted units are monitored not less than once every three years
- ◆ Complexes containing five to 25 units are monitored every two years, and
- ◆ Projects containing 26 units or more are monitored every year.
- ◆ HOME-assisted rental units are inspected for rent, income, housing quality, and other affordability criteria in accordance with HOME requirements. Physical inspections are conducted to ensure that properties provide decent, safe and sanitary housing for all residents. In addition, all property management agents at each complex are expected to maintain physical conditions above the minimum maintenance standards as required by Section 8 Housing Quality Standards and local housing codes.

For CHDOs staff follows the same process as described above. Staff reviews payments requests, invoices and each draw request. Staff meets with the CHDOs to provide technical assistance if needed, and make periodic site visits to follow up on project progress. In addition, staff are constantly monitoring to see if the projects are meeting the standards established in the initial agreement, if costs are on target, and to check on quality of construction. For the past two years staff has been meeting in a monthly basis with the two City of Modesto approved CHDOs.

Properties to be monitored during FY 2012-2013:

- ◆ Woodstone Apartments
- ◆ Ashwood Village
- ◆ Conant Place
- ◆ Pine Meadows
- ◆ Miller Pointe
- ◆ Village One Town Center
- ◆ Courtney Manor (901 California)
- ◆ Carver Road Apartments

In addition, staff will be monitoring the construction of the following HOME projects:

- ◆ Archway Commons Apartments
- ◆ Meadow Glen Apartments

The City takes many opportunities to target minority and female contractors to help ensure fair and viable opportunities exist for all. Also, for larger Capital Improvement Projects (CIP) there is an attachment to the solicitation which clearly defines what a Minority and Women Business Enterprise is and the document outlines the disadvantages a Minority and Women Business Enterprise might face during the time of conducting business. Providing this information to the public helps target potential problems and allows any City-funded CIP project to address issues as they arise.

Recapture/Resale for Home Ownership Activities

The City of Modesto has elected the recapture option during the affordability period.

As such, the full amount of the HOME homebuyer assistance loan made to the homebuyer is recaptured and returned to the HOME program account. The loans are deferred for the term of the loan. Full repayment (principal plus accrued interest) is due at the time the owner sells, transfers title, refinances, or discontinues using the home as a principal residence. The City monitors compliance with deferred loan terms.

The City of Modesto has elected the recapture option during the affordability period.
(Attachment F)

The City will not use HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds

Tenant Based Rental Assistance:

The City does not offer a TBRA program.

The City will not use any other forms of investment other than those described in §92.205(b).

ESG 91.220(I)(4)

In January, February, and March 2012, the City of Modesto consulted with the Continuum of Care (CoC); also known locally as the Stanislaus Housing and Support Services Collaborative) and a CoC ad hoc group of representative stakeholders to inform the development of the homelessness prevention and rapid re-housing components of ESG. The City fully vetted ESG activities for FY 2011 and 2012 consistent with Consolidated Plan regulations and other amendment procedures, including adherence to its Citizens Participation Plan, for all ESG activities.

Attachment G provides copies of the Substantial Amendments for the 2nd ESG allocation along with the Request for Proposals and Resolutions. Resolutions have been provided which authorize the programming of ESG activities to align with the new ESG regulations (two-year grant program) moving forward, including increased ESG Administration.

The CoC is a collaborative group of government, public agencies, advocates, and community- and faith-based organizations focused on addressing homelessness, creating affordable housing, and providing assistance that promotes self-sufficiency. As a prominent member of the CoC, the City of Modesto interfaces regularly with other stakeholders of the CoC on a monthly basis to inform its oversight of existing City activities and development of new activities.

The City of Modesto will continue to fund the emergency shelter and street outreach components of ESG to the maximum extent possible (60 percent of annual ESG allocation), while funding the homelessness prevention and rapid re-housing components at 32.5 percent of annual ESG allocation.

A CoC ad hoc group of stakeholders representing shelter and transitional housing, public housing, veteran's affairs, healthcare, legal aid, and supportive housing made the following recommendations, which were presented to the CoC at its February meeting and approved by the City Council-appointed CH&CDC at its March meeting:

- ◆ Prioritizing homelessness prevention;
- ◆ Limiting assistance to:

- ◆ Families with dependent children;
- ◆ Families experiencing homelessness who are within 6 months of obtaining mainstream benefits; and
- ◆ Program participants of the HUD-U.S. Department of Veterans Affairs (HUD-VASH) for security deposit assistance only
- ◆ Providing 3-6 months of ESG assistance to program participants and additional assistance only when merited and program participants adhere to individualized stability plans (case-by-case basis); and
- ◆ Including severely deteriorated housing as a place-based characteristic associated with instability and an increased risk of homelessness.

The CoC and the ad hoc group of representative stakeholders developed as the performance standard for activities funded with ESG the elimination of barriers to housing stability. Stakeholders determined that longitudinal and post-assistance data collection and evaluation are not feasible due to the extreme difficulty in following-up with former program participants, as well recognizing that such data collection and evaluation is cost-prohibitive.

The operation and administration of the Homeless Information Management System (HMIS) designated by the CoC is led by the HACS. The City of Modesto may pay the costs of contributing data to the HMIS via funding to subgrantees or to the HMIS Lead.

The City of Modesto is member of the CoC's HMIS Subcommittee which meets monthly to develop policies, procedures, and best practices and offers trainings developed by the HMIS Lead.

Proposed uses of match resources

ESG Sub-grantees

The Salvation Army (\$39,568): \$15,000 United Way; \$24,068 Private Donations

Children's Crisis Center (\$31,496): I

Center for Human Services (\$31,512):

HP/RRH Organization(s):

The City of Modesto has developed funding priorities that are place-based, while also supporting the national priorities established in *Opening Doors: Federal Strategic Plan to Prevent and End Homelessness*. The following represent City of Modesto funding priorities, while not neglecting the emergency shelter and transitional housing needs of the community: movement toward a centralized, coordinated intake and assessment; strategic targeting; homelessness prevention; shelter diversion and rapid re-housing; reducing shelter stays; and prioritizing "high-cost users." The City of Modesto's ESG-funded activities reflect these priorities.

FY 2011 Detailed Budget Table					
First Allocation	\$102,575.00	FY 2011			
Second Allocation	\$57,698.00	Emergency Shelter Grants/Emergency Solutions Grants			
Grant Amount	\$160,273.00	Program Allocations			
Total Administration	\$12,020.48				
		First Allocation		Second Allocation	Total Fiscal Year 2011
	Eligible Activities	Activity Amount	Reprogrammed Amount	Activity Amount	Activity Amount
Emergency Shelter Grants Program	Homeless Assistance	\$96,126.00	\$0.00		\$96,126.00
	<i>Rehab/Conversion</i>				\$0.00
	<i>Operations</i>	\$96,126.00			\$96,126.00
	<i>Essential Services</i>				\$0.00
	Homelessness Prevention Administration	\$6,450.00	\$0.00		\$6,450.00
	Administration		\$0.00		\$0.00
	Emergency Shelter Grants Subtotal	\$102,576.00	\$0.00		\$102,576.00
Emergency Solutions Grants Program	Emergency Shelter**			\$0.00	\$0.00
	<i>Renovation**</i>			\$0.00	\$0.00
	<i>Operation**</i>			\$0.00	\$0.00
	<i>Essential Service**</i>			\$0.00	\$0.00
	<i>URA Assistance**</i>			\$0.00	\$0.00
	Street Outreach - Essential Services**			\$0.00	\$0.00
	HMIS				\$0.00
	Rapid Re-housing		\$0.00	\$21,250.00	\$21,250.00
	<i>Housing Relocation and Stabilization Services</i>				\$0.00
	<i>Tenant-Based Rental Assistance</i>				\$0.00
	<i>Project-Based Rental Assistance</i>				\$0.00
	Homelessness Prevention		\$0.00	\$24,427.00	\$24,427.00
	<i>Housing Relocation and Stabilization Services</i>				\$0.00
	<i>Tenant-Based Rental Assistance</i>				\$0.00
	<i>Project-Based Rental Assistance</i>				\$0.00
Administration			\$12,020.00	\$12,020.00	
Emergency Solutions Grants Subtotal		\$0.00	\$57,697.00	\$57,697.00	
			Total Grant Amount:	\$160,273.00	
**Allowable only if the amount obligated for homeless assistance activities using funds from the first allocation is less than the expenditure limit for emergency shelter and street outreach activities (see Section III.B. of this Notice).					

FY 2012 Detailed Budget Table					
First Allocation	\$182,643.00			FY 2012	
Second Allocation	\$0.00	Emergency Shelter Grants/Emergency Solutions Grants			
Grant Amount	\$182,643.00	Program Allocations			
Total Administration	\$13,698.23				
		First Allocation		Second Allocation	Total Fiscal Year 2011
	Eligible Activities	Activity Amount	Reprogrammed Amount	Activity Amount	Activity Amount
Emergency Shelter Grants Program	Homeless Assistance	\$0.00	\$0.00		\$0.00
	Rehab/Conversion	\$0.00			\$0.00
	Operations	\$109,580.00			\$109,580.00
	Essential Services	\$0.00			\$0.00
	Homelessness Prevention	\$59,365.00			\$59,365.00
	Administration	\$13,698.00	\$0.00		\$13,698.00
Emergency Shelter Grants Subtotal		\$182,643.00	\$0.00		\$182,643.00
Emergency Solutions Grants Program	Emergency Shelter**			\$0.00	\$0.00
	Renovation**			\$0.00	\$0.00
	Operation**			\$0.00	\$0.00
	Essential Service**			\$0.00	\$0.00
	URA Assistance**			\$0.00	\$0.00
	Street Outreach - Essential Services**			\$0.00	\$0.00
	HMIS				\$0.00
	Rapid Re-housing		\$0.00	\$0.00	\$0.00
	Housing Relocation and Stabilization Services				\$0.00
	Tenant-Based Rental Assistance				\$0.00
	Project-Based Rental Assistance				\$0.00
	Homelessness Prevention		\$0.00	\$0.00	\$0.00
	Housing Relocation and Stabilization Services				\$0.00
	Tenant-Based Rental Assistance				\$0.00
	Project-Based Rental Assistance				\$0.00
	Administration				\$0.00
Emergency Solutions Grants Subtotal			\$0.00	\$0.00	\$0.00
		Total Grant Amount:			\$182,643.00

**Allowable only if the amount obligated for homeless assistance activities using funds from the first allocation is less than the expenditure limit for emergency shelter and street outreach activities (see Section III.B. of this Notice).

The CoC and the ad hoc group of representative stakeholders developed as the performance standard for activities funded with ESG the elimination of barriers to housing stability. Stakeholders determined that longitudinal and post-assistance data collection and evaluation are not feasible due to the extreme difficulty in following-up with former program participants, as well recognizing that such data collection and evaluation is cost-prohibitive.

City of Modesto will not use part of the second allocation of FY 2011 funds for emergency shelter and street outreach activities.

City of Modesto will not use part of the second allocation of FY 2011 funds for essential services related to street outreach.

City of Modesto will not use part of the second allocation of FY 2011 funds for emergency shelter activities.

City of Modesto will not use part of the second allocation of FY 2011 funds for essential services related to emergency shelter.

Consultation with representative stakeholders of the CoC described severely deteriorated housing as a specific local characteristic associated with instability and increased risk of homelessness.

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED May 11, 2012	Applicant Identifier CA62292 MODESTO
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION

Legal Name: City of Modesto	Organizational Unit: Department: Parks, Recreation & Neighborhoods
Organizational DUNS: 140654166	Division: Neighborhood Services
Address: Street: 1010 Tenth Street	Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Julie
City: Modesto	Middle Name G.
County: Stanislaus	Last Name Hannon
State: CA	Suffix:
Zip Code 95353	Email: jhannon@modestogov.com
Country: U.S.A.	

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 94-6000374	Phone Number (give area code) 209-577-5417	Fax Number (give area code) 209-571-5825
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8. TYPE OF APPLICATION: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.)	7. TYPE OF APPLICANT: (See back of form for Application Types) Local Government City Other (specify)
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10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): Entitlement Grant 14-218	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: CDBG: Housing Development, Preservation & Home Ownership, Public Improvements, Accessibility Improvements, Economic Development Activities, Public Services and Fair Housing Activities
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12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Modesto, CA

13. PROPOSED PROJECT Start Date: 07/01/2012 Ending Date: 06/30/2013	14. CONGRESSIONAL DISTRICTS OF: a. Applicant 18 b. Project 18
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15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal CDBG Grant \$ 1,703,833 ⁰⁰ b. Applicant \$ ⁰⁰ c. State \$ ⁰⁰ d. Local \$ ⁰⁰ e. Other Revolving Loan Funds \$ 200,000 ⁰⁰ f. Program Income \$ 50,000 ⁰⁰ g. TOTAL \$ 1,953,833 ⁰⁰	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative		
Prefix Mr.	First Name Greg	Middle Name
Last Name Nyhoff		Suffix
b. Title City Manager		c. Telephone Number (give area code) 209-577-404
d. Signature of Authorized Representative 		e. Date Signed 5/10/12

INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form used by applicants as a required face sheet for pre-applications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

Item:	Entry:	Item:	Entry:																
1.	Select Type of Submission.	11.	Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.																
2.	Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).	12.	List only the largest political entities affected (e.g., State, counties, cities).																
3.	State use only (if applicable).	13.	Enter the proposed start date and end date of the project.																
4.	Enter Date Received by Federal Agency Federal identifier number: If this application is a continuation or revision to an existing award, enter the present Federal Identifier number. If for a new project, leave blank.	14.	List the applicant's Congressional District and any District(s) affected by the program or project																
5.	Enter legal name of applicant, name of primary organizational unit (including division, if applicable), which will undertake the assistance activity, enter the organization's DUNS number (received from Dun and Bradstreet), enter the complete address of the applicant (including country), and name, telephone number, e-mail and fax of the person to contact on matters related to this application.	15.	Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15.																
6.	Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.	16.	Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process.																
7.	Select the appropriate letter in the space provided. <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">A. State</td> <td style="width: 50%;">I. State Controlled Institution of Higher Learning</td> </tr> <tr> <td>B. County</td> <td>J. Private University</td> </tr> <tr> <td>C. Municipal</td> <td>K. Indian Tribe</td> </tr> <tr> <td>D. Township</td> <td>L. Individual</td> </tr> <tr> <td>E. Interstate</td> <td>M. Profit Organization</td> </tr> <tr> <td>F. Intermunicipal</td> <td>N. Other (Specify)</td> </tr> <tr> <td>G. Special District</td> <td>O. Not for Profit Organization</td> </tr> <tr> <td>H. Independent School District</td> <td></td> </tr> </table>	A. State	I. State Controlled Institution of Higher Learning	B. County	J. Private University	C. Municipal	K. Indian Tribe	D. Township	L. Individual	E. Interstate	M. Profit Organization	F. Intermunicipal	N. Other (Specify)	G. Special District	O. Not for Profit Organization	H. Independent School District		17.	This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.
A. State	I. State Controlled Institution of Higher Learning																		
B. County	J. Private University																		
C. Municipal	K. Indian Tribe																		
D. Township	L. Individual																		
E. Interstate	M. Profit Organization																		
F. Intermunicipal	N. Other (Specify)																		
G. Special District	O. Not for Profit Organization																		
H. Independent School District																			
8.	Select the type from the following list: <ul style="list-style-type: none"> • "New" means a new assistance award. • "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date. • "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision enter the appropriate letter: <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">A. Increase Award</td> <td style="width: 50%;">B. Decrease Award</td> </tr> <tr> <td>C. Increase Duration</td> <td>D. Decrease Duration</td> </tr> </table> 	A. Increase Award	B. Decrease Award	C. Increase Duration	D. Decrease Duration	18.	To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)												
A. Increase Award	B. Decrease Award																		
C. Increase Duration	D. Decrease Duration																		
9.	Name of Federal agency from which assistance is being requested with this application.																		
10.	Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.																		

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about
 - a. The dangers of drug abuse in the workplace;
 - b. The grantee's policy of maintaining a drug-free workplace;
 - c. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will –
 - a. Abide by the terms of the statement; and
 - b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted –
 - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended;or

- b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

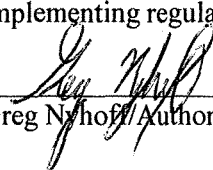
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, and ESG funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



Greg N. Hoff/Authorized Official

5-10-12
Date

City Manager, City of Modesto
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) , (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

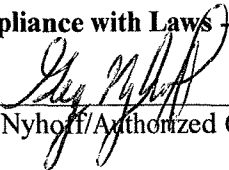
Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.



Greg Nyhoff/Authorized Official

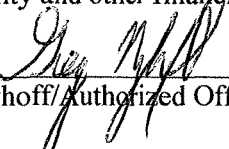
5/10/12
Date

City Manager, City of Modesto
Title

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.



Greg Nyhoff/Authorized Official

5/10/12
Date

City Manager, City of Modesto
Title

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application		2. DATE SUBMITTED May 11, 2012	Applicant Identifier CA62292 MODESTO
<input type="checkbox"/> Construction	Pre-application	3. DATE RECEIVED BY STATE	State Application Identifier
<input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION

Legal Name: City of Modesto		Organizational Unit: Department: Parks, Recreation & Neighborhoods	
Organizational DUNS: 140654166		Division: Neighborhood Services	
Address: Street: 1010 Tenth Street		Name and telephone number of person to be contacted on matters involving this application (give area code)	
City: Modesto		Prefix: Ms.	First Name: Julie
County: Stanislaus		Middle Name G.	
State: CA		Last Name Hannon	
Zip Code 95353	Suffix:		
Country: U.S.A.		Email: jhannon@modestogov.com	

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 94-6000374	Phone Number (give area code) 209-577-5417	Fax Number (give area code) 209-571-5825
---	---	---

8. TYPE OF APPLICATION: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify) <input type="checkbox"/> <input type="checkbox"/>	7. TYPE OF APPLICANT: (See back of form for Application Types) Local Government City Other (specify)
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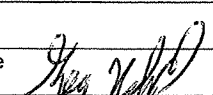
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): Entitlement Grant 14-231	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: ESG: Emergency Shelter, Homeless Prevention, Rapid-Rehousing, Essential Services
--	--

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Modesto, CA	9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development
---	---

13. PROPOSED PROJECT Start Date: 07/01/2012 Ending Date: 06/30/2013	14. CONGRESSIONAL DISTRICTS OF: a. Applicant 18 b. Project 18
--	--

15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal ESG Grant \$ 182,643 ⁰⁰	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:
b. Applicant \$ ⁰⁰	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372
c. State \$ ⁰⁰	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
d. Local \$ ⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
e. Other 2011 2nd allocation \$ 57,698 ⁰⁰	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No
f. Program Income \$ ⁰⁰	
g. TOTAL \$ 240,341 ⁰⁰	

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative		
Prefix Mr.	First Name Greg	Middle Name
Last Name Nyhoff		Suffix
b. Title City Manager		c. Telephone Number (give area code) 209-577-404
d. Signature of Authorized Representative 		e. Date Signed 5-10-12

Previous Edition Usable
Authorized for Local Reproduction

Standard Form 424 (Rev. 9-2003)
Prescribed by OMB Circular A-102

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

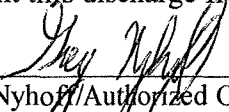
Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction’s consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Greg Nyhof/Authorized Official

5/10/12
Date

City Manager, City of Modesto
Title

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application		2. DATE SUBMITTED May 11, 2012	Applicant Identifier CA62292 MODESTO
<input type="checkbox"/> Construction	<input type="checkbox"/> Pre-application	3. DATE RECEIVED BY STATE	State Application Identifier
<input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Construction	4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
	<input type="checkbox"/> Non-Construction		

5. APPLICANT INFORMATION

Legal Name: City of Modesto	Organizational Unit: Department: Parks, Recreation & Neighborhoods
Organizational DUNS: 140654166	Division: Neighborhood Services
Address: Street: 1010 Tenth Street	Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Julie
City: Modesto	Middle Name: G.
County: Stanislaus	Last Name: Hannon
State: CA	Zip Code: 95353
Country: U.S.A.	Email: jhannon@modestogov.com

6. EMPLOYER IDENTIFICATION NUMBER (EIN):
94-6000374

Phone Number (give area code) 209-577-5417	Fax Number (give area code) 209-571-5825
--	--

8. TYPE OF APPLICATION:
 New Continuation Revision
If Revision, enter appropriate letter(s) in box(es)
(See back of form for description of letters.)
Other (specify)

7. TYPE OF APPLICANT: (See back of form for Application Types)
Local Government City
Other (specify)

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:
14-239

TITLE (Name of Program):
Entitlement Grant

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
HOME: Affordable Housing Development, Community Housing Development Organization (CHDO) support

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):
Modesto, CA

13. PROPOSED PROJECT

Start Date: 07/01/2012	Ending Date: 06/30/2013
----------------------------------	-----------------------------------

14. CONGRESSIONAL DISTRICTS OF:
a. Applicant 18 b. Project 18

15. ESTIMATED FUNDING:

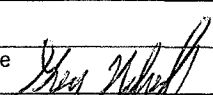
a. Federal HOME Grant	\$	671,157 ⁰⁰
b. Applicant	\$	⁰⁰
c. State	\$	⁰⁰
d. Local	\$	⁰⁰
e. Other	\$	⁰⁰
f. Program Income	\$	50,000 ⁰⁰
g. TOTAL	\$	721,157 ⁰⁰

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Yes. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:
b. No. PROGRAM IS NOT COVERED BY E. O. 12372
 OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
 Yes If "Yes" attach an explanation. No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative

Prefix Mr.	First Name Greg	Middle Name
Last Name Nyhoff	Suffix	
b. Title City Manager	c. Telephone Number (give area code) 209-577-404	
d. Signature of Authorized Representative 	e. Date Signed 5/10/12	

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Greg Nyhoff Authorized Official

5/10/12
Date

City Manager, City of Modesto
Title

Public Notice and Comments

DECLARATION OF PUBLICATION
(C.C.P. S2015.5)

COUNTY OF STANISLAUS
STATE OF CALIFORNIA

I am a citizen of the United States and a resident of the County aforesaid; I am over the age of eighteen years, and not a party to or interested in the above entitled matter. I am a printer and principal clerk of the publisher of **THE MODESTO BEE**, which has been adjudged a newspaper of general circulation by the Superior Court of the County of **STANISLAUS**, State of California, under the date of **February 25, 1951, Action No. 46453**. The notice of which the annexed is a printed copy has been published in each issue thereof on the following dates, to wit:

Vida En El Valle Publication
APRIL 11, 2012

I certify (or declare) under penalty of perjury that the foregoing is true and correct and that this declaration was executed at **MODESTO**, California on

APRIL 11, 2012

Marie Dickmann

(Signature)

NOTIFICACIÓN PÚBLICA



CITY of MODESTO

Plan de Acción Anual del Año Fiscal 2012-2013

La ciudad de Modesto ha preparado el proyecto de Plan de Acción Anual del Año Fiscal 2012-2013 para identificar usos de fondos del Programa de Subsidios Globales para el Desarrollo Comunitario (CDBG), el Programa de Asociación Para Inversiones en Vivienda (HOME) y el Programa Soluciones de Emergencia (ESG). La ciudad de Modesto estima que se asignarán aproximadamente más de dos millones de dólares para programas y proyectos de desarrollo comunitario. El financiamiento consiste en nuevos fondos, ingresos estimados de los programas comunitarios y fondos reprogramados del año anterior.

Habrà un periodo de comentario público de 30 días el cual comenzará el 3 de Abril de 2012. El Comité de Vivienda y Desarrollo Comunitario recibirá comentarios sobre el proyecto en la reunión del Comité el 16 de Abril del 2012. Los comentarios recibidos por escrito o oralmente serán respondidos apropiadamente. Una audiencia pública será llevada a cabo el 8 de Mayo del 2012, durante la reunión del Concejo Municipal de la Ciudad de Modesto, el cual empezará a las 5:30 p.m. en la cámara del Concejo de la Ciudad de Modesto, 1010 Calle 10, Modesto. La audiencia pública terminará el periodo de comentarios públicos.

Copias del proyecto de Plan de Acción Anual del Año Fiscal FY 2012-2013 estarán disponibles el 3 de Abril del 2012, en el Departamento de Parques, Recreación y Vecindades, 1010 Calle 10, Suite 4300, Modesto. Para obtener más información, llame a 209-577-5247, fax 209-544-3982 o TDD (solamente) al 1-800-735-2929. Comuníquese con Lupe Reséndez en 209-577-5245, dentro de las 48 horas del evento si necesita acomodación especial.



**DECLARATION OF PUBLICATION
(C.C.P. S2015.5)**

**COUNTY OF STANISLAUS
STATE OF CALIFORNIA**

I am a citizen of the United States and a resident of the County aforesaid; I am over the age of eighteen years, and not a party to or interested in the above entitled matter. I am a printer and principal clerk of the publisher of **THE MODESTO BEE**, which has been adjudged a newspaper of general circulation by the Superior Court of the County of STANISLAUS, State of California, under the date of **February 25, 1951, Action No. 46453**. The notice of which the annexed is a printed copy has been published in each issue thereof on the following dates, to wit:

APRIL 3, 2012

I certify (or declare) under penalty of perjury that the foregoing is true and correct and that this declaration was executed at **MODESTO, California** on

APRIL 3, 2012

Marlyn Gonzalez

(Signature)

PUBLIC NOTICE

FY 2012-2013 Annual Action Plan

The City of Modesto has prepared the draft FY 2012-2013 Annual Action Plan identifying uses of Community Development Block Grant (CDBG), HOME Investment Partnership Grant, and Emergency Solutions Grant (ESG) program funds. The City of Modesto estimates a combined total of over two million dollars will be allocated for community development projects and programs. The funding consists of new entitlement funds, estimated program income, and reprogrammed funds from the prior year.

There will be a 30-day public comment period beginning April 3, 2012. The Citizens Housing and Community Development Committee will receive comments on the draft at their April 18, 2012, meeting. Comments received in writing or orally will be responded to accordingly. A public hearing will be held on May 8, 2012, at the Modesto City Council meeting, beginning at 5:30 p.m. The meeting will be held in the Modesto City Council Chambers, 1010 Tenth Street, Modesto. This public hearing will conclude the public comment period.

Copies of the draft FY 2012-2013 Annual Action Plan will be available on April 3, 2012, at the Parks, Recreation and Neighborhoods Department, 1010 Tenth Street, Suite 4300, Modesto. For additional information call 209-577-5247, fax 209-544-3982 or TDD (hearing impaired only) 1-800-735-2929. Please contact Lupe Resendez at 209-577-5245, within 48 hours of the event should any special accommodations be needed.



Table 3A, 3B

ANNUAL AFFORDABLE RENTAL HOUSING GOALS (SEC. 215)	Annual Expected Number Completed	Resources used during the period			
		CDBG	HOME	ESG	HOPWA
Acquisition of existing units		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	9	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance		<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Rental Goals	9	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL AFFORDABLE OWNER HOUSING GOALS (SEC. 215)					
Acquisition of existing units	15	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Production of new units		<input type="checkbox"/>	<input type="checkbox"/>		
Rehabilitation of existing units	19	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Homebuyer Assistance	7	<input checked="" type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Owner Goals	41	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL AFFORDABLE HOUSING GOALS (SEC. 215)					
Homeless	25	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Non-Homeless		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Special Needs	43	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Sec. 215 Affordable Housing	68	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ANNUAL HOUSING GOALS					
Annual Rental Housing Goal	9	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annual Owner Housing Goal	41	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Annual Housing Goal	50	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

For the purpose of identification of annual goals, an assisted household is one that will receive benefits through the investment of Federal funds, either alone or in conjunction with the investment of other public or private funds.

City of Modesto

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number
DH-1	Availability/Accessibility of Decent Housing				
	Objective H-1A.i: Construction of 44 affordable rental units over 5 years, or approximately 8 to 9 units annually.	HOME	Unit Construction	2010	9
				2011	9
				2012	9
				2013	9
				2014	8
MULTI-YEAR GOAL				44	
	Objective H-4A.i: Assist 437 residents annually, or 2,184 over 5 years by providing fair housing consultations and information, investigation services, outreach and educational presentation and tenant landlord dispute resolution services.	CDBG	Residents Assisted	2010	437
				2011	437
				2012	437
				2013	437
				2014	437
MULTI-YEAR GOAL				2,184	
	Objective SN-1A.i: Construct or provide housing rehabilitation and improvement assistance to 25 senior households annually, or 125 households over 5 years. (Also see Objectives H-1A.i, H-2A.i, H-3B.i, and H-3B.ii)	HOME	Households Assisted	2010	25
		CDBG		2011	25
				2012	25
				2013	25
				2014	25
MULTI-YEAR GOAL				125	
	Objective SN-2A.i: Construct or provide housing rehabilitation and improvement assistance to 18 units in supportive housing facilities annually, or 90 units over 5 years. (Also see Objectives H-1A.i, H-2A.i, H-3B.i, and H-3B.ii)	HOME	Units Assisted	2010	18
		CDBG		2011	18
				2012	18
				2013	18
				2014	18
MULTI-YEAR GOAL				90	
DH-2	Affordability of Decent Housing				
	Objective H-2A.ii: Assist 7 to 8 households annually, or 36 households over 5 years, through the HAP	CDBG	Households Assisted	2010	7
				2011	7
				2012	7
				2013	7
				2014	8
MULTI-YEAR GOAL				36	
	Objective CD-4C.i: Provide \$280,000 over 5 years for the City's Property Agent to acquire property.	CDBG	Funding for Property Agent	2010	\$56,000
				2011	\$56,000
				2012	\$56,000
				2013	\$56,000
				2014	\$56,000
MULTI-YEAR GOAL				\$280,000	

City of Modesto

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number
DH-3	Sustainability of Decent Housing				
	Objective H-2A.i: Acquire and rehabilitate 14 to 15 units annually or 73 units over 5 years.	CDBG	Units Completed	2010	15
				2011	15
				2012	15
		Other	2013	14	
			2014	14	
		MULTI-YEAR GOAL			
	Objective H-3A.i: Preserve 300 affordable housing units in the eight complexes with project-based Section 8 subsidies, through contract extensions.	HOME	Section 8 Units	2010	300
				2011	300
		Other	Preserved	2012	300
				2013	300
				2014	300
		MULTI-YEAR GOAL			
	Objective H-3B.i: Assist 7 to 8 households annually, or approximately 35 households over 5 years through the Emergency Home Repair Program/Disabled Access Assistance Program.	CDBG	Households Assisted	2010	7
				2011	7
				2012	7
				2013	7
				2014	7
		MULTI-YEAR GOAL			
	Objective H-3B.ii: Assist 12 to 13 households annually, or approximately 60 households over 5 years through the Minor Home Repair Grant Program.	CDBG	Households Assisted	2010	12
				2011	12
				2012	12
				2013	12
				2014	12
		MULTI-YEAR GOAL			
	Objective H-3B.iii: Provide \$397,650 in annual funding, or \$1.988 million over 5 years, to support rehabilitation administration, services and supplies necessary to provide technical support and oversight of the City's housing rehabilitation programs	CDBG	Dollars Funded	2010	\$397,650
				2011	\$397,650
				2012	\$397,650
				2013	\$397,650
				2014	\$397,650
		MULTI-YEAR GOAL			
	Strategy SN-2A: Use CDBG and HOME funds to promote construction as well as the rehabilitation and improvement of existing very low-, low-, and moderate-income housing for persons with disabilities, mental illness, and substance addictions.	CDBG	Units Completed	2010	1
				2011	1
				2012	1
				2013	1
				2014	1
		MULTI-YEAR GOAL			

City of Modesto

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number
SL-1	Availability/Accessibility of Suitable Living Environment				
Objective HM-1A.i: Increase the supply of emergency shelter beds by 8 beds annually, or 40 beds over 5 years, for individuals and 4 beds annually, or 20 beds over 5 years, for families.	CDBG	Beds for Individuals	2010	8	
			2011	8	
			2012	8	
			2013	8	
			2014	8	
	MULTI-YEAR GOAL				40
	CDBG	Beds for Families	2010	4	
			2011	4	
			2012	4	
			2013	4	
			2014	4	
	MULTI-YEAR GOAL				20
	Objective HM-2A.i: Provide 4 additional transitional housing units annually for families, or 20 over 5 years, and 3 annually for individuals, or 15 over the next 5 years.	CDBG	Units for Individuals	2010	3
				2011	3
2012				3	
ESG			2013	3	
			2014	3	
MULTI-YEAR GOAL				15	
CDBG		Units for Families	2010	4	
			2011	4	
			2012	4	
			2013	4	
	2014		4		
MULTI-YEAR GOAL				20	
Objective CD-3B.i: Provide shelter services for 2,500 persons annually, or 12,500 over 5 years, including a seasonal homeless emergency shelter and a transitional shelter for women recovering from alcohol/drug addictions and their children, and case mana	CDBG	Persons Served	2010	2500	
			2011	2500	
	ESG		2012	2500	
			2013	2500	
			2014	2500	
			MULTI-YEAR GOAL		
Objective CD-3C.i: Support a shelter for runaway or homeless youth, serving 72 to 73 persons annually, or 364 over 5 years.	CDBG	Persons Served	2010	73	
			2011	73	
			2012	73	
			2013	73	
			2014	72	
			MULTI-YEAR GOAL		
Objective CD-3A.i: Serve approximately 41,000 residents annually, or 205,000 residents over 5 years, with food and nutrition programs for low- and moderate-income persons, including delivery of food to homeless individuals or referred clients temporarily	CDBG	Residents Served	2010	41000	
			2011	41000	
			2012	41000	
			2013	41000	
			2014	41000	
			MULTI-YEAR GOAL		

City of Modesto

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number
	Objective CD-3A.ii: Fund health services for 11 disabled persons annually, or 55 over 5 years, mainly funding for medical equipment, adaptive aids or assistive devices for the DRAIL program.	CDBG	Disabled Residents Served	2010	11
				2011	11
				2012	11
				2013	11
				2014	11
			MULTI-YEAR GOAL		
	Objective CD-3C.ii: Support services for abused or neglected children, serving 21 to 22 persons annually, or 109 over 5 years.	CDBG	Persons Served	2010	22
				2011	22
				2012	22
				2013	22
				2014	21
			MULTI-YEAR GOAL		
	Objective CD-3D.i: Support parenting education services for 171 persons annually, or 855 over 5 years.	CDBG	Persons Served	2010	171
				2011	171
				2012	171
				2013	171
				2014	171
			MULTI-YEAR GOAL		
	Objective CD-3D.ii: Fund childcare services at a shelter for abused, neglected and at-risk children, serving 120 persons annually, or 600 over 5 years.	CDBG	Persons Served	2010	120
				2011	120
				2012	120
				2013	120
				2014	120
			MULTI-YEAR GOAL		
	Objective SN-1B.i: Provide supportive services to 175 seniors annually, or 875 seniors over 5 years. (Also see Objective CD-3A.i)	CDBG	Senior Residents Served	2010	175
				2011	175
				2012	175
				2013	175
				2014	175
			MULTI-YEAR GOAL		
	Objective SN-2B.i: Provide supportive services to 250 special needs residents annually, or 1,250 persons over 5 years. (Also see Objectives CD-3A.i and CD-3A.ii)	CDBG	Special Needs Residents Served	2010	250
				2011	250
				2012	250
				2013	250
				2014	250
			MULTI-YEAR GOAL		
	Objective CD-4A.i: Fund Americans with Disabilities Act (ADA) accessibility improvements in 3 public buildings over 5 years.	CDBG	Buildings Improved	2010	1
				2011	1
				2012	
				2013	1
				2014	
			MULTI-YEAR GOAL		

City of Modesto

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number	
SL-2	Availability/Affordability of Suitable Living Environment					
	Objective HM-3A.i: Provide 3 permanent supportive housing beds annually for individuals, or 15 over 5 years, and 3 additional permanent supportive housing beds annually for families, or 15 over 5 years.	HOME	Beds for Individuals	2010	3	
				2011	3	
		CDBG		2012	3	
				2013	3	
		ESG		2014	3	
		MULTI-YEAR GOAL				15
		HOME	Beds for Families	2010	3	
				2011	3	
		CDBG		2012	3	
				2013	3	
	ESG	2014		3		
	MULTI-YEAR GOAL				15	
	Objective HM-4A.i: Assist between 42 and 69 households annually, or 291 over 5 years.	CDBG	Households Served	2010	69	
				2011	69	
		ESG		2012	69	
		2013		42		
Other		2014		42		
MULTI-YEAR GOAL				291		
SL-3	Sustainability of Suitable Living Environment					
	Objective HM-1B.i: Provide supportive services to 600 homeless individuals, families, and those most at risk annually, or 3,000 persons over 5 years.	CDBG	Individuals and Families Served	2010	600	
				2011	600	
		ESG		2012	600	
				2013	600	
				2014	600	
		MULTI-YEAR GOAL				3,000
	Objective HM-5A.i: Assist one organization per year, or 5 over 5 years.	CDBG	Organizations Assisted	2010	1	
				2011	1	
				2012	1	
				2013	1	
				2014	1	
		MULTI-YEAR GOAL				5
	Objective CD-1A.ii: Fund code enforcement officers that work in low-income Census Tracts, along with support staff to serve about 2,184 households annually, or 10,920 over 5 years.	CDBG	Households Served	2010	2184	
				2011	2184	
				2012	2184	
				2013	2184	
				2014	2184	
		MULTI-YEAR GOAL				10,920
	Priority CD-4. Fund public facilities and improvements in low-income neighborhoods.	CDBG	Projects & Persons	2005	0	
				2006	0	
				2007	0	
				2008	0	
				2009	0	
		MULTI-YEAR GOAL				0

City of Modesto

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number
	Objective CD-4A.ii: Install of ADA-compliant curb ramps at 6 priority intersections over 5 years.	CDBG	Intersections Improved	2010	1
				2011	2
				2012	2
				2013	1
				2014	0
			MULTI-YEAR GOAL		
	Objective CD-4B.i: Pave 12 streets over 5 years.	CDBG	Streets Paved	2010	3
				2011	3
				2012	3
				2013	3
				2014	0
			MULTI-YEAR GOAL		

City of Modesto

Summary of Specific Annual Objectives

Specific Obj. #	Outcome/Objective Specific Annual Objectives	Sources of Funds	Performance Indicators	Year	Expected Number
EO-1	Availability/Accessibility of Economic Opportunity				
	Objective CD-2A.i: Fund a Business Analyst \$39,600 annually, or \$198,000 over 5 years.	CDBG	Funding for Business Analyst	2010	\$39,600
				2011	\$39,600
				2012	\$39,600
				2013	\$39,600
				2014	\$39,600
				MULTI-YEAR GOAL	
	Objective CD-2B.i: Generate 7 jobs annually, or 35 jobs over 5 years, for low- and very low-income persons by giving preference in contract bidding for HUD-funded projects.	CDBG	Jobs	2010	7
				2011	7
				2012	7
				2013	7
				2014	7
				MULTI-YEAR GOAL	
	Objective CD-2C.i: Provide literacy services to 20 residents annually, or 100 residents over 5 years.	CDBG	Residents Served	2010	20
				2011	20
				2012	20
				2013	20
				2014	20
				MULTI-YEAR GOAL	
EO-3	Sustainability of Economic Opportunity				
	Priority CD-2. Promote economic development activities.	CDBG	Number of people benefited	2010	1
				2011	0
				2012	0
				2013	0
				2014	0
				MULTI-YEAR GOAL	
NR-1	Neighborhood Revitalization				
	Objective CD-1A.i: Assist neighborhood clean-up projects for the City's low-income residents through Neighborhood Clean-Up events.	CDBG	Neighborhood Clean-Up Events	2010	1
				2011	1
				2012	1
				2013	1
				2014	1
				MULTI-YEAR GOAL	
	Objective CD-1A.iii: Fund around one eligible Community Based Development Organization (CBDO) annually, or 4 over 5 years, to carry out allowable neighborhood revitalization activities in low-income neighborhoods.	CDBG	CBDOs Funded	2010	1
				2011	1
				2012	1
				2013	1
				2014	0
				MULTI-YEAR GOAL	

Table 3C

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: HD-2. Provide homeownership opportunities.

Project: Housing

Activity: Homebuyers Assistance Program (HAP)

Description

Funding provides low interest, deferred payment loans to low/moderate income households for mortgage assistance and closing costs when purchasing a home. Eligible participants must be first time homebuyers and the loan limit is \$10,000. Homebuyers are required to attend an eight hours homebuyer's class from a HUD-certified agency.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Community-wide

Specific Objective Number H-2A.ii	Project ID
HUD Matrix Code 13	CDBG Citation 570.201(n)
Type of Recipient Low/Moderate Income Homebuyer	CDBG National Objective LMH
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Households	Annual Units 10
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$100,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$100,000

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: DH-3 (2). Preserve existing affordable housing stock.

Project: Housing

Activity: Home Repair Program (HRP) / Disabled Access Program (DAP) - single-unit

Description

Funding will provide low interest loans to low/moderate income households to repair or eliminate sub-standard conditions in homes, including critical health and safety hazards, and to provide assistance for disabled persons making their homes more accessible and safe for them. The program is also available to a landlord to assist a permanently disabled tenant with accessibility needs. Residential units and mobile homes are eligible under the program. Deferred payment loans are available to extremely low and very low income, owner-occupied households. The program also provides technical assistance for work specifications, bidding, and monitoring of the repair work by a licensed contractor.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Community Wide

Specific Objective Number H-3B.i	Project ID
HUD Matrix Code 14A	CDBG Citation 570.202
Type of Recipient Low/Moderate Income Household	CDBG National Objective LMH
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Housing Units	Annual Units 10
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG
HOME
HOPWA
Total Formula
Prior Year Funds	\$364,343 RLF*
Assisted Housing
PHA
Other Funding	\$190,000 PI
Total	\$554,343

*IDIS As of 04/01/2012

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: DH-3. Preserve existing affordable housing stock.

Project: Housing

Activity: Home Repair Program (HRP) / Disabled Access Program (DAP) - multi-unit

Description

Funding will provide low interest loans to low/moderate income households to repair or eliminate sub-standard conditions in homes, including critical health and safety hazards, and to provide assistance for disabled persons making their homes more accessible and safe for them. The program is also available to a landlord to assist a permanently disabled tenant with accessibility needs. Residential units and mobile homes are eligible under the program. Deferred payment loans are available to extremely low and very low income, owner-occupied households. The program also provides technical assistance for work specifications, bidding, and monitoring of the repair work by a licensed contractor.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Community-wide

Specific Objective Number H-3B.i	Project ID
HUD Matrix Code 14B	CDBG Citation 570.202
Type of Recipient Low/Moderate Income Household	CDBG National Objective LMH
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Households Assisted	Annual Units 1
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding 10,000 PI (RLF)
Total \$10,000

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: DH-3. Preserve existing affordable housing stock.

Project: Housing

Activity: Housing Rehabilitation Administration

Description

Funding for staffing, services, and supplies necessary to provide technical support and oversight of the housing rehabilitation programs, including environmental reviews. This includes loan administration provided by the Housing Financial Specialist and inspections and guidance provided by the Housing Rehabilitation Specialist. Accomplishments will be reported in specific rehabilitation activities.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 1010 10th Street, Modesto

Specific Objective Number H-3B.iii	Project ID
HUD Matrix Code 14H	CDBG Citation 570.202
Type of Recipient Low/Moderate Income Resident	CDBG National Objective LMH
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Housing Units	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$371,640.22
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$371,640.22

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: DH-3. Preserve existing affordable housing stock.

Project: Housing

Activity: Lead-Based Paint Services

Description

Activity will cover the costs of necessary lead based paint testing and lead based paint clearances for residential dwellings built prior to 1978 and undergoing rehabilitation through the one of the housing rehabilitation programs.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Community-wide

Specific Objective Number H-3B.ii	Project ID
HUD Matrix Code 14I	CDBG Citation 570.202
Type of Recipient Low/Moderate Income Resident	CDBG National Objective LMH
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Housing Units	Annual Units 8
Local ID	Units Upon Completion

Funding Sources:

CDBG \$2,500
ESG
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total \$2,500

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: DH-3. Preserve existing affordable housing stock.

Project: Housing

Activity: Minor Home Repair Grant Program (MHRGP)

Description

Funding provides grants to very low income households to pay for essential minor repairs to their homes or mobile homes. The single family dwelling must be owner-occupied and the grant maximum is \$2,000. The program includes technical assistance including work specifications, cost estimates, and oversight of the completed work.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Low income census tracts

Specific Objective Number H-3B.ii	Project ID
HUD Matrix Code 14A	CDBG Citation 570.202
Type of Recipient	CDBG National Objective LMH
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Housing Units	Annual Units 30
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$50,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$50,000

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: DH-3. Preserve existing affordable housing stock.

Project: Housing

Activity: ENERGY EFFICIENCY IMPROVEMENTS

Description

Funding provides grants to low income households for energy efficient upgrades which meet the federal energy standards, including windows, HVAC, water heaters and other energy upgrades. This grant funding will supplement funding from the existing Home Repair Program and the Minor Home Repair Grant Program.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Low income census tracts

Specific Objective Number H-3B.ii	Project ID
HUD Matrix Code 14A	CDBG Citation 570.202
Type of Recipient	CDBG National Objective LMH
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Housing Units	Annual Units 5
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$60,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$60,000

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-4. Fund public facilities and improvements in low-income neighborhoods.

Project: Public Facilities & Improvement Accessibility Features

Activity: 1230 12th Street Retrofit

Description:

Project in the process of being closed out. Property reverted back to the United States of America through GSA

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 1230 12th Street, Modesto

Specific Objective Number N/A	Project ID
HUD Matrix Code 03	CDBG Citation 570.201(c)
Type of Recipient	CDBG National Objective LMC
Start Date (mm/dd/yyyy)	Completion Date (mm/dd/yyyy)
Performance Indicator	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG
HOME
HOPWA
Total Formula
Prior Year Funds \$50,000 RLF*
Assisted Housing
PHA
Other Funding
Total \$50,000
as of 04/01/2012

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-6. Business recruitment and retention

Project: Economic Development

Activity: Job Creation and Retention

Description

Job Creation and Retention - 51% of the jobs will be made available to or held by low to moderate income (LMI) persons.

The key public policy objectives are:

- Increase employment in the low to moderate income groups as defined by HUD
- Increase economic activity through the addition, expansion, or retention of employees
- Increase economic diversity as new companies may expand services available locally

Target companies would be those operating within the City's sphere of influence with a priority placed on companies owned by disadvantaged groups and/or located in or employing people residing in low income census tract areas per HUD regulation.

- Objective category:** Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 1010 10th Street

Specific Objective Number EO-3.1/CD-2B.i	Project ID
HUD Matrix Code 18A	CDBG Citation Section 101(c), Section 104(b), 570.1, 570.200(a)(3), 570.208(a)
Type of Recipient Low/Moderate Income Persons	CDBG National Objective LMJ
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator JOBS	Annual Units 7
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$0
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	\$250,000 RLF*
Assisted Housing	
PHA	
Other Funding	
Total	\$250,000

*As of 04/01/2012

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: Other

Project: CDBG Section 108 Loan Repayment

Activity: CDBG Section 108 Loan Repayments (Principal/Interest)

Description

Section 108 Loan repayment for the following two facilities:

Neighborhood Center at Marshall Park (\$3,598,000) – construction of a joint service facility which houses a fire station, a police sub-station, and a recreation office/facility.

Maddux Youth Center (\$902,000) – expansion, renovation and rehabilitation of this facility.

In July 2004, the City of Modesto received a loan guarantee assistance of \$4.5 million under Section 108 of the U.S. Department of Housing and Urban Development (HUD) Act of 1974. The loan will be paid in 20 years using CDBG funds. (First payment due February 2005; last payment due August 2024.)

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 1010 10TH Street, Modesto

Specific Objective Number Other	Project ID
HUD Matrix Code 19F	CDBG Citation 570.704
Type of Recipient	CDBG National Objective
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Dollars funded for repayment	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$377,906
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$377,906

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-4. Fund public facilities and improvements in low-income neighborhoods.

Project: Public Facilities & Improvement Accessibility Features

Activity: ADA Accessibility Projects (Parks Sites)

Description

Removing existing play equipment and replacing it with newer, accessible play equipment; adding accessible ramps into the play areas where needed and removing sand and/or non-conforming engineered wood fiber from the play areas and replace it with new engineered wood fiber.

Improving the park drainage in areas that the water is slow to drain from.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

Specific Objective Number CD-4A.i	Project ID
HUD Matrix Code 03F	CDBG Citation Section 101(c), Section 104(b), Section 105(a)(2), Section 105(c), 570.201(c), 570.207, 570.208
Type of Recipient Low/Moderate Income Persons	CDBG National Objective LMA
Start Date (mm/dd/yyyy) 11/03/2011	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator ADA Improvements	Annual Units 3
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG
HOME
HOPWA
Total Formula
Prior Year Funds	\$96,849
Assisted Housing
PHA
Other Funding
Total	\$96,849
	*as of
	04/01/2012

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: Priority CD-4. Fund public facilities and improvements in low-income neighborhoods

Project: Public Facilities & Improvements - Assecibility Feaures

Activity: Senior Center Restrooms

Description

The restrooms don't adequately meet the needs of the citizens utilizing the Senior Center building. A Capital Improvement Project to rehabilitate the restrooms at the Senior Citizen Center was created to address the special needs of those utilizing the facility. An RFP for consultant to provide preliminary design of ADA retrofit of restrooms went out February 2011.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 211 Bodem, Modesto

Specific Objective Number CD-4A.i	Project ID CDBG 908
HUD Matrix Code 03A	CDBG Citation Section 101(c), Section 104(b), Section 105(a)(2), Section 105(c), 570.201(c), 570.207, 570.208
Type of Recipient Seniors	CDBG National Objective LMC
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG
 ESG
 HOME
 HOPWA
 Total Formula
 Prior Year Funds \$300,000 *
 Assisted Housing
 PHA
 Other Funding
 Total \$300,000
 as of 04/01/2012

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-4. Fund public facilities and improvements in low-income neighborhoods.

Project: Public Facilities & Improvement Accessibility Features

Activity: KKMC Pathway

Description

This project will create a safe accessible route from KKMC along MID lateral to a neighboring school. Fundraising events underway. Cabinet members were identified, Capital Committee Fundraising events in progress as half the money is required prior to official kickoff. Anticipate 18-24 months to obtain ½ the funds.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Census Tract 16.01

Specific Objective Number Cd-4A.i	Project ID
HUD Matrix Code 03L	CDBG Citation Section 101(c), Section 104(b), Section 105(a)(2), Section 105(c), 570.201(c), 570.207, 570.208; 570.207(a)(1)
Type of Recipient COM Residents	CDBG National Objective LMA
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator ADA Improvement	Annual Units 1
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG
HOME
HOPWA
Total Formula
Prior Year Funds \$39,898
Assisted Housing
PHA
Other Funding
Total \$39,898

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-4. Fund public facilities and improvements in low-income neighborhoods.

Project: Public Facilities & Improvement Accessibility Features

Activity: Dryden Pathway

Description

Provide funding to improve pathway to restroom facilities. The wheelchair pathway is nearing completion of the design phase. Going out to bid in the Spring of 2012 due to exterior grading and paving activities.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 920 S.Sunset Avenue, Modesto 95354 (Census Tract 22.0)

Specific Objective Number CD-4A.i	Project ID
HUD Matrix Code 03L	CDBG Citation 91.215 (e)
Type of Recipient Low/Moderate Income Residents	CDBG National Objective LMA
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator ADA Improvement	Annual Units 1
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG
HOME
HOPWA
Total Formula
Prior Year Funds	\$53,969
Assisted Housing
PHA
Other Funding
Total	\$53,969

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-4. Fund public facilities and improvements in low-income neighborhoods.

Project: Neighborhood Revitalization Strategy Area (NRSA) Airport Neighborhood

Activity: Neighborhood Revitalization Strategy - Empire Street Project

Description

The Empire Street Capital Improvement project is located within the Airport Neighborhood which is designated as a Neighborhood Revitalization Strategy area.

This project was approved as part of the Department of Housing & Urban Development (HUD) Annual Action Plan for Fiscal Year 2009-2010 at the City Council meeting of November 24, 2009. It includes the installation of curbs, gutters, sidewalks, and street lighting to improve pedestrian and roadway safety.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Monterey Avenue to Hillside Drive

Specific Objective Number CD-4B.i	Project ID
HUD Matrix Code 03K	CDBG Citation 91.215 (e)(2), 570.208 (a) (1) (vii) and (d) (5) (i), 570.208 (a) (3) and (d) (5) (ii), 570.209 (b) (2) (v) (L) and (M), 570.204 (b) (ii)
Type of Recipient Low/Moderate Income Residents	CDBG National Objective LMA
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy)
Performance Indicator Street Improvement	Annual Units 1
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG
HOME
HOPWA
Total Formula
Prior Year CDBG	\$704,575 *
Prior Year RLF	\$476,955*
PHA
Other Funding
Total	\$1,181,530
	*As of 04/01/2012

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-1. Support neighborhood revitalization efforts.

Project: Arresting the Physical Decline of Low-Income Neighborhoods

Activity: Low-Income Census Tract Neighborhood Emergency Condition Alleviation and Neighborhood Cleanup Campaign

Description

Activity will serve residents of the 14 low-income census tracts (including the Airport Neighborhood [Neighborhood Revitalization Strategy Area - NRSA]) through inspections for code violations and enforcement of codes in deteriorating or deteriorated areas (see Activity: Low-Income Census Tract Neighborhood Code Enforcement) when such enforcement together with public or private improvements, rehabilitation, or services to be provided may be expected to arrest the decline of the areas.

Activity will be undertaken on an interim basis in areas exhibiting objectively determinable signs of physical deterioration where City of Modesto has determined immediate action is necessary to arrest the deterioration and that permanent improvements will be carried out as soon as possible. Activity will also alleviate emergency conditions threatening the public health and safety in areas where the City Manager determines that such an emergency condition exists and requires immediate resolution. Activity will alleviate at least 1 emergency condition project and provide resources to at least 3 neighborhood cleanup projects.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

All 14 low-income census tracts: 8.03, 9.10, 10.02, 14.00, 16.01, 16.03, 16.04, 17.00, 18.00, 21.00, 22.00, 23.01, 23.02, 24.00.

Specific Objective Number CD-1A(i)	Project ID CDBG ECANCC
HUD Matrix Code 6	CDBG Citation 24 CFR 570.201(f)
Type of Recipient Low and Moderate Income Persons	CDBG National Objective LMA
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Projects	Annual Units 4
Local ID	Units Upon Completion 4

Funding Sources:

CDBG	\$25,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$25,000

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name **CITY OF MODESTO**

Priority Need: CD-1. Support neighborhood revitalization efforts.
Project: Arresting the Physical Decline of Low-Income Neighborhoods
Activity: Neighborhood Code Enforcement

Description

Activity will serve residents of the 14 low-income census tracts through inspections for code violations and enforcement of codes in deteriorating or deteriorated areas when such enforcement together with public or private improvements, rehabilitation, or services to be provided may be expected to arrest the decline of the areas. Code enforcement will be concentrated in the Neighborhood Revitalization Strategy Area (NRSA), the Airport Neighborhood.

Based on prior year cases, anticipate approximately 900 cases for FY 2011-2012.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

All 14 low-income census tracts: 8.03, 9.10, 10.02, 14.00, 16.01, 16.03, 16.04, 17.00, 18.00, 21.00, 22.00, 23.01, 23.02, 24.00.

Specific Objective Number CD-1A(ii)	Project ID CDBG CE
HUD Matrix Code 15	CDBG Citation 24 CFR 570.202(c)
Type of Recipient Low/Moderate Income Households based on census tracts	CDBG National Objective LMA
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Cases	Annual Units 900
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$48,456
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$48,456

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-1 Support Neighborhood Revitalization

Project: Neighborhood Revitalization Strategy Area (NRSA) Airport Neighborhood

Activity: Airport Neighborhood Community Center

Description:

Activity will include site acquisition and new construction/conversion/rehabilitation of a community center that will serve residents of the Airport Neighborhood. This public facility will be located near other public facilities in the neighborhood, particularly Orville Wright Elementary School (the sole school and hub of activity in the neighborhood) and George Rogers Park (the neighborhood park), and will provide a space for residents to access and carry out community, cultural, educational, and recreational activities, and services.

City of Modesto staff will continue to work closely with Airport Neighborhood residents and other stakeholders, including the local school, Stanislaus County staff, and nonprofit service providers, in implementing a management plan for the community center to ensure that neighborhood residents have access to the public facility to the greatest extent possible.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Airport Neighborhood - Neighborhood Revitalization Strategy Area (census tract 21.00)

Specific Objective Number CD-1A	Project ID NRSA Community Center
HUD Matrix Code 03E	CDBG Citation 24 CFR 570.201(c)
Type of Recipient Benefit to Low- and Moderate-Income (LMI)	CDBG National Objective LMA
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Public Facility	Annual Units 1
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG
HOME
HOPWA
Total Formula
Prior Year Funds	\$116,000 CDBG
	\$185,000 RLF
	\$50,000 CDBG-R
Assisted Housing
PHA
Other Funding	\$50,000 NSP1
Total	\$401,000

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name

CITY OF MODESTO

Priority Need: CD-1 Support Neighborhood Revitalization Efforts

Project: Neighborhood Revitalization Strategy Area (NRSA) - Airport Neighborhood

Activity: Airport Neighborhood Special Economic Development (Technical Assistance)

Description

Activity will include technical assistance to for-profit businesses interested in developing a supermarket to provide residents of the Airport Neighborhood with jobs and affordable, accessible, and healthy food options. Activity will secure 1 supermarket to benefit residents of the Airport Neighborhood.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Airport Neighborhood - Neighborhood Revitalization Strategy Area (census tract 21.00)

Specific Objective Number CD-2A.i	Project ID NRSA Special ED TA
HUD Matrix Code 18B	CDBG Citation 24 CFR 570.203(b)
Type of Recipient Benefit to Low- and Moderate-Income (LMI) Persons	CDBG National Objective LMA
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Supermarkets	Annual Units 1
Local ID	Units Upon Completion

Funding Sources:

CDBG _____
 ESG _____
 HOME _____
 HOPWA _____
 Total Formula _____
 Prior Year Funds 19,600 EN
 Assisted Housing _____
 PHA _____
 Other Funding _____
 Total 19,600

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-1 Support Neighborhood Revitalization Efforts
Project: Neighborhood Revitalization Strategy Area (NRSA) - Airport Neighborhood
Activity: Airport Neighborhood Special Economic Development (Financial Assistance)

Description

Activity will include financial assistance (grant) to a private, for-profit business to develop a supermarket to provide residents of the Airport Neighborhood with jobs and affordable, accessible, and healthy food options. Activity will provide financial assistance to 1 private, for-profit business.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Airport Neighborhood - Neighborhood Revitalization Strategy Area (census tract 21.00)

Specific Objective Number CD-1B(i)	Project ID NRSA Special ED FA
HUD Matrix Code 18A	CDBG Citation 24 CFR 570.203(b)
Type of Recipient Benefit Low- and Moderate-Income (LMI) Persons	CDBG National Objective LMA
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Private, For-...	Annual Units 1
Local ID	Units Upon Completion 1

Funding Sources:

CDBG
ESG
HOME
HOPWA
Total Formula
Prior Year Funds	\$100,000
Assisted Housing
PHA
Other Funding
Total	\$100,000

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: Planning/Administration

Project: Administration & Planning

Activity: CDBG Administration

Description

Administration and Indirect costs associated with planning and execution of community development activities assisted in whole or in part with funds provided under the CDBG program

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
1010 10TH STREET, MODESTO

Specific Objective Number Other	Project ID
HUD Matrix Code 20	CDBG Citation Section 105(a)(13), Section 105(a)(12), 570.201(p), 570.205, 570.206, 570.208
Type of Recipient	CDBG National Objective
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 6/30/2013
Performance Indicator Dollars Funded	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG	310,767
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	310,767

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: Priority H-4. Continue to support fair housing.

Project: Administration: Fair Housing Services

Activity: Fair Housing Services (Project Sentinel)

Description

In a collaborative effort to affirmatively further fair housing in Modesto and surrounding areas, the City of Modesto, City of Turlock, and Stanislaus County CDBG Consortium developed a joint request for proposals (RFP) for the provision of fair housing services throughout Modesto, Turlock, Ceres, Hughson, Newman, Oakdale, Patterson, Waterford, and unincorporated areas of Stanislaus County so that the three grantees may leverage CDBG funding and streamline fair housing services throughout the area by working with a single, qualified, and experienced fair housing agency.

Redwood City-based Project Sentinel's Modesto Office was selected and will be funded up to \$100,000 (\$40,000 of which will be funded by the City of Modesto). Project Sentinel will enter into sub-recipient agreements with each of the three grantees and each agreement may be extended for up to 4 additional one-year terms upon completion of the first fiscal year, subject to quarterly performance reviews, more extensive annual performance reviews, funding availability, and compliance with applicable federal, state, and local guidelines.

The City of Modesto is committed to promoting non-discrimination and ensuring fair and equal housing opportunities for all its residents through the elimination of illegal housing discrimination, public education about fair housing, and identification of ongoing and emerging local housing discrimination issues.

Activity includes the following fair housing services: fair housing hotline; complaint investigation services (including on-site testing, surveys, full application testing, and patterns and practices audits); resolution services (including conciliation, mediation, litigation, or administrative action); tenant-landlord counseling; information and referral services for non-housing discrimination matters; and education and outreach.

Activity will provide fair housing services to unduplicated persons (beneficiaries).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

1409 H St., Modesto, CA 95354

Specific Objective Number H-4A(i)	Project ID CDBG A PS
HUD Matrix Code 21D	CDBG Citation 24 CFR 570.602
Type of Recipient Sub-Recipient	CDBG National Objective N/A
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$40,000
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$40,000

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name

CITY OF MODESTO

Priority Need: Provide Public Service for Residents

Project: CDBG Public Services

Activity: Court Appointed Special Advocate Training and Support
Court Appointed Special Advocates (CASA) of Stanislaus County

Description

Activity will build CASA of Stanislaus County's capacity to provide personalized advocacy and support to more children received through the court system by training and providing support to CASA volunteers to serve as court-appointed special advocates. CASA of Stanislaus County is the independent eyes and ears of the juvenile court system (Stanislaus County Superior Court) and currently serves 16% of all children in foster care. Children represented by a court-appointed special advocate are given a voice: someone who investigates, makes recommendations on their behalf, and advocates for services that will directly impact and benefit them. Activity will provide advocacy services to 20 unduplicated children (beneficiaries) and training and support to 12 CASA of Stanislaus County volunteers (i.e., court-appointed special advocates).

CASA of Stanislaus County is a stakeholder in the City's Neighborhood Stabilization Program 2 (NSP2) Emancipated Foster Youth / Youth Experiencing Homelessness, Ages 18-28 Permanent Supportive Housing Project Collaborative. This activity represents an aspect of a continuum of care for foster youth and transition-age emancipated foster youth.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 800 11th St., 4th Fl., Modesto, CA 95354

Specific Objective Number CD-3C(ii)	Project ID CDBG PS CASA
HUD Matrix Code 05N	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Benefit to Low- and Moderate-Income (LMI) Persons	CDBG National Objective LMC: Presumed Benefit Category - Abused/Neglected Children
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 20
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$12,777
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$12,777

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: HM-1 Address Chronic Homelessness

Project: CDBG Public Services

Activity: Case Management (Family Promise of Greater Modesto)

Description

Family Promise of Greater Modesto brings faith communities together to address homelessness and to support families in their journeys toward housing and self-sufficiency by connecting them to necessary resources while providing warmth and shelter. Family Promise does this through daily intensive case management and a network of 12 diverse congregations (representing more than 600 volunteers) in the community who provide shelter, food, and fellowship (without proselytizing) in their respective facilities on a rotating basis. Activity will provide supportive shelter services to 24 unduplicated households (beneficiaries).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

2301 Woodland Ave., Ste. 7, Modesto, CA 95358

Specific Objective Number HM-1B(i)	Project ID CDBG PS FPOGM
HUD Matrix Code 03T	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Benefit to Low- and Moderate-Income (LMI) Persons	CDBG National Objective LMC: Presumed Benefit Category - Persons Experiencing Homelessness
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 24
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$11,239
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$11,239

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need; HM-4 Prevent Homelessness for those most at risk

Project; CDBG Public Services

Activity; Housing Counseling (Habitat for Humanity, Stanislaus)

Description

Habitat for Humanity, Stanislaus is one of two City-certified Community Housing Development Organizations (CHDOs); one of a few HUD-approved housing counseling providers in the region; and the primary affordable housing developer in the Airport Neighborhood, the City's Neighborhood Revitalization Strategy Area (NRSA). This activity represents a closer collaboration between Habitat and the City in CHDO, NRSA, and Neighborhood Stabilization Program 3 (NSP3) activities, which will be carried out exclusively in the Airport Neighborhood. Community Housing and Shelter Services (CHSS) was the primary provider of default counseling (a niche, but critical, program within housing counseling programs); however, CHSS recently eliminated its default counseling program. This activity will fund Habitat's existing housing counseling activities particularly its pre-purchase counseling program and will build its capacity to provide default counseling in the future. Activity will provide housing counseling services to 350 unduplicated persons (beneficiaries).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 630 Kearney Ave., Modesto, CA 95350

Specific Objective Number HM-4A(i)	Project ID CDBG PS HH
HUD Matrix Code 05U	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Benefit to Low- and Moderate-Income (LMI) Persons	CDBG National Objective LMH
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 350
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$12,777
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$12,777

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: SN-1 Provide housing and Supportive Services for Non-Homeless Special Needs

Project: CDBG Public Services

Activity: Senior Meals Program (Howard Training Center)

Description

Seniors, particularly older seniors, are living longer and as a result need assistance and supports to remain independent. It is often difficult for seniors near or below the poverty line and on fixed incomes to stretch their food budgets to allow them to purchase adequately nutritious foods. Additionally, social isolation can erode a person's health resulting in depression and deterioration of physical health due to inactivity. This program addresses social isolation and malnutrition by providing nutritious food delivered directly to their door, activities, nutrition education, and many other supports to seniors to help them live longer, independently, and joyously. In-home food service and congregate sites (Howard Training Center, Mancini Hall, Modesto Senior Center, Marple Manor, and Ralston Tower). Activity will provide 375 unduplicated persons (beneficiaries) nutritious meals up to 5 days a week.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

Howard Training Center - 1424 Stonum Rd., Modesto, CA 95351

Mancini Hall - 718 Tuolumne Blvd., Modesto, CA 95351

Modesto Senior Citizen's Center - 211 Bodem St., Modesto, CA 95350

Marple Manor - 560 Coffee Rd., Modesto, CA 95355

Ralston Tower - 900 17th St., Modesto, CA 95354

Specific Objective Number SN-1B(i)	Project ID CDBG PS HTC
HUD Matrix Code 05A	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Low and Moderate Income Persons	CDBG National Objective LMC: Presumed Benefit Category - Elderly Adults
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 375
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$12,777
ESG
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$12,777

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-3A Provide Public Services for Residents

Project: CDBG Public Services in Neighborhood Revitalization Efforts

Activity: Healthy Start Family Resource Center at Orville Wright Elementary School
Modesto City Schools

Description

Orville Wright Elementary School and its Healthy Start Family Resource Center serve as the hub of community activity and civic engagement in the Airport Neighborhood, the City's Neighborhood Revitalization Strategy Area (NRSA). The Healthy Start Family Resource Center provides a variety of services to families throughout the neighborhood (regardless of enrollment status of households' children) to promote the health, wellness, and safety of its residents. These services are designed to promote self-sufficiency and include leadership development, intensive and coordinated case management, English language development, citizenship/permanent resident alien resources, economic development through skill building, linkage of services, and promoting community capacity building (i.e. building the skills of residents to promote the health and wellness of one another) and civic engagement. Activity will provide a variety of services to 500 unduplicated persons (beneficiaries).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 801 Empire Ave., Modesto, CA 95354

Specific Objective Number CD-3A.iii	Project ID CDBG PS OW
HUD Matrix Code 5	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Benefit to Low- and Moderate-Income (LMI) Persons	CDBG National Objective LMA - Airport Neighborhood NRSA [Census Tract 21.00]
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 500
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$25,554
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$25,554

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-3 Provide Public Services for Residents

Project: CDBG Public Services

Activity: Mom's Moments Parenting Program (Parent Resource Center)

Description

Mom's Moments Parenting Program provides education and supportive services to parents with histories of abuse/neglect or households with risk factors associated with child abuse/neglect. Child abuse prevention research indicates that best practices include parent education and in-home service delivery, both of which are components of this activity. Activity will provide education, intensive case management, transportation, and child care services to 50 unduplicated persons (beneficiaries).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 811 5th St., Modesto, CA 95351

Specific Objective Number CD-3D(i)	Project ID CDBG PS PRC
HUD Matrix Code 5	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Benefit to Low- and Moderate-Income (LMI) Persons	CDBG National Objective LMC
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 50
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$12,777
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$12,777

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-3 Provide Public Services for Residents

Project: CDBG Public Services

Activity : Food Assistance and Food 4 Thought (Second Harvest Food Bank of San Joaquin and Stanislaus Counties)

Description Food Assistance represents an efficient, cost-effective centralized system for collecting and distributing food to more effectively reduce waste and address hunger. Hunger increases illness, lowers productivity, and exacerbates chronic illness. Food insecurity is defined as either the lack of access at times to enough food for an active, healthy lifestyle; or limited or uncertain availability of nutritionally adequate foods. Stanislaus County has the 9th highest rate of food insecurity in California. This activity will work with 50 community-based organizations in Modesto to supply their food pantry programs. This activity will provide nutritious food to 72,000 unduplicated persons (beneficiaries).

Food 4 Thought is designed to feed the minds and bodies of children. This activity is an after-school-program-based food program. In a fiscal year 2010-2011 survey of the program, 90% of parents indicated that the program had a positive impact on their child's academic life. Fifteen to 18 pounds of nutritious supplemental groceries will be provided to program participants (i.e., after-school-program students) twice a month. This activity provides healthy food, helps stretch the limited budgets of low- and moderate-income households, and represents a tangible incentive for hard work in the academic component, which builds self-esteem and reinforces positive behavior. Program participants are required to complete 8 hours a week of supervised activities (4 recreational and 4 academic), in order to receive these groceries. Participating elementary schools include: Agnes Baptist, Chrysler, Eisenhut, Orville Wright, and Empire and will expand into Franklin (140 after-school-program students) - for a total of 800 unduplicated program participants (beneficiaries).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 704 E. Industrial Park Dr., Manteca, CA 95337

Specific Objective Number CD-3A(i)	Project ID CDBG PS SHFB
HUD Matrix Code 5	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Benefit to Low- and Moderate-Income Persons	CDBG National Objective LMC
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 72,000; 800
Local ID	Units Upon Completion

Funding Sources:

CDBG	34,072
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	34,072

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name

CITY OF MODESTO

Priority Need: HM-1 Address Chronic Homelessness

Project: CDBG Public Services

Activity: Enhanced Refresh Program

West Modesto King Kennedy Neighborhood Collaborative/

Stanislaus Multi-Cultural Community Health Coalition (WMKKNC/SMCCHC)

Description

The Enhanced Refresh Program provides food, clothing, hygiene products, transportation to a variety of services, and referral and information to persons experiencing homelessness. This program represents practical support and an opportunity to help persons experiencing homelessness understand that there are more options for them, even if these supports are as simple as a ride to that appointment or active listening that could be the turning point in their lives. To improve accessibility and availability of services for persons experiencing homelessness, WMKKNC/SMCCHC operates the Zephyr Clarke Drop-In Center from Friday at 6 PM to Monday at 6 AM. Activity will provide a variety of services to 175 unduplicated persons (beneficiaries).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

601 S. Martin Luther King Dr., Modesto, CA 95351

(Zephyr Clarke Drop-In Center - 716 Marshall Ave., Modesto, CA 95351)

Specific Objective Number HM-1B(i)	Project ID CDBG PS WMKKNC
HUD Matrix Code 5	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Low- and Moderate-income Persons	CDBG National Objective LMC: Presumed Benefit Category - Persons Experiencing Homelessness
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 175
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$8,518
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$8,518

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name

CITY OF MODESTO

Priority Need: SN-1 Provide Housing and Supportive Services for Non-Homeless Special Needs

Project: CDBG Public Services

Activity: Community Project for Safe Seniors (United Cerebral Palsy of Stanislaus County)

Description

Older adults often have limited resources in performing routine safety maintenance in and around their homes. Safety becomes a concern for older adults as they try to perform duties such as clearing debris from their walkways and driveways, reaching to change light bulbs, performing light janitorial work, and identifying potential tripping hazards. Persons with disabilities have limited resources in training for and obtaining employment. Many have the desire and skill to perform normal work duties with minimal accommodation. United Cerebral Palsy's employment services offers training and employment for persons with disabilities. This project combines two beneficiaries by combining the service provided by persons with disabilities with meeting the needs of older adults in the community. Activity improves quality of life of older adults by improving their living conditions and thereby preventing falls and addressing potential blight issues, while simultaneously building the skills of persons with disabilities to gain self-confidence and self-sufficiency (including employment). Activity will provide a variety of services for 66 older adults (beneficiaries) and employment for 6 persons with disabilities (beneficiaries)..

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 4265 Spyres Way, Suite #2, Modesto, CA

Specific Objective Number SN-1B(i) & SN-2B(i)	Project ID CDBG PS UCP
HUD Matrix Code 05A	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Benefit to Low- and Moderate-Income (LMI) Persons	CDBG National Objective LMC: Presumed Benefit Category - Elderly Persons/Severely Disabled Adults
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 66; 6
Local ID	Units Upon Completion 66; 6

Funding Sources:

CDBG \$8,518
ESG
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total \$8,518

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-3 Provide Public Services for Residents

Project: CDBG Public Services

Activity : Daily Bread Mobile Lunch Program (United Samaritans Foundation)

Description

Hunger increases illness, lowers productivity, and exacerbates chronic illnesses. Food insecurity is defined as either the lack of access at times to enough food for an active, healthy lifestyle; or limited or uncertain availability of nutritionally adequate foods. Stanislaus County has the 9th highest rate of food insecurity in California and more than 1 in 7 Modesto residents live in poverty. This activity provides nutritious meals and supplementary food from a food truck in low-income neighborhood parks to help address hunger. While only approximately 20% of beneficiaries experience homelessness, all beneficiaries are extremely low-income persons. Activity will provide at least one meal a day, five days a week to 375 unduplicated persons (beneficiaries) at the following low-income census tract neighborhood parks: Beard Brook Park (21.00), Mono Park (21.00), 9th and D Street (18.00), Oregon Park (21.00), Cesar E. Chavez Park (22.00), James Marshall Park (16.01), Robertson Road Park (16.04).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity

Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: Beard Brook Park, Mono Park, 9th and D Street, Oregon Park, Cesar E. Chavez Park, James Marshall Park, Robertson Road Park

Specific Objective Number CD-3A(i)	Project ID CDBG PS USF
HUD Matrix Code 5	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Low and Moderate Income Persons	CDBG National Objective LMC: Be of such a nature and in such a location that it can be concluded that clients are primarily LMI [Nature/Location Category]; or Area Benefit Activity [LMA]
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 375

Local ID	Units Upon Completion 375
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Funding Sources:

CDBG	\$12,777
ESG
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$12,777

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Modesto

Priority Need: CD-3. Provide public services for residents and SN-1. Provide housing and supportive services for elderly and frail elderly residents.

Project: CDBG Public Service - Recreation

Activity: PS Recreation Activities

Description

Provide recreation services to more than 5,000 duplicated persons through programming at Maddux Youth Center and Neighborhood Center at Marshall Park, as well as additional recreation services that benefit low- and moderate-income persons

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

Maddux Youth Center
Neighborhood at Marshall Park
Burris Pool
King Kennedy Memorial Center
Sr Center
Other

Specific Objective Number CD-3C and SN-1B	Project ID
HUD Matrix Code 05D and 05A	CDBG Citation 570.201e
Type of Recipient Low- and Moderate- Income Persons	CDBG National Objective LMA
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Persons served	Annual Units 5000
Local ID	Units Upon Completion

Funding Sources:

CDBG 68,144
ESG _____
HOME _____
HOPWA _____
Total Formula _____
Prior Year Funds _____
Assisted Housing _____
PHA _____
Other Funding _____
Total 68,144

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: HM 5 Provide Intensive and Coordinated Case Management

Project: CDBG Public Services

Activity: Emergency Response Services
The American Red Cross, Capital Region Chapter

Description

Activity provides enhanced case management and shelter to households experiencing catastrophic crises, in addition to meals, clothing, life-saving prescriptions, glasses, etc. Activity will serve 105 beneficiaries.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

230 6th St., Modesto, CA 95354

Specific Objective Number HM-5Ai	Project ID CDBG PS ARC
HUD Matrix Code 5	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Low and Moderate Income Persons	LMC
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 105
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$12,777
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$12,777

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need SN- Provide Housing and Supportive Services for non-Homeless Special Needs
Project CDBG Public Services
Activity Assistive Technology Program
Disability Resource Agency for Independent Living

Description

Activity will enhance the lives of persons with disabilities by purchasing assistive technology that cannot be obtained through other funding sources. For example, many assistive devices are not medically necessary and therefore not covered by insurance companies, but these devices promote self-sufficiency but are cost-prohibitive for persons who receive SSI, SSDI, or no income at all. Activity will serve 10 beneficiaries.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 920 12th St., Modesto, CA 95354

Specific Objective Number SN-1B(i) SN-2B(i)	Project ID CDBG PS DRAIL
HUD Matrix Code 05	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Benefit to Low- and Moderate-Income (LMI) Persons	CDBG National Objective (Limited Clientele Activity [LMC]: Presumed Benefit Category - Elderly Persons/Severely Disabled Adults)
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 10
Local ID	Units Upon Completion

Funding Sources:

CDBG	\$2,878
ESG	
HOME	
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	\$2,878

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects
CITY OF MODESTO

Jurisdiction's Name

Priority Need: CD-# Provide Public Services for Residents

Project: ESG

Activity: Respite Child Shelter Program (Children's Crisis Center of Stanislaus County)

Description: The Respite Child Shelter Program (birth to 17 years of age) helps address the unmet shelter and protective care needs of impoverished children who are disadvantaged by homelessness, familial traumas, unsafe home environments, physical abuse, sexual abuse, domestic violence, and social isolation. Without intervention, these children face an increased risk for abuse, chronic illness, developmental delays, future academic failure, emotional disturbance, poor mental health, and are likely to repeat the dysfunctional patterns of their families. Low-income children are disproportionately negatively impacted by funding cuts to public assistance programs (e.g., cuts to childcare subsidy for CalWORKs participants, cuts to after-school programs, etc.) In Modesto, families with children experiencing homelessness continue to represent the fastest growing homeless population. Child respite shelter services are critical for parents struggling with housing costs, experiencing trauma, struggling with alcohol and under drug abuse, suffering from mental illness, and/or struggling to feed their families. Four facilities (Sawyer, Cricket's, Marsha's, and Kids Zone) will address the physical, emotional, therapeutic, and nutritional needs of these children. Program staff are trained in child development (physical, cognitive, and socio-emotional) and crisis management and intervention. Through an efficient referral network, professionals (pediatricians, clinicians, dentists, optometrists, speech pathologist, etc.) will be recruited to meet identified needs. Activity represents immediate protection, trauma recovery, improved health, alleviated hunger, developmental advancement, housing stabilization, risk reduction, and improved family dynamics. Activity will provide supportive shelter services to 209 unduplicated persons (beneficiaries) or 90 unduplicated families

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

Sawyer House - 1244 Fiori Ave., Modesto, CA 95350
Cricket's House - 133 Downey Ave., Modesto, CA 95354
Marsha's House - 810 4th St., Modesto, CA 95351
Kids Zone - 1625 I St., Modesto, CA 95354

Specific Objective Number CD-3B(i)	Project ID ESG CCC
HUD Matrix Code 05N	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Benefit to Low- and Moderate-Income (LMI) Persons	CDBG National Objective (Limited Clientele Activity [LMC]: Presumed Benefit Category - Abused/Neglected Children)
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 209
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG	\$27,121
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$27,121

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name **CITY OF MODESTO**

Priority Need: Planning/Administration

Project: ESG Administration

Activity: Emergency Solutions Grants Administration

Description;

Administration has been increased from 5% to 7.5% effective with the second round of 2011 ESG funding. This funding will be used to provide program management, administration and compliance reporting associated with the HUD funded activities for ESG.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:
1010 10TH STREET, MODESTO

Specific Objective Number Other	Project ID
HUD Matrix Code 21A	ESG Citation 576.21(a)(5)
Type of Recipient	CDBG National Objective
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 6/30/2012
Performance Indicator Dollars Funded	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG	12,020
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	12,020

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name CITY OF MODESTO

Priority Need: CD-3 Provide Public Services for Residents

Project: ESG

Activity: Pathways
Center for Human Services

Description

Pathways is a transitional supportive housing program that supports young adults (ages 18-22) experiencing homelessness in their journeys toward self-sufficiency. Youth typically enter Pathways with mental health issues and having experienced trauma, a lack of education and work experience, and 60-85% abuse alcohol and other drugs (AOD). Supportive services include intensive case management; AOD and mental health counseling; and a variety of life skills lessons, including job preparation and skill-building to search for and maintain employment. Without these interventions, the outcomes for these youth would be poor: affecting the community through diminished quality of life and higher costs for public assistance, hospitalization, incarceration, and crime. Activity will provide transitional supportive housing to 30 unduplicated persons (beneficiaries).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 608 E. Granger Ave., Modesto, CA 95350

Specific Objective Number CD-3C(i)	Project ID ESG CHS
HUD Matrix Code 05D	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Benefit to Low- and Moderate-Income (LMI) Persons	CDBG National Objective (Limited Clientele Activity [LMC]: Presumed Benefit Category - Persons Experiencing Homelessness)
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 30
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG 34,072
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total 34,072

primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need: HM-1 Address Chronic Homelessness
Project: ESG Public Services
Activity: Case Management (Family Promise of Greater Modesto)

Description

Family Promise of Greater Modesto brings faith communities together to address homelessness and to support families in their journeys toward housing and self-sufficiency by connecting them to necessary resources while providing warmth and shelter. Family Promise does this through daily intensive case management and a network of 12 diverse congregations (representing more than 600 volunteers) in the community who provide shelter, food, and fellowship (without proselytizing) in their respective facilities on a rotating basis. Activity will provide supportive shelter services to 24 unduplicated households (beneficiaries).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area:

301 Woodland Ave., Ste. 7, Modesto, CA 95358

Specific Objective Number HM-1B(i)	Project ID ESG- FPOGM
HUD Matrix Code 03T	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Benefit to Low- and Moderate-Income (LMI) Persons	CDBG National Objective LMC: Presumed Benefit Category - Persons Experiencing Homelessness
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 24
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG	\$1,538
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$1,538

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name CITY OF MODESTO

Priority Need; HM-1 Address Chronic Homelessness

Project; Emergency Solutions Grants (ESG)

Activity: Berberian Homeless and Transitional Living Center (The Salvation Army, Modesto Citadel Corps.)

Description

The Salvation Army Berberian Homeless and Transitional Living Center operates year-round and provides both emergency and transitional supportive shelter services (100 and 40 beds, respectively) to single adults thereby serving a critical role in the local continuum designed to end chronic homelessness by providing integrated/coordinated emergency, transitional, and permanent supportive housing in a continuum consistent with the City of Modesto-adopted "Ten-Year Plan to End Long-Term Homelessness" prepared by the Corporation for Supportive Housing for the Stanislaus Housing and Support Services Collaborative (the local Continuum of Care). By addressing the basic needs of single adults experiencing homelessness, this activity creates stability on which to build greater self-determination. Activity will provide shelter and supportive services to 742 unduplicated persons (beneficiaries).

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: 320 Ninth St., Modesto, CA 95351

Specific Objective Number HM-1A(i)	Project ID ESG Salvation Army
HUD Matrix Code 03T	CDBG Citation 24 CFR 570.201(e)
Type of Recipient Benefit to Low- and Moderate-Income (LMI) Persons	CDBG National Objective (Limited Clientele Activity [LMC]: Presumed Benefit Category - Persons Experiencing Homelessness)
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy) 06/30/2013
Performance Indicator Number of Beneficiaries	Annual Units 742
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG 34,072
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total 34,072

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Modesto

Priority Need: Rental Housing

Project: CHDO Set-Aside

Activity: HOME CHDO Set-Aside

Description

Fifteen (15) percent of the HOME Entitlement funds will be set aside for an eligible CHDO to fund project costs of affordable housing projects.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City of Modesto, 1010 10th Street, Modesto CA 95354

Specific Objective Number 1	Project ID
HUD Matrix Code 12 Construction of Housing	CDBG Citation
Type of Recipient	CDBG National Objective
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy)
Performance Indicator	Annual Units
Local ID Number of Rental Units	Units Upon Completion

Funding Sources:

CDBG
ESG
HOME	\$100,674
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$100,674

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Modesto

Priority Need: Rental Housing

Project: CHDO Operating Activities

Activity: HOME CHDO Operating

Description

Five percent of the HOME Entitlement funds will be set aside for an eligible Community Development Housing Organization (CHDO) to assist with eligible operational cost of the organization implementing an affordable housing project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City of Modesto, 1010 10th Street, Modesto CA 95354

Specific Objective Number 1	Project ID
HUD Matrix Code 19B CHDO Operating Cost (not part of 5% Admin cap)	CDBG Citation
Type of Recipient	CDBG National Objective
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy)
Performance Indicator	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG
HOME	\$33,558
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	\$33,558

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name City of Modesto

Priority Need: Planning /Administration

Project: HOME Administration

Activity: HOME Administration

Description

A maximum of 10% of the HOME Entitlement and Program Income funds will be used to pay for the planning and administration of the HOME Program.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City of Modesto, 1010 10th Street, Modesto CA 95354

Specific Objective Number	Project ID
HUD Matrix Code 21H Admin/Planning Cost	CDBG Citation
Type of Recipient	CDBG National Objective
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy)
Performance Indicator	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG	0
ESG	
HOME	\$67,116
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding (PI)	5,000
Total	\$72,116

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name City of Modesto

Priority Need: Rental Housing

Project: Affordable Housing Direct Loans or Grants

Activity: HOME Direct Loans

Description

Funds will be used to provide loans or grants for new construction, property acquisition and rehabilitation or conversion of market rate housing to affordable housing.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area: City of Modesto, 1010 10th Street, Modesto CA 95354

Specific Objective Number 1	Project ID
HUD Matrix Code 12 Construction of Housing	CDBG Citation
Type of Recipient	CDBG National Objective LMH
Start Date (mm/dd/yyyy) 07/01/2012	Completion Date (mm/dd/yyyy)
Performance Indicator Number of rental units	Annual Units
Local ID	Units Upon Completion

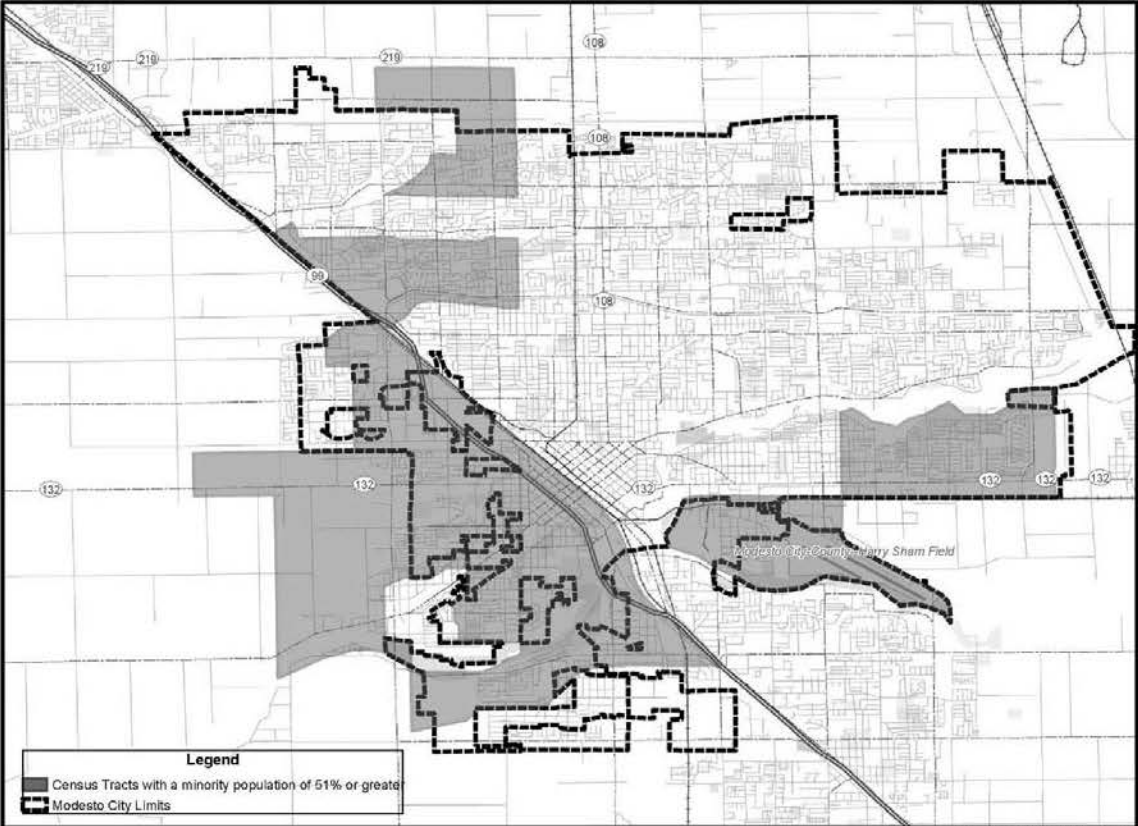
Funding Sources:

CDBG	0
ESG	
HOME	\$469,810
HOPWA	
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding (PI)	\$45,000
Total	\$514,810

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

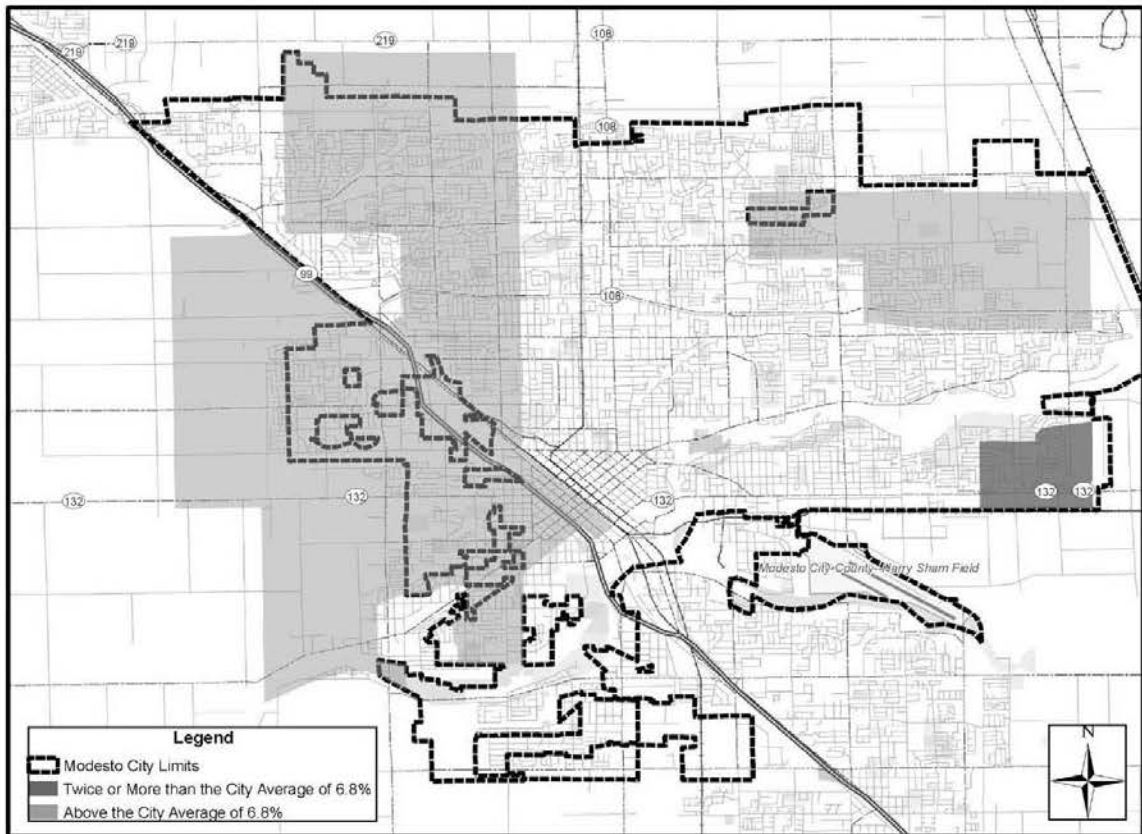
Areas of Minority Concentration

Minority Population Concentration, City of Modesto, 2009



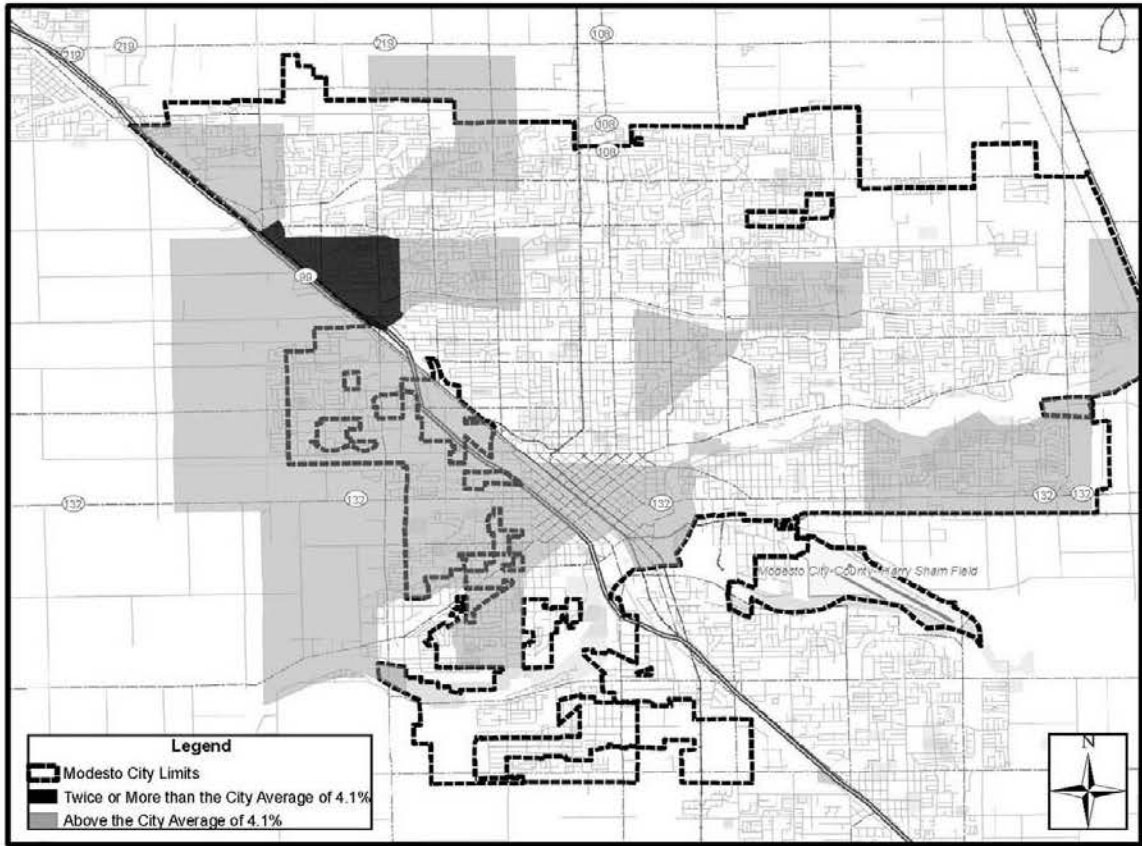
Sources: Claritas, 2009; BAE, 2010.

Asian/Native Hawaiian/Pacific Islander Population Concentration, City of Modesto, 2009



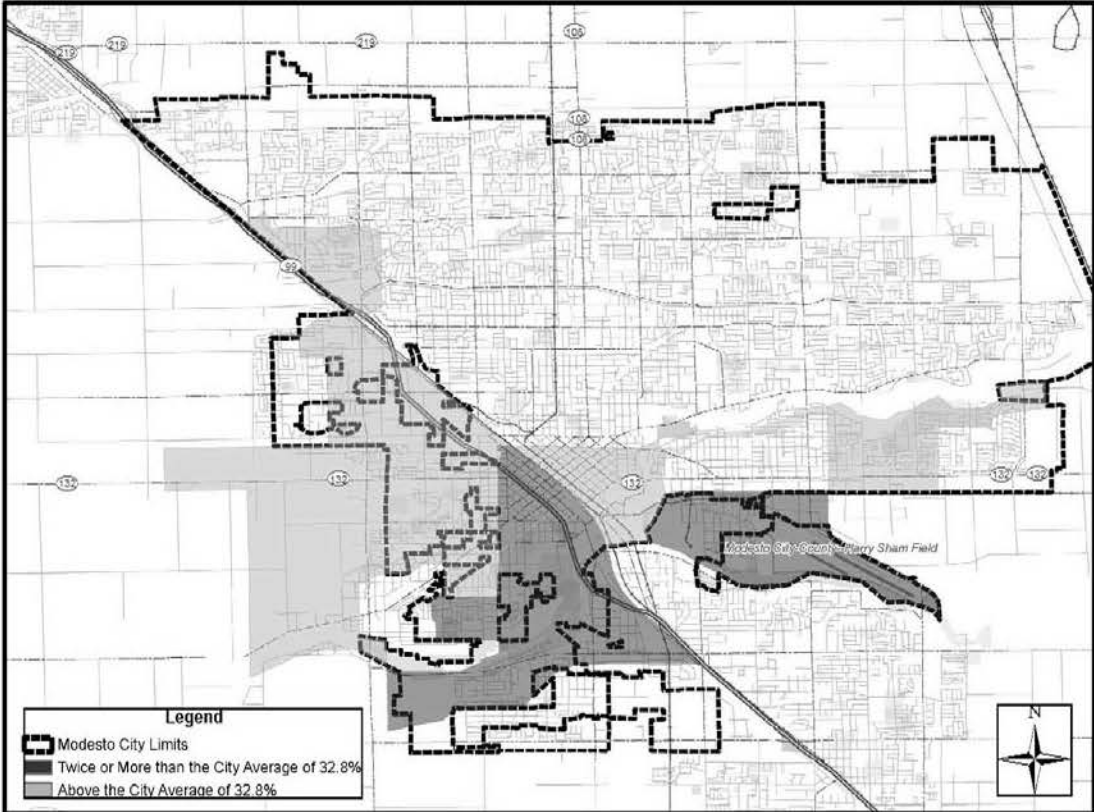
Sources: Claritas, 2009; BAE, 2010.

Black Population Concentration, City of Modesto, 2009



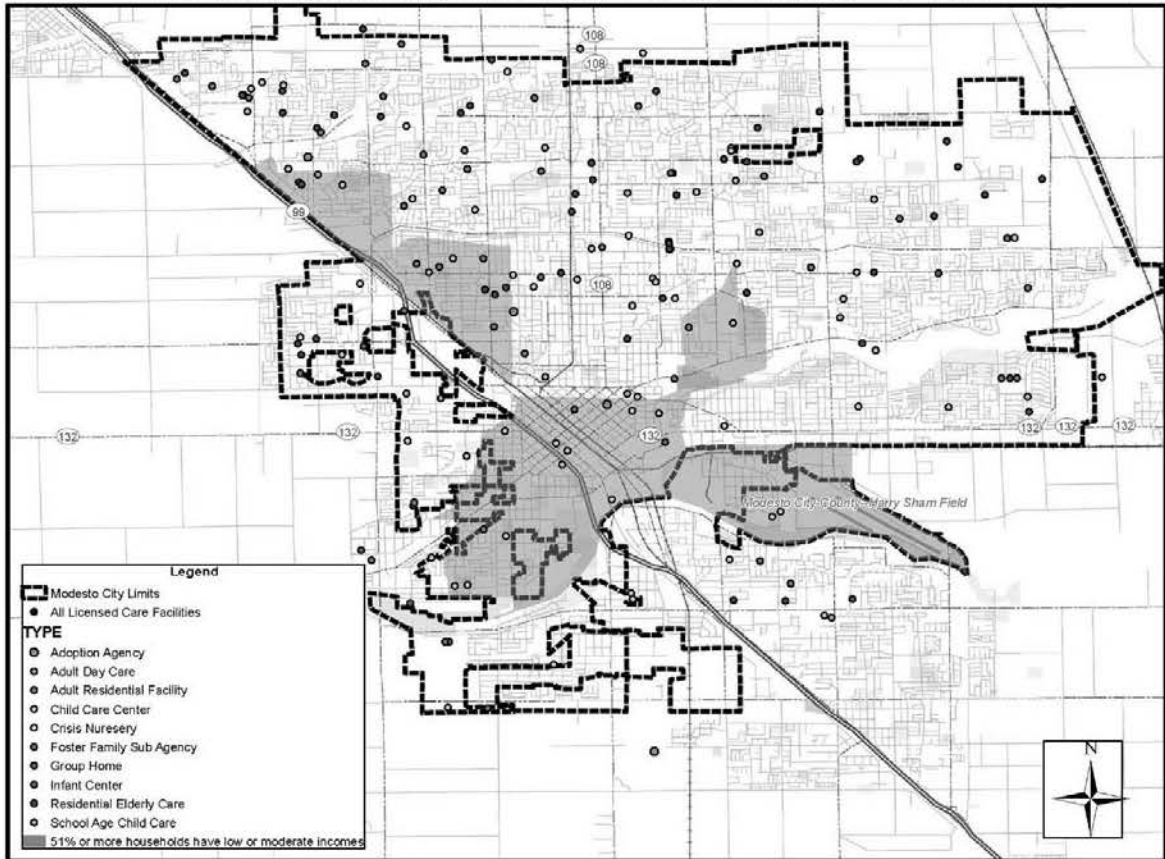
Sources: Claritas, 2009; BAE, 2010.

Hispanic Population Concentration, City of Modesto, 2009



Sources: Claritas, 2009; BAE, 2010.

Licensed Community Care Facilities, City of Modesto, 2009



Sources: State of California Department of Social Services, 2009, BAE, 2010.

Continuum of Care Homeless Facilities and Services

The following information has been excerpted from the Stanislaus County Housing & Support Services Collaborative, Continuum of Care Application 2009, Exhibit 1 to the U.S. Department of Housing and Urban Development.

The Continuum of Care Collaborative

The lead entity for the Stanislaus County Continuum of Care (COC) development is the Stanislaus County Housing and Support Services Collaborative (SCHSSC). The SCHSSC was originally established in 2000 through the merging of several small collaboratives, which focused on service, and housing needs for various populations. In July of 2001, The Stanislaus County Board of Supervisors and the City of Modesto officially recognized the Stanislaus County Housing and Support Services Collaborative as the coordinating body for homeless programs and services in Stanislaus County. The mission of the SCHSSC is to advocate, coordinate, and optimize services for men, women and families who are homeless in Stanislaus County. The SCHSSC's activities include data collection, education, outreach, advocacy, development of the Homeless Management Information System (HMIS), and updating of the Continuum of Care Plan.

The SCHSSC is comprised of individual members and more than 50 agencies and organizations representing all cities within the County of Stanislaus. Representation includes members from non-profit organizations, homeless persons, formerly homeless persons, local government, disability service organizations, the public housing authority, police service departments, faith-based and other community-based organizations, service agencies, community members, government agencies and housing developers. The SCHSSC has an Executive Committee and four standing and/or temporary committees established in order to accomplish a specific goal. These committees are dedicated to working on 1) Needs for different special populations, 2) Preventing and ending homelessness in Turlock 3) Grant Review and Program Performance Evaluation, and 4) Continuum of Care updates.

Members of the collaborative meet monthly as a group and sub-committees meet either monthly or as needed to work towards the goal of improving the existing plan, discussion of new programs, development of greater collaboration, and identifying gaps in the existing plan. The SCHSSC draws on the strength and experience of its membership as well as the experience and commitment of other key stakeholders. SCHSSC ensures fairness and equity in the development of its COC process and related funding applications by seeking the participation of diverse individuals and organizations that represent all communities and sub-populations within the County.

Planning Process

The SCHSSC is the entity that coordinates the on-going development of the County-wide Continuum of Care. Building on the network of organizations and coalitions developed over the last nine years, its efforts have enriched the ability of organizations to draw upon each other's expertise, and resources to address homelessness, streamlined service

delivery, and enhanced coordination between agencies and state and local providers. Overall, its efforts have resulted in a Continuum that is productive, vibrant, and sustainable over the long term. Many accomplishments and the progress made in increasing the level of housing and supportive service programs within the past year are identified in the COC planning meetings and topics illustrated further in this section of the narrative.

The SHSSC has appointed the four sub-committees to be responsible for the day-to-day work of developing the Continuum. The SCHSSC is charged with meeting the challenges of developing a fair, open, participatory, and effective County-wide Continuum of Care in Stanislaus County. The vision of the SCHSSC is: "Housing is a basic human right. People who are homeless should have adequate, affordable housing and access to comprehensive services tailored to their individual needs." The SCHSSC holds regular monthly meetings for all members within the collaborative. The subcommittee groups meet either monthly or as needed depending upon the project to develop the Continuum of Care and its associated application. Members of the Sub Committees undertake tasks including:

1. Organizing the planning process
2. Collecting needs data and inventorying system capacity
3. Determining and prioritizing gaps and needs
4. Developing policies and setting priorities to ensure service effectiveness
5. Reviewing grant applications and providing technical support to grantees
6. Identifying funding resources available for development of housing and supportive services
7. Developing strategies to strengthen the existing continuum of care plan

Continuum of Care Membership Organization Chart

The chart identified all the Continuum of Care member organizations or individuals directly involved in the Continuum of Care planning process.

Organization Name	Membership Type	Organization Type	Organization Role	Subpopulations
California State Parole	Public Sector	Law enf...	Committee/Sub-committee/Work Group	NONE
Behavioral Health & Recovery Services	Public Sector	Local g...	Primary Decision Making Group, Lead agency for 10-year pl...	Seriously Me...
Ceres Partnership for Healthy Children	Public Sector	Local g...	Committee/Sub-committee/Work Group	Youth
City of Hughson	Public Sector	Local g...	Committee/Sub-committee/Work Group	NONE
City of Modesto - Council	Public Sector	Local g...	Attend Consolidated Plan planning meetings during past 12...	NONE
City of Modesto - Parks, Recreation, Neighborhoods	Public Sector	Local g...	Committee/Sub-committee/Work Group, Attend 10-year planni...	NONE
City of Riverbank	Public Sector	Local g...	Attend 10-year planning meetings during past 12 months, C...	NONE
City of Turlock - Neighborhood Preservation	Public Sector	Local g...	Attend 10-year planning meetings during past 12 months, C...	NONE
Community Services Agency	Public Sector	Local g...	Committee/Sub-committee/Work Group	NONE
Families First	Private Sector	Non-pro...	Committee/Sub-committee/Work Group	Youth
Health Services Agency	Public Sector	Local g...	Committee/Sub-committee/Work Group	NONE
Stanislaus County Planning & Community Development	Public Sector	Local g...	Primary Decision Making Group, Attend Consolidated Plan p...	NONE
Veteran's Administration	Public Sector	State g...	Committee/Sub-committee/Work Group	Veterans
Veterans & Aging Services	Public Sector	Local g...	Committee/Sub-committee/Work Group	Veterans
Housing Authority County of Stanislaus	Public Sector	Publi c ...	Primary Decision Making Group, Attend Consolidated Plan p...	NONE
Modesto Junior College	Public Sector	School ...	Committee/Sub-committee/Work Group	NONE
CSU Stanislaus Cares Resource Center	Public Sector	School ...	Attend 10-year planning meetings during past 12 months, C...	NONE

Modesto Police Department	Public Sector	Law enf...	Committee/Sub-committee/Work Group	NONE
Stanislaus Economic Development & Workforce All...	Public Sector	Loca l g...	Committee/Sub-committee/Work Group	NONE
Center for Human Services	Private Sector	Non-pro..	Committee/Sub-committee/Work Group, Attend 10-year planni...	Youth
Children's Crisis Center	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	Youth
Community Housing & Shelter Services	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE
Disability Resources Agency for Independent Living	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE
Family Promise of Greater Modesto	Private Sector	Faith-b...	Committee/Sub-committee/Work Group	NONE
Habitat for Humanity Stanislaus	Private Sector	Faith-b...	Committee/Sub-committee/Work Group	NONE
Haven Women's Center	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	Domesti c Vio...
Parent Resource Center	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	Youth
Project Sentinel	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE
Red Cross Stanislaus	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE
Second Harvest	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE
Stanislaus Community Assistance Project	Private Sector	Non-pro..	Primary Decision Making Group, Committee/Sub-committee/Wo...	HIV/AIDS
STANCO	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE
Turning Point Community Programs	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	Seriousl y Me...
We Care Program	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE
United Samaritans	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE
Calvary Temple - Nineveh Outreach	Private Sector	Faith-b...	Committee/Sub-committee/Work Group	NONE

Interfaith Ministries	Private Sector	Faith-b...	Committee/Sub-committee/Work Group	NONE
Modesto Gospel Mission	Private Sector	Faith-b...	Committee/Sub-committee/Work Group	NONE
Rock of Recovery	Private Sector	Faith-b...	Committee/Sub-committee/Work Group	Substance Abuse
Salvation Army	Private Sector	Faith-b...	Committee/Sub-committee/Work Group	NONE
United Way	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE
La Loma Neighborhoods	Private Sector	Othe r	Committee/Sub-committee/Work Group	NONE
Telecare	Private Sector	Businesses	Committee/Sub-committee/Work Group	Seriously Me...
Doctors Medical Center	Private Sector	Hospita..	Committee/Sub-committee/Work Group	NONE
Golden Valley Health Centers	Private Sector	Hospita..	Committee/Sub-committee/Work Group	NONE
Paul Boden	Individual	Homeles..	Committee/Sub-committee/Work Group	NONE
Mick Matthews	Individual	Homeles..	Committee/Sub-committee/Work Group	NONE
Coalition on Homelessness	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE
Area Agency on Aging	Public Sector	Local g...	Committee/Sub-committee/Work Group	NONE
California Rural Legal Assistance	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE
Friends Outside	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE
Help Others Sleep Tonight (HOST)	Private Sector	Non-pro..	Committee/Sub-committee/Work Group	NONE

Emergency Shelter Program Information			Target Population		All Year-Round Beds/Units			Seasonal Beds	O/V Beds
Organization Name	Program Name	Geo Code	A	B	Beds for Households with Children	Units for Households with Children	Beds for Households without Children	Total Seasonal Beds	Overflow/Voucher
Center for Human Services	Hutton House	062292	YMF		0	0	8	0	0
Children's Crisis Center	Cricket House	062292	YMF		0	0	10	0	0
Children's Crisis Center	Guardian House	062292	YMF		0	0	14	0	0
Children's Crisis Center	Sawyer House	062292	YMF		0	0	9	0	0
Children's Crisis Center	Verda's House	062292	YMF		0	0	5	0	0
Children's Crisis Center	Marsha's House	062292	YMF		0	0	12	0	0
CHSS	Motel Vouchers	062292	HC		100	33	0	0	0
Family Promise	FP Church Sites	062292	HC		14	4	0	0	0
Haven Women's Center	Haven Shelter	062292	HC	DV	25	8	0	0	0
Interfaith Ministries	Santa Fe	069099	SMF+H C		0	0	0	127	0
Modesto Gospel Mission	Mission	062292	SMF+H C		90	30	150	0	0
Nirvana	131 Kelly	062292	SMF		0	0	3	0	0
Salvation Army	Berberian Winter Shelter	062292	SMF		0	0	0	100	0
SCAP	HOPWA Vouchers	062292	SMF	HIV	0	0	2	0	0
STANCO/Turning Point	Garden Gate	062292	SMF		0	0	9	0	0
We Care Program	We Care Shelter	062292	SMF+H C		0	0	0	35	0
Turlock Gospel Mission	Turlock Gospel Mission	062292	HC		0	0	0	30	0
Insert organization name									

Transitional Housing Program Information			Target Population		All Year-Round Beds/Units		
Organization Name	Program Name	Geo Code	A	B	Beds for Households with Children	Units for Households with Children	Beds for Households without Children
Center for Human Services	Pathways	062292	SMF		2	1	14
CHSS	Laura's House	062292	HC		64	16	0
Haven Women's Center	Women's Haven	062292	HC	DV	16	4	0
Interfaith Ministries	Redwood	062292	HC		65	15	0
Interfaith Ministries	Park Avenue	062292	HC		17	9	0
Nirvana	1116 Alice St	062292	SF		0	0	6
Nirvana	1116 1/2 Alice St	062292	SF		0	0	6
Nirvana	1028 Reno	062292	SM		0	0	30
Nirvana	1040 Reno	062292	SM		0	0	6
Nirvana	1525 Stetson	062292	SM		0	0	6
Nirvana	1641 Pearl	062292	SF		0	0	6
Nirvana	1720 Groveton	062292	SM		0	0	6
Salvation Army	Berberian Transitional	062292	SMF		0	0	36
SCAP	Halo Ensenada	062292	SMF+HC		12	6	4
SCAP	Halo Tully	062292	SMF+HC		6	3	6
SCAP	TIPP	062292	SMF+HC		20	10	25
SCAP	Halo - Dragoos Park	062292	SMF+HC		3	1	5
SCAP	Halo - Fort Sumpter	062292	SMF+HC		2	1	2
STANCO	Garden Gate	062292	SMF		0	0	21
STANCO	Locust	062292	SMF		0	0	3
STANCO	Maze	062292	SMF		0	0	2
STANCO	California	062292	SMF		16	8	15
STANCO	California	062292	SM		0	0	2
SCAP	Athens	062292	SMF+HC		0	0	14
SCAP	16th St	062292	SMF+HC		12	6	4
SCAP	2nd St - Oakdale	062292	SMF		0	0	8
STANCO	Garvey	062292	SMF		0	0	3

Permanent Housing Program Information			Target Population		All Year-Round Beds/Units		
Organization Name	Program Name	Geo Code	A	B	Beds for Households with Children	Units for Households with Children	Beds for Households without Children
HACS	S+C 1	069099	SMF		0	0	6
HACS	S+C 2	069099	SMF		0	0	11
HACS	S+C 3	069099	SMF+HC		25	7	8
HACS	S+C 4	069099	SMF+HC		45	13	10
HACS	Miller Point S+C 5	069099	SMF		0	0	15
HACS	S+C 6	069099	SMF+HC		36	12	11
HACS	S+C 7	069099	SMF+HC		18	6	6
HACS	HCV Vouchers	069099	SMF		0	0	25
SCAP	Halo House 1	062292	SMF+HC		11	4	4
SCAP	Halo House 3	062292	SMF		0	0	18
SCAP	Halo House 5	062292	SMF		0	0	18
SCAP	Halo Houses 9	062292	SMF		0	0	12
STANCO	California	062292	SMF		0	0	5
STANCO	Maze Blvd	062292	SMF		0	0	2
Turning Point	Affordable Housing Project	062292	SMF		0	0	12
SCAP	HALO 17	062292	SMF		0	0	13
Turning Point	Supportive Housing	062292	SMF		0	0	9

City of Modesto HOME Recapture Guidelines for Homebuyers Programs



City of Modesto HOME Recapture Guidelines for Homebuyers Programs

The City of Modesto based on the HOME-assisted homebuyer requirements set forth in Section 215 of the HOME statute in the HOME rule found at 24CFR Part 92 follows specific requirements for the homebuyer HOME assisted units. These guidelines apply when a homebuyer or developer is assisted with HOME Investment Partnerships Program funds and the homebuyer sells or transfers the assisted property subsequent to the initial HOME-assisted purchase.

Period of Affordability

Consistent with 24 CFR Part 92.254(a)(4), the following minimum period of affordability shall be enforced, unless a longer period is imposed:

<u>HOME amount per unit</u>	<u>Minimum Period of Affordability</u>
Under \$15,000	5 years
\$15,000 to \$40,000	10 years
Over \$40,000	15 years

The City of Modesto may use HOME Program funds to provide homeownership assistance. The forms of subsidy to be used to assist homebuyers and/or developers may include down payment assistance, interest subsidy, development cost subsidy, direct loan, project grant, or some combination of these methods.

Recapture Provisions

- A. Activity Types - Recapture provisions as outlined in 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (7) shall be enforced in cases where HOME funds are provided as a direct subsidy to the homebuyer as down payment and/or purchase-price assistance.
- B. Methods – The recapture option allows the City to recapture the entire HOME subsidy, subject to net proceeds, if the homeowner sells his or her property, does not occupy the home as the owner’s principal place of residence, or violates the program requirements within the period of affordability. All HOME-assisted unit sales under the recapture option shall meet the following criteria:
 - ✓ The homebuyer may sell the property to any willing buyer
 - ✓ The homebuyer can sell the property at any price
 - ✓ The City of Modesto must collect a part or all of the direct assistance from the net sales proceeds of the unrestricted sale, if sufficient net sales proceeds exist.
- C. Enforcement Mechanisms - Recapture provisions shall be enforced through a HOME loan agreement, note and recapture agreement including affordability covenants recorded filed with the Stanislaus County Clerk Recorder, and the requirements within shall be triggered upon sale or transfer of the HOME-assisted property.

D. Amount of Repayment – The City of Modesto requires that when the recapture provision is triggered by a sale (whether voluntary or involuntary), it will recapture the HOME investment up to the total net proceeds remaining after the sale as modified by program specific choices under 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (4). Net proceeds are the sales price minus the superior loan repayment (other than HOME funds) and any closing costs. The specific method of recapture as capped by net proceeds may be one of the four choices outlined in 24 CFR Part 92.254(a)(5)(ii)(A)(1) through (4). These options include:

- Recapture the entire amount- The City may recapture the entire amount of the HOME investment from the homeowner, with total collections capped by net proceeds;
- Reduction during the affordability period- The City may elect to reduce the HOME investment amount to be recaptured on a pro rata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period;
- Shared Net Proceeds- When the net proceeds (i.e., the sales price minus loan repayment, other than HOME funds, and closing costs) are insufficient to repay the direct HOME subsidy and the homebuyer’s investment, the net proceeds shall be shared according to the following formula. Recapture of the HOME funds is based on the ratio of the HOME subsidy to the sum of the homeowner’s investment (including down payment and any capital improvement investment made by the owner since purchase), plus the HOME subsidy:

$$\frac{\text{HOME Subsidy}}{\text{HOME Subsidy} + \text{Homeowner Investment}} \times \text{Net Proceeds} = \text{HOME Recapture}$$

- Owner investment returned first- The City of Modesto may permit the homebuyer to recover the homebuyer’s entire investment (down payment and capital improvements made by the owner since purchase) before recapturing the HOME investment.

City staff shall select one of these four options based on the specific project/program. The selected method must be included in the contract between the City of Modesto and any partner.

- E. Mortgage Release - Upon receipt of recaptured funds, the City of Modesto shall file a “Deed of Reconveyance” document with the Stanislaus County Clerk Recorder to release the original HOME-assisted homebuyer from the requirements of the mortgage or other similar mechanism.
- F. Repayments – Repayments of recaptured funds shall be remitted directly to the City of Modesto or a City designated Loan Servicer to be utilized for HOME-eligible activities only.

Supporting documentation for ESG Substantial Amendment

- ◆ **Public Notice – Funding Notice**
- ◆ **Request for Proposals(RFP)**
- ◆ **Agenda Report to City Council**
- ◆ **Resolutions authorizing new activities**
- ◆ **ESG Certification**

**DECLARATION OF PUBLICATION
(C.C.P. §2015.5)**

**COUNTY OF STANISLAUS
STATE OF CALIFORNIA**



City of Modesto
Notice of Funding Availability

The City of Modesto is making available approximately \$100,000 for Fiscal Year 2012-2013 (July 1, 2012 - June 30, 2013) as a result of new federal regulations that revised the Emergency Shelter Grants program - now the Emergency Solutions Grants (ESG) program.

A request for proposals (RFP) for the new homelessness prevention and rapid re-housing components of ESG will be released on Monday, March 26, 2012. Proposals must be received by 4:30 PM, Monday, April 9, 2012. RFP will be available on the City's website - www.modestogov.com.

RFP solicits proposals that are designed to provide short- and medium-term rental assistance and housing relocation and stabilization services to prevent homelessness for individuals and households at imminent risk of experiencing homelessness and rapidly re-housing individuals and families experiencing homelessness.

An RFP and technical assistance (TA) workshop will be held on Thursday, March 29 from 3 PM to 4 PM in the Stuart Room (4104), 1010 Tenth Street, Modesto. Attendance is not mandatory. To register, contact Hugo Ramirez at (209) 577-5368 or hramirez@modestogov.com.

For additional information, call (209) 577-5368, fax (209) 544-3982 or TDD (hearing-impaired only) 1 (800) 735-2929. Please contact Lupe Resendez at (209) 577-5245 within 48 hours of the RFP and TA workshop, should any special accommodations be needed.
Pub Dates 03/26/2012

I am a citizen of the United States and a resident Of the County aforesaid; I am over the age of Eighteen years, and not a party to or interested In the above entitle matter. I am a printer and Principal clerk of the publisher of **THE MODESTO BEE**, printed in the City of **MODESTO**, County of **STANISLAUS**, State of California, daily, for which said newspaper has been adjudged a newspaper of general circulation by the Superior Court of the County of **STANISLAUS**, State of California, Under the date of **February 25, 1951, Action No. 46453**; that the notice of which the annexed is a printed copy, has been published in each issue there of on the following dates, to wit:

Mar 26, 2012

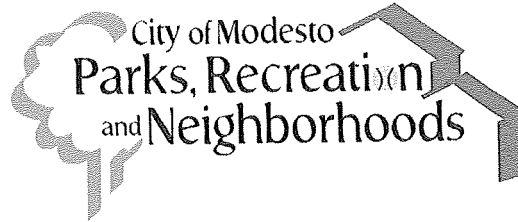
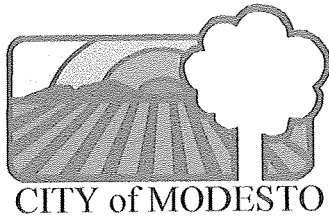
I certify (or declare) under penalty of perjury That the foregoing is true and correct and that This declaration was executed at

MODESTO, California on

March 26th, 2012

(By Electronic Facsimile Signature)

Marie Hickman



**Emergency Solutions Grants (ESG)
Homelessness Prevention and Rapid Re-Housing Components**

Request for Proposals (RFP)

Eligible organizations responding to this RFP must prepare a proposal using the Proposal Template and Checklist. The proposal must be limited to ten (10) pages in length, excluding exhibits. Incomplete proposals or proposals submitted after the deadline will not be considered. **The original with all exhibits and three (3) copies of the proposal without exhibits must be submitted to:**

City of Modesto
Parks, Recreation, and Neighborhoods Department
Hugo Ramírez, Community Development Program Specialist II
1010 Tenth Street, Suite 4300
Modesto, California 95354

Proposals must be received by 4:30 PM, Monday, April 9, 2011.

An RFP and technical assistance (TA) workshop will be held on Thursday, March 29 from 3 PM to 4 PM in the Stuart Room (4104), 1010 Tenth Street, Modesto. Attendance is not mandatory. To register, contact Hugo Ramírez at (209) 577-5368 or hramirez@modestogov.com.

About this RFP

The City of Modesto is making available approximately **\$100,000** for Fiscal Year 2012-2013 (July 1, 2012 – June 30, 2013) as a result of new federal regulations released in December 2011 that revised the Emergency Shelter Grants program, which is now the Emergency Solutions Grants program.

The Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act), through the December 2011 implementing regulations, revised the Emergency Shelter Grants program and renamed it to reflect the change in focus from addressing the needs of persons experiencing homelessness in emergency and transitional shelters to assisting persons to quickly regain stability in permanent housing after experiencing a housing crisis or homelessness.

This RFP solicits proposals that are designed to provide short- and medium-term rental assistance and housing relocation and stabilization services to prevent homelessness for individuals and households at imminent risk of experiencing homelessness and rapidly re-housing individuals and families experiencing homelessness.

Organizations submitting proposals **must** have experience managing

	homelessness prevention and/or rapid re-housing programs (e.g., the Homelessness Prevention and Rapid Re-Housing Program (HPRP) or other tenant- or project-based rental assistance programs with supportive services).												
Key Dates	<table border="1"> <tr> <td data-bbox="412 331 1000 369">Release RFP</td> <td data-bbox="1000 331 1576 369">March 26</td> </tr> <tr> <td data-bbox="412 369 1000 407">RFP and TA Workshop</td> <td data-bbox="1000 369 1576 407">March 29</td> </tr> <tr> <td data-bbox="412 407 1000 445">Proposals Due</td> <td data-bbox="1000 407 1576 445">April 9</td> </tr> <tr> <td data-bbox="412 445 1000 604">Citizens Housing and Community Development Committee (CH&CDC) Review Subcommittee Hearing of Oral Presentations</td> <td data-bbox="1000 445 1576 604">April 17</td> </tr> <tr> <td data-bbox="412 604 1000 709">CH&CDC Consideration of Review Subcommittee Recommendations</td> <td data-bbox="1000 604 1576 709">April 18</td> </tr> <tr> <td data-bbox="412 709 1000 814">Council Consideration of CH&CDC Recommendations (as part of Fiscal Year 2012-2013 Action Plan)</td> <td data-bbox="1000 709 1576 814">May</td> </tr> </table>	Release RFP	March 26	RFP and TA Workshop	March 29	Proposals Due	April 9	Citizens Housing and Community Development Committee (CH&CDC) Review Subcommittee Hearing of Oral Presentations	April 17	CH&CDC Consideration of Review Subcommittee Recommendations	April 18	Council Consideration of CH&CDC Recommendations (as part of Fiscal Year 2012-2013 Action Plan)	May
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Funding Priorities	<p>The City of Modesto, in consultation with the local Continuum of Care (also known locally as the Stanislaus Housing and Support Services Collaborative – a collaborative group of government, public agencies, advocates, and community- and faith-based organizations focused on addressing homelessness, creating affordable housing, and providing assistance that promotes self-sufficiency), is soliciting proposals that are designed to address the following priorities, strategies, and outcomes:</p> <ul style="list-style-type: none"> – Focusing more on homelessness prevention than rapid re-housing; – Limiting assistance to: <ul style="list-style-type: none"> - Families with dependent children; - Persons experiencing homelessness who are within six months of obtaining mainstream benefits; and - U.S. Department of Housing and Urban Development – U.S. Department of Veterans Affairs Supportive Housing (HUD-VASH) program participants (security deposit assistance only) – Providing 3-6 months of assistance* to program participants and additional assistance only when merited and program participants adhere to individualized stability plan; – Including as a special risk factor for homelessness severely deteriorated housing; and – Basing program performance on the elimination of barriers to housing stability <p>Proposals will be evaluated according to how closely proposals align with these priorities, strategies, and outcomes and those described in Consistency with Consolidated Plan section of this RFP.</p>												

	<p>*Assistance includes:</p> <ul style="list-style-type: none"> - Tenant- or project-based, short- and medium-term rental assistance - Housing relocation and stabilization services, which include: <ul style="list-style-type: none"> - Financial assistance costs: <ul style="list-style-type: none"> • Rental application fees • Security deposits • Last month's rent • Utility deposits • Utility payments • Moving costs - Services costs: <ul style="list-style-type: none"> • Housing search and placement • Housing stability case management • Mediation • Legal services • Credit repairs
<p>Term of Award</p>	<p>Selected proposal(s) will be funded for one year (Fiscal Year 2012-2013).</p> <p>Note that ESG awards for the emergency shelter component have already been recommended as part of a two-year funding cycle; the second year of which will begin in Fiscal Year 2012-2013.</p> <p>While this RFP will award ESG funding for homelessness prevention and rapid re-housing components of ESG for Fiscal Year 2012-2013, <u>a two-year funding cycle for these components will begin in Fiscal Year 2013-2014 when the current two-year funding cycle for other City of Modesto grants restarts.</u></p> <p>The two-year funding cycle is intended to enhance project stability and design, and direct resources toward service delivery instead of grant-writing and review. Level of funding for the second year will depend on the availability of funding, as well as on project performance during the first year of the award. City of Modesto retains the discretion to determine any change in the amount of the second-year award or terminate the subgrant agreement based on the provisions contained therein.</p>
<p>One-Proposal Limit</p>	<p>Organizations may submit only one proposal.</p>
<p>ESG Eligible Activities</p>	<p><u>Street Outreach</u></p> <ol style="list-style-type: none"> 1. Essential Services <p><u>Emergency Shelter</u></p> <ol style="list-style-type: none"> 1. Shelter Operations <ol style="list-style-type: none"> a. <u>Limitations Removed:</u> Shelter operations no longer have a limit on staff costs and may now include cost of supplies and, under certain conditions, costs of hotel or motel stays where no appropriate emergency shelter is available 2. Essential Services 3. Renovation

	<p><u>Homelessness Prevention</u></p> <ol style="list-style-type: none"> 1. Tenant-Based Rental Assistance 2. Housing Relocation and Stabilization Services <p><u>Rapid Re-Housing</u></p> <ol style="list-style-type: none"> 1. Tenant-Based Rental Assistance 2. Project-Based Rental Assistance 3. Housing Relocation and Stabilization Services <p><u>Homeless Management Information Systems (HMIS)</u></p>
<p>Minimum Program Eligibility Requirements</p>	<p>Homelessness Prevention Individual or family <u>meets ESG definition of “at risk of homelessness”</u></p> <p>This includes the following threshold criteria for homelessness prevention assistance:</p> <ul style="list-style-type: none"> – Individual or family <u>has income below 30 percent of area median income and</u> – Individual or family <u>has insufficient resources immediately available to attain housing stability</u> <p>Rapid Re-Housing Individual or family <u>meets ESG definition of “homeless”</u></p>
<p>Definitions</p>	<p>Homeless</p> <ol style="list-style-type: none"> a. An individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning: <ol style="list-style-type: none"> i. An individual or family with a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, including a car, park, abandoned building, bus or train station, airport, or camping ground; ii. An individual or family living in a supervised publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state, or local government programs for low-income individuals); or iii. An individual who is exiting an institution where he or she resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution; b. An individual or family who will imminently lose their primary nighttime residence, provided that: <ol style="list-style-type: none"> i. The primary nighttime resident will be lost within 14 days of the date of application for homeless assistance; ii. No subsequent residence has been identified; and iii. The individual or family lacks the resources or support networks, e.g., family, friends, faith-based or other social networks needed to obtain other permanent housing; c. Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who: <ol style="list-style-type: none"> i. Are defined as homeless under the Runaway and Homeless Youth, Head Start, Violence Against Women, Public Health Services, Food and Nutrition, or Child Nutrition Acts;

- ii. Have not had a lease, ownership interest, or occupancy agreement in permanent housing at any time during the 60 days immediately preceding the date of application for homeless assistance;
 - iii. Have experienced persistent instability as measured by two moves or more during the 60-day period immediately preceding the date of applying for homeless assistance; and
 - iv. Can be expected to continue in such status for an extended period of time because of chronic disabilities, chronic physical health or mental health conditions, substance addition, histories of domestic violence or childhood abuse (including neglect), the presence of a child or youth with a disability, or two or more barriers to employment, which include the lack of a high school degree or General Education Development (GED), illiteracy, low English proficiency, a history of incarceration or detention for criminal activity, and a history of unstable employment; or
- d. Any individual or family who:
- i. Is fleeing, or is attempting to flee, domestic violence, dating violence, sexual assault, stalking, or other dangerous or life-threatening conditions that relate to violence against the individual or a family member, including a child, that has either taken place within the individual or family's primary nighttime residence or has made the individual or family afraid to return to their nighttime residence;
 - ii. Has no other residence; and
 - iii. Lacks the resources or support networks, e.g., family, friends, faith-based or other social networks, to obtain other permanent housing.

At Risk of Homelessness

- a. An individual or family who:
- i. Has an annual income below 30 percent of area median family income;
 - ii. Does not have sufficient resources or support networks, e.g., family, friends, faith-based or other social networks, immediately available to prevent them from moving to an emergency shelter or another place described in the homeless definition; and
 - iii. Meets one of the following conditions:
 1. Has moved because of economic reasons two or more times during the 60 days immediately preceding the application for homelessness prevention assistance;
 2. Is living in the home of another because of economic hardship;
 3. Has been notified in writing that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance;
 4. Lives in a hotel or motel and the cost of the hotel or motel stay is not paid by charitable organizations or by federal, state, or local government programs for low-income individuals;
 5. Lives in a single-room occupancy or efficiency apartment unit in which there reside more than two persons or lives in a larger housing unit in which there reside more than 1.5 people per room, as defined by the U.S. Census Bureau;
 6. Is exiting a publicly funded institution, or system of care, e.g., health-care facility, mental health facility, foster care, or other youth facility, or correction program or institution; or

	<p>7. Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the City of Modesto's approved consolidated plan;</p> <p>b. A child or youth who does not qualify as homeless under the HEARTH Act but qualifies as homeless under the Runaway and Homeless Youth, Head Start, Violence Against Women, Public Health Services, Food and Nutrition, or Child Nutrition Acts; or</p> <p>c. A child or youth who does qualify as homeless under the HEARTH Act but qualifies as homeless under the McKinney-Vento Homeless Assistance Act, and the parent(s) or guardian(s) of that child or youth if living with her or him.</p>
<p>Match Requirement</p>	<p>ESG awards require a dollar-for-dollar match, which may be satisfied with cash and/or in-kind contributions. Such contributions must be documented.</p>
<p>Proposal Requirements</p>	<ul style="list-style-type: none"> • Proposal Checklist • Proposal <ul style="list-style-type: none"> - Statement of Need - Organizational Experience and Capacity - Design - Budget and Financial Management Capacity - Fund Development - Certifying Signature • Exhibit A – Key Staff and Specified Roles • Exhibit B – Governing Body Membership • Exhibit C – Articles of Incorporation and By-Laws (State of California Secretary of State) • Exhibit D – Determination Letters (California Franchise Tax Board and Internal Revenue Service) • Exhibit E – City of Modesto Business License
<p>Eligible Organizations</p>	<ul style="list-style-type: none"> • Nonprofit Organizations • Governmental Entities • Religious/Faith-Based Organizations* • Institutions of Higher Education <p>* Religious/faith-based organizations may retain its independence from federal, state, and local governments, and may continue to carry out its mission, including the definition, practice, and expression of its religious beliefs, <u>provided that it does not use direct ESG funds to support any inherently religious activities, such as worship, religious instruction, or proselytizing.</u> These organizations may use space in its facilities to provide ESG-funded services without removing religious art, icons, scriptures, or other religious symbols. In addition, an ESG-funded religious/faith-based organization retains its authority over its internal governance, and it may retain religious terms in its organization's name, select its board members on a religious basis, and include religious references in its organization's mission statements and other governing documents. <u>An ESG-funded organization may not, in ESG-funded service delivery, discriminate against beneficiaries or potential beneficiaries on the basis of religion or religious belief.</u></p>

Organizational Capacity	<p>There must be a demonstrated service delivery history and track record of ability to efficiently and effectively administer programs and achieve desired outcomes. There should be evidence of the ability to fundraise. The proposal should demonstrate a logical relationship between the organization, its mission, and the proposed project, as well as between project outputs and outcomes.</p> <p>Organizations submitting proposals must have experience managing homelessness prevention and/or rapid re-housing programs (e.g., the Homelessness Prevention and Rapid Re-Housing Program or other tenant- or project-based rental assistance programs with supportive services).</p>
Reporting and Reimbursement	<p>Funded organization(s) will be required to maintain records on beneficiaries, as well as other records necessary to document all assistance provided.</p> <p>Organization(s) will prepare and submit a periodic performance report for each reporting period (either monthly or quarterly), which contains project outputs, outcomes, and beneficiary data (e.g., race, ethnicity, household income level, etc.)</p> <p>City of Modesto will release funds on a reimbursement basis. Expenditures must be consistent with the approved budget, as contained in the executed agreement between the City of Modesto and the organization. Only eligible expenses will be approved for reimbursements. Costs must be necessary, reasonable, and documented.</p> <p>Agencies must submit reimbursement requests periodically (either monthly or quarterly) and must follow the City's reimbursement procedures to ensure timely reimbursements. City of Modesto staff will review all reimbursement requests, performance/beneficiary data reports, and supporting documentation for eligibility, completeness, and accuracy, prior to approving the reimbursement requests for processing. Only those items included in the approved budget, or through an approved budget amendment will be eligible for reimbursement.</p> <p>NOTE: A commitment of ESG funds does not authorize the implementation of proposal or expenditure accrual. Only an executed written agreement with the City of Modesto authorizes these activities. Any activities carried out or expenditures accrued and/or costs incurred prior to the start date of the executed agreement will not be eligible for reimbursement with ESG funds.</p>
Consistency with Consolidated Plan	<p>The following priorities, strategies, and objectives are contained in the City of Modesto Five-Year Consolidated Plan, 2010-2015. Strong proposals will closely align with the priorities, strategies, and objectives described below and in the Funding Priorities section of this RFP.</p> <p>Housing (pp. 29-30)</p> <ul style="list-style-type: none"> ○ Priority H-1: Increase the supply of affordable rental housing. ○ Priority H-2: Provide homeownership opportunities. ○ Priority H-3: Preserve existing affordable housing stock. ○ Priority H-4: Continue to support fair housing. (see About this RFA) <p>Homelessness (pp. 42-49)</p>

- Priority HM-1: Address chronic homelessness.
- Priority HM-2: Increase transitional housing.
- Priority HM-3: Provide permanent supportive housing.
- Priority HM-4: Prevent homelessness for those most at risk.
- Priority HM-5: Provide intensive and coordinated case management.

- Objective 1: Create new permanent housing beds for chronically homeless individuals.
- Objective 2: Increase percentage of homeless persons staying in permanent housing over 6 months.
- Objective 3: Increase percentage of homeless persons moving from transitional housing to permanent housing.
- Objective 4: Increase percentage of persons employed at program exit.
- Objective 5: Decrease the number of homeless households with children.

Community Development (pp. 54-56)

- Priority CD-1: Support neighborhood revitalization efforts (Airport Neighborhood)
- Priority CD-2: Promote economic development activities.
- Priority CD-3: Provide public services for residents.
 - Strategy CD-3A: Use CDBG funds to provide supportive services that allow for independent living by populations with special needs.
 - Strategy CD-3B: Provide CDBG and ESG funds to combine supportive services with housing programs for homeless persons and families.
 - Strategy CD-3C: Use CDBG funding to support programs for at-risk youth.
 - Strategy CD-3D: Provide CDBG funding for child care services.

Anti-Poverty (pp. 61-62)

- Seeking to address economic development through partnerships with the non-profit and for-profit sectors to increase economic opportunity.
- Continuing with efforts to increase the supply of affordable housing in the community. While the production and preservation of affordable housing on its own will not raise households' incomes or lift them from poverty, it does contribute to stabilizing living expenses for low-income families, so that they have more discretionary income for other living expenses.

Special Needs (pp. 63-64)

- Priority SN-1: Provide housing and supportive services for elderly residents.
- Priority SN-2: Provide housing and supportive services that allow for independent living by populations with special needs, including the physically disabled, developmentally disabled, persons with severe mental illness, and persons with alcohol or other drug addictions.

Evaluation Criteria

- **Statement of Need (20 points)**
 - Proposal clearly identifies need (See Funding Priorities and Consistency with Consolidated Plan sections)
 - Proposal is designed to serve families with dependent children;

persons experiencing homelessness within 6 months of obtaining mainstream benefits; and HUD-VASH program participants.

- **Organizational Experience and Capacity (25 points)**

- Proposal summarizes the organization's background and experience and provides evidence of demonstrated ability to perform homelessness prevention and rapid re-housing components of ESG
- Proposal describes current collaborations with other organizations that deliver homelessness prevention assistance and/or homelessness assistance
- Proposal provides a brief assessment of the performance of the organization's most recent City-funded activity, if applicable
- Proposal describes other cost-reimbursement grants managed by the organization, particularly short- and medium-term rental assistance programs and the organization's financial management capacity for managing such activities.

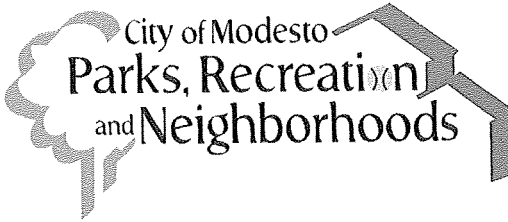
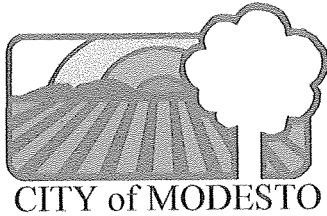
- **Design (25 points)**

- Proposal clearly presents a realistic and sound scope of work
- Proposal clearly presents how lives are improved as a result of the assistance
- Proposal identifies measurable indicators and an established methodology to quantify these indicators
- Proposal distinguishes between outputs and outcomes
- Qualifications of staff, level of staffing, and facilities are appropriate to effectively carry out proposal
- Funding requested as compared to the anticipated number of beneficiaries is reasonable
- Proposal does not duplicate other efforts
- Proposal identifies the units of service (e.g., short- and medium-term rental assistance to a specific number of individuals and families; specific housing relocation and stabilization services to a specific number of individuals and families; etc.)
- Proposal identifies the target population
- Proposal identifies the total number of unduplicated beneficiaries (persons and households)
- Proposal describes the barriers to housing stability and how the proposal design eliminates these barriers
- Proposal describes planned community outreach efforts and how individuals and families will be referred to the program
- Proposal describes both current and proposed roles and responsibilities shared in collaborative partnerships with other organization that serve these populations

- **Budget/Financial Management Capacity (25 points)**

- Project costs are necessary and reasonable
- Budget is specific, realistic, and cost-effective
- Organization has a financial management system in place that is capable of administering a cost-reimbursement grant

	<ul style="list-style-type: none"> • Fund Development (5 points) <ul style="list-style-type: none"> ○ Organization actively seeks funding from other sources, including fundraising, to help sustain activity
Appeal Process	<p>City of Modesto staff will review all proposals for eligibility (i.e., technical review) and provide notification of any eligibility issues.</p> <p>Any organization that maintains its proposal has been wrongly determined to be ineligible by not meeting the RFP criteria may submit written feedback to the Acting Deputy Director of Parks, Recreation, and Neighborhoods Department who oversees the HUD Division within three (3) days of notification. The written feedback should include specific details and/or additional documentation that address the ineligibility determination issued. This appeal process does not provide an opportunity to revise proposals, nor does it guarantee that determinations will be overturned.</p> <p>The evaluation of the proposals will consider the merits of every eligible proposal. A subcommittee (Review Subcommittee) of the Modesto City Council-appointed Citizens Housing and Community Development Committee (CH&CDC), with the technical support of City of Modesto staff, will carry out the evaluations and funding recommendations. City staff will present these CH&CDC recommendations to Modesto City Council, which will ultimately determine funding awards.</p>
DUNS Number and CoC and HMIS Participation	<p>ESG-funded organizations will be required to obtain a Data Universal Numbering System (DUNS) number, a unique numeric identifier, as it is required of any entity receiving federal funding.</p> <p>ESG-funded organizations are required to participate in the Homeless Management Information System (HMIS) of the local Continuum of Care.</p> <p>All ESG-funded organizations are required to attend the monthly Continuum meetings scheduled the third Thursday of the month from 2:30 PM to 4 PM at the Housing Authority of the County of Stanislaus (1701 Robertson Road, Modesto).</p>



**Emergency Solutions Grants (ESG)
Homelessness Prevention and Rapid Re-Housing Components**

Proposal Template and Checklist

Organization:
Proposal Title:
Funding Requested:
Total Budget:

Organization Address:

Proposal Site Address:

Primary Contact Person:
Telephone:
Email:
Fax:

- Proposal Checklist** Page Number
- Proposal**
 - Statement of Need** Page Number
 - Organizational Experience and Capacity** Page Number
 - Design** Page Number
 - Budget and Financial Management Capacity** Page Number
 - Fund Development** Page Number
 - Certifying Signature** Page Number
- Exhibit A Key Staff and Specified Roles**
- Exhibit B Governing Body Membership**
- Exhibit C Articles of Incorporation and Bylaws (State of California Secretary of State)**
- Exhibit D Determination Letters (California Franchise Tax Board and Internal Revenue Services)**
- Exhibit E City of Modesto Business License**

Statement of Need (20 points)

(See the following sections of RFP: Funding Priorities, Consistency with the Consolidated Plan, and Evaluation Criteria)

Organizational Experience and Capacity (25 points)

(See the following sections of RFP: About this RFP, Funding Priorities, Organizational Capacity, Consistency with the Consolidated Plan, and Evaluation Criteria)

Design (25 points)

(See the following sections of RFP: Funding Priorities, Minimum Program Eligibility Requirements, Consistency with the Consolidated Plan, and Evaluation Criteria)

Budget and Financial Management Capacity (25 points)

(See the following sections of RFP: Funding Priorities, Term of Award, Match Requirement, Organizational Capacity, Reporting and Reimbursement, and Evaluation Criteria)

Also, provide a budget narrative that shows a clear rationale and justification for the funding request. The narrative should support the proposed budget against the measurable units of services to be delivered in response to the Statement of Need and Design sections of the proposal.

**Fiscal Year 2012-2013 (July 1, 2012 – June 30, 2013)
Proposal Budget**

Item	Total Project Costs	ESG Funds	Match		Match		Match	
			Amount	Source	Amount	Source	Amount	Source
Budget Line Item								
Budget Line Item								
Budget Line Item								
Budget Line Item								
Budget Line Item								
Budget Line Item								
Budget Line Item								
Budget Line Item								
Budget Line Item								
Budget Line Item								
Budget Line Item								
Budget Line Item								
Budget Line Item								
TOTAL								

Fund Development (5 points)

(See the following sections of RFP: Term of Award, Match Requirement, Organizational Capacity, and Evaluation Criteria)

Certifying Signature

The undersigned certifies that the information contained in this proposal is true and correct and the governing body of the proposing organization has duly authorized its submission. Also, the undersigned certifies that to the best of her/his knowledge and belief, the awarding of ESG funding to the proposing organization would not create a conflict of interest as described in the provided *Conflict of Interest Provisions*.

Authorized Signer's Name: _____

Authorized Signature: _____

Date: _____



CONFLICT OF INTEREST PROVISIONS
SUMMARY OF RULES FOR CITY OF MODESTO HUD SPONSORED
PROGRAMS

I. Introduction

The City of Modesto (CITY), as grantee, administers a variety of programs sponsored and funded by United States Department of Housing and Urban Development (HUD Programs) including Community Development Block Grant (CDBG) Emergency Solution Grant (ESG) Neighborhood Stabilization Program (NSP 1, NSP 2 and NSP 3), HOME Investment Partnerships Program (HOME), Community Development Block Grant Recovery (CDBG-R) and Homelessness Prevention and Rapid Re-housing Program (HPRP), (hereinafter referred to as “HUD sponsored program(s)” and/or “HUD funded program(s)”). All such programs are governed by conflict of interest rules to prevent (1) undue influence in decision making process and (2) financial gain or other benefit from the expenditure of HUD grant funds. Conflict of interest rules apply to CITY and those persons and organizations it contracts with in relation to HUD grant funds.

This memorandum seeks to provide a summary of controlling conflict of interest rules applicable to covered persons. For purposes of this summary, “covered person” includes (1) employees, agents, consultants, officers and elected and appointed officials of (a) CITY or (b) persons/organizations seeking or receiving HUD grant funds from CITY, which includes subgrantees, subrecipients, for-profit and non-profit developers, contractors and subcontractors (2) who exercise functions or responsibilities with respect to HUD sponsored programs or is in a position to participate in decision-making process or gain inside information with regard to HUD sponsored programs.

II. Purpose.

It is not possible to provide a complete explanation of conflict of interest rules. The intention of this document to provide a general summary of relevant conflict of interest rules to heighten awareness of covered persons to promote the prevention, detection and disclosure of a conflict of interest and/or potential conflict of interest.

Every attempt has been made to provide current information regarding applicable conflict of interest rules. Laws and regulations may change in the future and each covered person is obligated to review applicable rules and provisions and/or seek independent advice to insure compliance.

Each covered person has the affirmative duty to comply with the conflict of interest rule and shall immediately notify the City of Modesto in writing of the existence of the conflict of interest or potential conflict of interest.



III. Recipients or Applicants of HUD Funds From CITY.

Persons/organizations seeking or receiving HUD grant funds from CITY should carefully consider whether any of their activities may give rise to an improper conflict of interest situation. Conflict of interest situations that are not properly addressed can result in:

- (1) Loss of HUD funding to a particular program and/or CITY;
- (2) voiding of any contract funded or supported by HUD funds from CITY;
- (3) disgorging of any financial gain or other benefit received;
- (4) abstaining from participating in a decision-making capacity;
- (5) termination, resignation or loss of position;
- (6) imposition of civil and/or criminal penalties.

Persons/organizations seeking or receiving HUD grant funds from CITY should ask themselves the following questions:

* Are any of my employees or board members:

- a City employee or consultant who participates in decision-making or exercises influence over the decision-making process of CITY HUD sponsored programs as part of their position?

- a member of any committee, commission or board that will approve and/or administer expenditure of HUD grant funds or approve a sponsored project or fund recipient?

- a City Councilmember?

* Are any immediate family members or business associates of my employees or board members?

- a City employee or consultant who participates in decision-making or exercises influence over the decision-making process of CITY HUD sponsored programs as part of their position?

- a member of any committee, commission or board that will approve and/or administer expenditure of HUD grant funds or approve a sponsored project or fund recipient?

- a City Councilmember?

* Will any of my employees or board members receive a financial interest or benefit from HUD grant funds (other than employee salaries or personnel benefits)? Will any immediate family members or business associates of my employees or board members receive a financial interest or benefit from HUD grant funds (other than employee salaries or personnel benefits)?

* To my knowledge, will my program or project have a financial effect on a City official or employee who exercises HUD sponsored program -related functions, or an immediate family member or business associate of such person? For example, will any of these persons be receiving rental payments, other business income, or program services from my program? Or, for example, do any of these persons own real property near the program or project site, and is it likely that my program or project will have an effect on neighboring real property values?



If you can answer "yes" to any of these questions, it is possible that there may be a conflict of interest. You should review the rules below to determine whether an actual conflict situation is raised, and, if so, what action needs to be taken to avoid a violation of the law. You should contact City staff immediately if you suspect that there might be an issue.

Each recipient of HUD grant funds from CITY will be required in its grant contract with the City to warrant and represent, to the best of its knowledge at the time the contract is executed, that they are not aware of any improper conflict of interest circumstances as described below. Also, the contract will obligate contractors to exercise due diligence to ensure that no improper conflict situations occur during the contract. This is a continuing duty and obligates the recipient to monitor for potential conflicts for the term of the grant contract.

A number of federal, state, and City conflict of interest laws will govern activities that are funded with CDBG funds. The following are the major rules summarized here:

- * HUD conflict of interest regulations (24 CFR 570.611 and 24 CFR Part 85, Subpart 36).
- * The California Political Reform Act (Gov't. Code 87100 to 87500), and implementing regulations (2 CCR 18700 to 18703).
- * California Government Code Section 1090, et seq.
- * City of Modesto Conflict Disclosure Ordinance (Modesto Municipal Code, Title 2- Administration, Chapter 10 – Conflict Disclosures, Sections 2-10.01 – 2-10.03)

Excerpts from some of these laws and others are included as an attachment to this summary.

IV. City Officials, Their Family or Business Partners Benefiting from HUD Sponsored Projects.

HUD Rule. The HUD conflict of interest rule prohibits any "covered person" associated with the CITY (as defined below) from obtaining a financial interest or benefit from a HUD assisted activity or contract, or the proceeds under any such contract, during that covered person's tenure with the CITY and for one year thereafter. A "covered person" is defined by HUD as any employee, agent, consultant, officer, or elected or appointed official of the City who, with respect to HUD-funded activities under the contract: (a) exercises or has exercised any functions or responsibilities; or, (b) is in a position to participate in a decision-making process; or, (c) is in a position to gain inside information. City staff members or consultants who exercise the above roles or function with respect to the HUD funded activity are considered "covered persons." For purposes of the CITY's HUD funded programs, a "covered person" specifically includes any member of the Modesto City Council, or any member of the City of Modesto Citizens Housing and Community Development Committee (CH&CDC) or any equivalent board, committee or commission of the City of Modesto that reviews and makes recommendations on the funding for the contract, whether or not that Councilmember or director actually participated in the review or recommendation. "Covered person" may also include members of other City boards and commissions, if that board or commission has exercised functions or decision-making with respect to a HUD funded activity.

Under the City's Guidelines, "financial interests or benefits" include, but are not limited to, salaries, consultant fees, commissions, gifts, sales income, rental payments, investment income, or other business income. Program services that may have monetary value to the recipient are also considered a "financial benefit." Thus, for example, a City employee who prepares a contract for expenditure of



HUD grant funds or a City official who sits on a City board that reviews a HUD sponsored funding proposal may not receive rent payments or other income from the contractor while that person remains with the City and for one year after that person leaves the City.

The HUD rule further prohibits anyone with "family or business ties" to the covered public official from receiving a financial interest or benefit. The City's Guidelines define "family ties" to include a spouse, brother, sister, parent or child of the public official, and define "business ties" to mean a general partner or joint venture of a public official.

State Law. State law may prohibit a CITY board member or City employee who has or had a financial interest in a HUD sponsored contract or program activity from participating in the City's decision to fund the program or project.

Financial benefits covered by state law could include indirect effects such as the spillover effects of a HUD sponsored program or project on the value of real property owned by City official that is located near the program or project site. This could be a particular concern for projects or programs that involve the use of HUD grant funds to make significant capital improvements to real property, such as projects involving new construction or substantial rehabilitation of housing or commercial property. This normally affects property owned by a City official within 500 feet of a HUD sponsored project. For property leased by a City official, look to whether the project will either (1) change the City official's use or enjoyment of the leased property, or (2) the project will increase or decrease the official's annual rent by 5%.

Another state law requires that any public official of the City who is an employee or a member of the board of directors of a person or organization receiving HUD grant funds from CITY, even a non-compensated director, should publicly recuse him/herself from participating in any discussions relating to the HUD funded grant-making process. Failure of the person to recuse him/herself before the discussions begin may disqualify the organization's application for funds.

For example, if one of your employees or board members is also a director of a Community Development District Board, she should recuse herself from any of the CDBG board's consideration of CDBG grants. If she does not and the CDBG board awards your organization a grant, your organization will be disqualified from receiving the grant.

V. Interests of persons associated with the contractor.

The HUD rule also addresses financial interests that are held by certain persons associated with a RECIPIENT OF HUD GRANT FUNDS FROM CITY. The HUD rule prohibits any "covered person" associated with the RECIPIENT from obtaining a financial interest or benefit (with the exception of the use of HUD funds to pay salaries and other related administrative and personnel costs) from a HUD assisted activity or contract, or the proceeds under any such contract, during that covered person's tenure with the contractor and for one year thereafter. A "covered person" is defined by HUD as any employee, agent, consultant, officer, or elected or appointed official of the contractor who, with respect to HUD-funded activities under the contract: (a) exercises or has exercised any functions or responsibilities; or, (b) is in a position to participate in a decision-making process; or, (c) is in a position to gain inside information. This rule extends to those with whom the covered person has "family or business ties" (as defined above). This rule would, for example, prohibit certain employees



or directors of a RECIPIENT OF HUD FUNDS FROM CITY from using HUD funds to pay for rent on property owned by that employee or director, as well as family and business associates of that person.

HUD funds/ proceeds should also not be used in any self-dealing transaction within the meaning of the California Corporations Code involving a material financial interest of a director of the contractor, unless such a transaction is expressly permitted or considered valid under the Corporations Code.

VI. Remedies and Sanctions.

City of Modesto HUD grant contracts provide that if a RECIPIENT OF HUD FUNDS FROM CITY fails to make a good faith effort to avoid an improper conflict of interest situation or is responsible for the improper conflict situation, the City may (1) suspend payments of HUD grant funds, (2) terminate the contract, (3) require reimbursement by the recipient to the City or to HUD of any amounts already disbursed, and/or (4) bar future HUD funding of the recipient by the City. In addition, the City may suspend payments or terminate the contract in the event HUD suspends or terminates its grant to the City for conflict of interest reasons, or in the event the City reasonably determines that an improper conflict of interest situation may arise from payments under the contract. This could happen whether or not the recipient is responsible for the conflict of interest situation.

A number of penalties may be imposed or remedies, cures, waivers and exceptions may be pursued in the event an actual conflict of interest exists. Early disclosure of an actual conflict of interest or potential conflict of interest is critical and may influence the penalty imposed and/or remedy sought. The ultimate disposition depends largely on the nature, extent and severity of the conflict. The evaluation of an actual conflict of interest is fact sensitive and each case requires examination on the merits.

VII. Guidance.

If a conflict of interest is identified or suspected, covered person(s) shall immediately provide written notification to City of Modesto, Parks, Recreation and Neighborhoods Department. At minimum the written notification shall include documentation of the following:

- Disclosure of the identity of the covered person(s) involved,
- when and how it was discovered,
- the nature of the conflict of interest,
- the financial interest or benefit derived or to be derived from the City of Modesto HUD sponsored program or funding.

The covered person(s) shall cooperate fully with City of Modesto staff and promptly provide any additional information requested by City of Modesto to evaluate the conflict of interest.

The City of Modesto will evaluate the circumstances of the conflict of interest and notify HUD of its findings, and if applicable, a request that HUD grant an exception to the conflict of interest regulations. The factors to be considered by HUD in acting upon a request for an exception are outlined on page 7 of this Summary.





**ATTACHMENT TO SUMMARY OF CONFLICT OF INTEREST RULES
FOR CITY OF MODESTO HUD SPONSORED PROGRAMS
EXCERPTS FROM RELEVANT LAWS**

All third-party agreements funded under The City of Modesto Community Development Block Grant (CDBG) Program, Emergency Solutions Grant (ESG) Program, Community Development Block Grant Recovery (CDBG-R), Homelessness Prevention and Rapid Re-housing Program (HPRP), the HOME Investment Partnerships Program (HOME), and Neighborhood Stabilization Programs (NSP1, NSP2 and NSP3) and Homelessness Prevention and Rapid Re-Housing Program (HPRP), (hereinafter referred to as "HUD sponsored program(s)" and/or "HUD funded program(s)"), are governed by the conflict of interest provisions of the United States Department of Housing and Urban Development (HUD), the United States Office of Management and Budget (OMB), the State of California, and the City of Modesto

1. HUD PROVISION

The primary HUD regulations that may have application to a City of Modesto HUD sponsored program are found in the Title 24 of the Code of Federal Regulations, Housing and Urban Development and in particular, 24 CFR 84.44, 24 CFR 85.36, 24 CFR 570.489 (h) and 24 CFR 570.611.

The conflict of interest provisions of the Community Development Block Grant (CDBG) Regulations (24 CFR 570.611) reads in substance as follows:

(b) *Conflicts prohibited.* The general rule is that no persons described in paragraph (c) of this section who exercise or have exercised any functions or responsibilities with respect to CDBG activities assisted under this part, or who are in the position to participate in a decision making process or gain inside information with regard to such activities, may obtain a financial interest or benefit from a CDBG-assisted activity, or have a financial interest in any contract, subcontract, or agreement with respect to a CDBG-assisted activity, or with respect to the proceeds of the CDBG-assisted activity, either for themselves or those with whom they have business or immediate family ties, during their tenure or for one year thereafter.

(c) *Persons covered.* The conflict of interest provisions of paragraph (b) of this section apply to any Person who is an employee, agent, consultant, officer, or elected official or appointed official of the Recipient, or of any designated public agencies, or of subrecipients that are receiving funds under This part.

The conflict of interest provision stated appears and is part of the Agreement for Community Development and or Emergency Solutions Grant Services, CDBG-R, HPRP, NSP1, NSP2, NSP3, and HOME Program Agreements between the City of Modesto and community development corporations. In addition, the City has also given assurance that it, "... shall incorporate or cause to be incorporated, in all such contracts or subcontracts a provision prohibiting such interest pursuant to the purposes of this agreement."

This provision would preclude persons who are employed by the City of Modesto or who are officers or public officials of the City and who exercise functions or responsibilities with respect to the CDBG, ESG, CDBG-R, HPRP, NSP1, NSP2, NSP3 and HOME programs from deriving any financial benefit from contracts or subcontracts funded through the HUD sponsored programs during their office or employment and for one year thereafter.



Members of The Citizens Housing and Community Development Committee (CH&CDC) are public officials within this definition since they are an integral and officially recognized component in the decision-making processes of the HUD sponsored programs. As a component of the citizen participation process, the Mayor receives input from the CH&CDC on the City's Block Grant Program.

If members of the CH&CDC were to receive compensation through a HUD sponsored programs contract or subcontract they would be in conflict of interest. They would have to divest themselves of that financial interest and if they desired to receive a financial interest from a HUD sponsored programs -funded contract or subcontract in the future, they would have to have been off the CH&CDC for at least one year before being eligible to receive such a HUD sponsored programs -funded financial interest. If city employees who exercised any functions or responsibilities with respect to the CDBG program were to receive compensation through a HUD sponsored programs -funded contract or subcontract they would be in conflict of interest. They would have to divest themselves of that financial interest and, if they desired to receive a financial interest from a HUD sponsored programs -funded contract in the future, they would have to have been separated from their City job for at least one year prior to receiving such an interest.

The provisions set forth in 24 CFR 570.611 (d) provide for HUD consideration of waivers to its conflict of interest provisions on a case-by-case basis. Full public disclosure of the conflict is required together with an opinion by the City Attorney that the financial interest for which the exception is sought would not violate state or local law. HUD would then make a determination on whether to grant the requested waiver taking the following factors into consideration:

1. Whether the exception would provide a significant cost benefit or an essential degree of expertise to the program or project which would otherwise not be available;
2. Whether an opportunity was provided for open competitive bidding or negotiation;
3. Whether the person affected is a member of a group or class of low or moderate income persons intended to be the beneficiaries of the assisted activity, and the exception will permit such person to receive generally the same interests or benefits as are being made available or provided to the group or class;
4. Whether the affected person has withdrawn from his or her functions or responsibilities, or the decision-making process with respect to the specific assisted activity in questions;
5. Whether the interest or benefit was present before the affected person was an employee, agent, consultant, officer or official covered by the conflict of interest provisions;
6. Whether undue hardship will result either to the recipient or the person affected when weighed against the public interest served by avoiding the prohibited conflict; and
7. Any other relevant considerations.

2. **OMB CIRCULAR A-102 PROVISION**

The OMB conflict of interest provision set forth in Circular A-102, "Uniform Requirements for Assistance to State and Local Governments," Attachment 0, "Procurement Standards," is applicable to, "... *the procurement of supplies, equipment, construction and services for Federal assistance programs.*" Paragraph 7, Code of Conduct prohibits the City's employees, officers and agents from participating in the selection or in the award or administration of a contract supported by Federal funds if a conflict of interest, real or apparent, would-be involved. A conflict arises when

- a. The employee, officer or agent
- b. Any member of his immediate family;
- c. His or her partner, or
- d. An organization which employs, or is about to employ, any of the above, has a financial or other interest in the firm selected for award.

This provision is less stringent than the HUD conflict of interest provision, and would simply require that the affected individual disclose his or her financial interest and abstain from participating in discussions or actions regarding the selection, award or administration of a contract.



3. STATE OF CALIFORNIA PROVISION

The State's conflict of interest provisions are contained in the Government Code. Section 87100 of that code prohibits a public official from using his/her official position to influence a decision in which he or she knows or has reason to know he or she has a financial interest Government Code Section 87100 reads as follows:

No public official at any level of State or local government shall make, participate in making or in any way attempt to use his official position to influence a governmental decision in which he knows or has reason to know he has a financial interest.

Governmental Code Section 82048 defines public official as, *every member, "... officer, employee, or consultant of a State or local agency.* "CH&CDC members are public officials within this definition since they are an integral and off officially recognized component in the decision-making processes of the City's Community Development Block Grant Program.

Government Code Section 87103 provides that an official has a financial interest in a decision if it is reasonably foreseeable that the decision will have a material effect on the official or on a member of the official's immediate family on:

- (a) a business in which the official has an investment worth \$1,000 or more;
- (b) real property in which the official has an interest worth \$1,000 or more;
- (c) any source of income of \$250 or more received during the prior 12 months;
- (d) a business in which the official is a director, officer, partner, trustee, employee or has a management position;
- (e) the donor of a gift to the official of \$250 or more received during the prior 12 months.

Government Code Section 1090 prohibits City officers and employees from being financially interested in any contract made by them in their official capacity or by any body or board of which they are members.

Conflict of interest statutes in the Government Code may require a public official who has a conflict of interest due to a financial interest to (1) disclose the interest and abstain from participating in any decision-making process that would affect the interest and/or (2) resign from his/her position on the board, commission or other body of which he/she is a member. Depending on the nature, extent and severity of the violation imposition of civil and/or criminal penalties are possible.

4. CITY OF MODESTO PROVISION

The City's conflict of interest provisions, which are found in Modesto's Charter Title 2 Chapter 10, provides:

Section 2-10.01

It is the intention of the City Council to require disclosure of every individual financial interest in every agreement of any kind that requires City Council approval and in any development project that comes before the City Council by application for land use approval, so that conflicts of interest that such agreements or applications create for Councilmembers can be more readily determined.

Section 2-10.02:

In furtherance of the purposes of the California Fair Political Practices Act, and the City's "Tin Cup" ordinance, it is the intent of the City Council that the identities of all individuals with a financial interest in every agreement of any kind that requires City Council approval and in land development applications before the City Council be known to the Council and to the public. The following definitions are intended to further this purpose:

- (a) Development: Any proposed use of real property, whether existing or to be constructed, which use may require City Council approval either directly, or on appeal from the Planning Commission.



- (b) Agreement:
 - (1) Public works contracts and agreements for goods and services that require Council approval are required by Chapter 3 of Title 8 of the Modesto Municipal Code;
 - (2) Any other agreements submitted by the City Manager to the City Council for approval and any other application, request for entitlement or approval whatsoever;
 - (3) Grants of money or non-monetary city assistance to any organization, including but not limited to, non-profit corporations, or not for profit associations who seek such assistance from the City Council for any reason; and
 - (4) Franchises granted by the City Council as provided for in Article 14 of the City Charter and/or any applicable provision of the Modesto Municipal Code.
- (c) Application: Any submission of information required to be made to the City by state law, ordinance, contract or resolution for the purpose of development approval or agreement approval.
- (d) Applicant: An applicant is any person who submits an application or on whose behalf an application is submitted.
- (e) City Council: The Modesto City Council and the Modesto Redevelopment Agency.
- (f) Person: Shall mean any individual, firm, company, partnership, limited liability partnership, limited liability corporation, joint venture, association, proprietorship, club or fraternal organization, joint stock company, domestic or foreign corporation, estate, trust, business trust, receiver, trustee, trustee in bankruptcy, administrator, executor, assignee, syndicate, or any other group or combination acting as a unit whether mutual, cooperative, fraternal, non-profit or otherwise.
- (g) Financial Interest: Financial interest means ownership or control over any property, real or personal, tangible or intangible available for use by an applicant including, but not limited to, real property, cash, securities, merchandise, raw materials, finished goods, operating supplies, ordinary maintenance material and parts, accounts receivable and notes and loans receivable, prepaid expenses, collateral used as security for a loan made to the person making an application, long-lived legal rights and competitive advantages developed or acquired by an applicant including, but not limited to, redevelopment agreements as well as other intangible assets and rights. A financial interest may also be an expectation of receipt of gross revenue (before adjustments or deductions for any costs of doing business whatsoever) from a person making an application.
- (h) Gross Revenue: The term gross revenue shall include transactions in which a person making an application agrees to pay or to reduce the obligation of another.

Section 2-10.03

- (a) No application for any land use approval from the City of any type including, but not limited to, subdivision map approvals, zoning changes, general plan amendments, precise plan approvals, conditional use permits, development agreements, and any other request for approval requiring the submission of information to the City, shall be deemed complete for the purposes of any California law, or ordinance including, but not limited to, the California Permit Streamlining Act, until all persons having any financial interest in the applicant(s) have been identified by name, in particular, individual persons owning or controlling "persons" or entities with financial interests in a person submitting an application, subject to the reasonable discretion of the City's Community Development Director. No application deemed incomplete in this regard by the Community Development Director shall proceed, or be acted upon in any manner by either the Planning Commission or the City Council. To cause such an application to be acted upon by deception or otherwise shall be a violation of this Code and a misdemeanor.
- (b) No agreement shall be submitted to the City Council for approval until all persons having any financial interest in the applicant(s) have been identified by name, in particular individual persons owning or controlling "persons" or entities with financial interests in an applicant, subject to the reasonable



discretion of the City department director proposing such approval to the City Council. No application deemed incomplete in this regard by the City department director proposing such approval shall be processed or be acted upon in any manner by the City Council. To cause such an application to be acted upon by deception or otherwise shall be a violation of this Code and a misdemeanor.

- (c) In order to implement this section every City department director proposing approval of any application by the City Council shall provide every applicant with an application form for the purpose of disclosing the financial interests required to be disclosed by this section. Each applicant must verify in writing, under penalty of perjury, that the financial interest information provided in such application form is true, correct and complete.
- (d) Each applicant shall have a continuing obligation to maintain the truth, accuracy and completeness of the financial interest information provided on the application in accordance with this section until after the final consideration by the City Council of any matter arising out of or relating to the application.
- (e) In the event that the City Council finds that an applicant did not exert due diligence and good faith in its maintenance of true, accurate and complete financial interest information in accordance with the requirements of this section, then, and in that event, the application shall be deemed to have been, and treated for all purposes as if it had been, incomplete from the first day it was filed through the date of the finding.
- (f) Nothing in this section is intended to imply any obligation on the part of City employees, consultants, volunteers or elected officials to investigate research or otherwise verify the content of any application.
- (g) This chapter shall not apply to persons having an aggregate economic interest in an applicant less than two thousand dollars (\$2,000.00) at all times prior to final Council determination of the application.
- (h) This chapter shall not apply to (1) business entities listed, or meeting the financial criteria for listing on the New York or the Pacific Stock exchanges unless it is reasonably foreseeable that a City Council decision will increase or decrease that entity's gross revenues or expenses by one million dollars (\$1,000,000) or more, and (2) business entities granted a waiver by a vote of two-thirds (2/3) of the City Council, sitting in public session.
- (i) Each Councilmember and the Mayor shall, at least once annually, in conjunction with their State Fair Political Practices Act disclosure requirements, file with the City Clerk a report setting forth the names and addresses of persons (associates) having a financial interest, as defined herein, in any property, contract, application, expectation of receipt of gross revenue or for profit enterprise or venture, no matter how organized, in which the reporting member has a financial interest. It shall be the further obligation of each member to file with the City Clerk amendments to their annual reports on a quarterly basis to ensure, in so far as possible, that the public is aware of matters coming before the Council in which a Councilmember associate has a financial interest.

(Added by Ord. 3269-C.S., § 1, amended by Ord. 3276-C.S., § 1 effective 1-9-03)



ACKNOWLEDGEMENT AND CERTIFICATION

I have read the foregoing Conflict of Interest Provisions Summary of Rules For City of Modesto HUD Sponsored Programs together with the Attachment To Summary of Conflict of Interest Rules For City of Modesto HUD Sponsored Programs Excerpts From Relevant Laws, and hereby certify that I and or the entity/organization identified below has/have and will continue to comply with all applicable conflict of interest laws, rules and regulations including the obligation to immediately notify the City of Modesto, **in writing**, of the existence or potential conflict of interest in relation to a City of Modesto HUD sponsored program.

DATE: _____

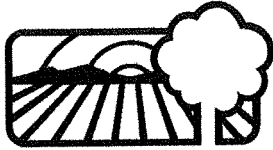
SIGNED: _____

PRINT NAME: _____

TITLE/POSITION: _____

ENTITY/ORGANIZATION:





**CITY OF MODESTO
COUNCIL AGENDA REPORT**

DATE OF MEETING:

May 8, 2012

Date: April 17, 2012

TO: Mayor & City Council

THROUGH: Greg Nyhoff, City Manager

FROM: Julie G. Hannon, Director of Parks, Recreation and Neighborhoods Department

SUBJECT: 2012-2013 HUD Draft Annual Action Plan including Public Service and Emergency Solutions Grants

CONTACT: Mary Otten, Acting Deputy Director, Parks, Recreation and Neighborhoods Department motten@modestogov.com (209) 571-5863

DESCRIPTION:

Consider approving the City of Modesto's Draft Annual Action Plan (AAP) for Fiscal Year (FY) 2012-13, which includes recommended allocations for Public Service and Emergency Solutions grants, and authorize submission of the AAP to the U.S. Department of Housing and Urban Development (HUD) for use of the funds identified in the Plan. (Funding Sources: Community Development Block Grants (CDBG), HOME Investment Partnerships Program (HOME), and Emergency Solutions Grants (ESG))

FISCAL IMPACT:

Approval of the draft AAP allows the City of Modesto to receive CDBG, HOME, and ESG entitlement funding from the HUD for FY 2012-13. The AAP is due to HUD on May 15, 2012. The estimated amount of funding from these sources for FY 2012-13 is \$2.7 million which is almost thirty two percent (32%) less than what was received for FY 2011-12. The estimated allocations are as follows:

- | | |
|--|---------------|
| ▪ Community Development Block Grant (CDBG) | \$1.7 million |
| ▪ Emergency Solutions Grants (ESG) | \$228,321 |
| ▪ HOME Investment Partnerships (HOME) | \$671,157 |

There is no impact to the General Fund as these funds are received from HUD for activities specified within the AAP.

BACKGROUND:

As a HUD entitlement community, the City of Modesto is required to develop an AAP that describes proposed activities funded with CDBG, HOME, and ESG funds. The City may allocate up to fifteen percent (15%) of available CDBG funds to CDBG Public Services grants that are awarded to eligible organizations that serve low- and moderate-income residents with

assistance that furthers the City's priorities as described in its Fiscal Years 2010-2015 Consolidated Plan. The City awards ESG grants to eligible organizations that provide assistance to individuals and families experiencing homelessness and those at risk of experiencing homelessness. HOME grants in partnership with local nonprofit groups to fund a wide range of activities that build, buy, and/or rehabilitate affordable housing for rent or homeownership and provide direct rental assistance to low-income people

Beginning in FY 2011-12, Council approved a two-year funding cycle for CDBG Public Services and ESG grants, which are competitively awarded through a request for proposals (RFP) process. The Council-appointed Citizens' Housing & Community Development Committee (CH&CDC) reviews these proposals and makes funding recommendations. The grant amounts of the CDBG Public Services and ESG funding recommendations have been adjusted for the second year of this two-year funding cycle to reflect the available funding for FY 2012-13.

New federal regulations for the ESG program released in late 2011 changed the program focus from emergency shelter and transitional housing to permanent supportive housing for individuals and families experiencing homelessness and those at risk of experiencing homelessness. The City carried out a separate RFP as a result of new ESG requirements, a second ESG allocation announced in the middle of the current fiscal year, and increased ESG funding in the next fiscal year. This activity (Children's Crisis Center of Stanislaus County's Homelessness Prevention and Rapid Re-Housing Project) is included in the CDBG Public Services and ESG grants for FY 2012-13.

COMMITTEE RECOMMENDATION:

The review sub-committee of the CH&CDC met on April 17, 2012 to review the ESG proposal. Funding recommendations were reviewed and approved by the CH&CDC on April 18, 2012, as was the draft 2012-2013 AAP.

STRATEGIC PLAN COMMITMENT:

Strategic Commitment: Provide a safe and inviting community in which to live, work and visit.

Department Initiative: Utilize State and Federal funding to improve, rehabilitate and diversify Modesto neighborhoods

RECOMMENDED COUNCIL ACTION:

Resolution approving the City of Modesto Fiscal Year 2012-2013 U.S. Department of Housing and Urban Development Annual Action Plan for the use of the Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), and Emergency Solutions Grant (ESG) funds; authorizing submission of an application to the U.S. Department of Housing and Urban Development pertaining to the Fiscal Year 2012-2013 Annual Action Plan; and authorizing the City Manager, or his designee, to sign the required certifications and documents for submittal to the United States Department of Housing and Urban Development.

Resolution approving the allocation of funding in the amount of \$258,880 in Community Development Block Grant Public Service Grants (CDBG) and \$228,231 in Emergency Solutions Grants (ESG); and authorizing the City Manager, or his designee, to execute the Agreements.

Resolution amending the FY 2012-2013 annual operating and capital improvement budgets to

reflect the approved allocations in the Draft Fiscal Year Annual Action Plan, and authorizing the Finance Director, or her designee, to take the necessary steps to implement the provisions of this resolution.

Approved by:

Julie G. Hannon, Director

Greg Nyhoff, City Manager

Attachments:

1. Resolutions (3)
2. Draft Annual Action Plan with Attachments

**MODESTO CITY COUNCIL
RESOLUTION NO. 2012-170**

RESOLUTION APPROVING THE CITY OF MODESTO FISCAL YEAR 2012-2013 U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT ANNUAL ACTION PLAN FOR THE USE OF THE COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG), HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME), AND EMERGENCY SOLUTIONS GRANT (ESG) FUNDS; AUTHORIZING SUBMISSION OF AN APPLICATION TO THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT PERTAINING TO THE FISCAL YEAR 2012-2013 ANNUAL ACTION PLAN; AND AUTHORIZING THE CITY MANAGER, OR HIS DESIGNEE, TO SIGN THE REQUIRED CERTIFICATIONS AND DOCUMENTS FOR SUBMITTAL TO THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

WHEREAS, as an entitlement community for Housing and Urban Development, the City of Modesto is required to develop an Annual Action Plan (AAP) that describes anticipated uses of Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG) and HOME Investment Partnerships Program (HOME) funds, and

WHEREAS, the City receives these grants annually because of its population size, number of households living below the poverty line, and the number of housing units that are considered substandard, and

WHEREAS, submittal of an AAP is required to receive the City's entitlement grants from the U.S. Department of Housing and Urban Development (HUD), and

WHEREAS, the Citizens' Housing and Community Development Committee recommended support of this item at its April 18, 2012 meeting, and

WHEREAS, preparation of the AAP requires a citizens participation element, including a 30-day public comment period, and

WHEREAS, on April 3, 2012, a public notice was submitted and concluded with a public hearing on May 8, 2012, in the Tenth Street Place Chambers located at 1010 Tenth Street, Modesto, California,

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Modesto that it hereby approves the City of Modesto Fiscal Year 2012-2013 U.S. Department of Housing and Urban Development Annual Action Plan for the use of \$1,703,833 in Community Development Block Grant (CDBG) funds, \$671,157 in HOME Investment Partnerships Program (HOME) funds, and \$228,321 in Emergency Solutions Grant (ESG) funds, a copy of which is on file in the Office of the City Clerk.

BE IT FURTHER RESOLVED that City staff is hereby authorized to submit an application to the U.S. Department of Housing and Urban Development pertaining to the Fiscal Year 2012-2013 Annual Action Plan.

BE IT FURTHER RESOLVED that the City Manager, or his designee, is authorized to execute the required certifications and documents for submittal to the U.S. Department of Housing and Urban Development.

The foregoing resolution was introduced at a regular meeting of the Council of the City of Modesto held on the 8th day of May, 2012, by Councilmember Cogdill, who moved its adoption, which motion being duly seconded by Councilmember Geer, was upon roll call carried and the resolution adopted by the following vote:

AYES: Councilmembers: Burnside, Cogdill, Geer, Gunderson, Lopez, Muratore, Mayor Marsh

NOES: Councilmembers: None

ABSENT: Councilmembers: None

ATTEST:


STEPHANIE LOPEZ, City Clerk

(SEAL)

APPROVED AS TO FORM:

By:


SUSANA ALCALA WOOD, City Attorney

**MODESTO CITY COUNCIL
RESOLUTION NO. 2012-171**

RESOLUTION APPROVING THE ALLOCATION OF FUNDING IN THE AMOUNT OF \$256,880 IN COMMUNITY DEVELOPMENT BLOCK GRANT PUBLIC SERVICE GRANTS AND \$228,321 EMERGENCY SOLUTIONS GRANTS; AND AUTHORIZING THE CITY MANAGER, OR HIS DESIGNEE, TO EXECUTE THE AGREEMENTS

WHEREAS, as an entitlement community for Housing and Urban Development, the City of Modesto is required to develop an Annual Action Plan (AAP) that describes anticipated uses of Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG) and HOME Investment Partnerships Program (HOME) funds, and

WHEREAS, the City is obligated to allocate up to fifteen percent (15%) of the CDBG entitlement funds (plus fifteen percent (15%) of program income received during the prior year), to provide a wide range of public service activities to benefit low and moderate-income residents, and

WHEREAS, beginning in FY 2011-12, the City Council approved a two-year funding cycle for CDBG Public Services and ESG grants, which are competitively awarded through a request for proposals (RFP) process, and

WHEREAS, new federal regulations for the ESG program released in late 2011 changed the program focus from emergency shelter and transitional housing to permanent supportive housing for individuals and families experiencing homelessness, and those at risk of experiencing homelessness, and

WHEREAS, a second ESG allocation announced in the middle of the FY 2011-12 increased ESG funding in FY 2012-2013, and

WHEREAS, the City carried out a separate RFP as a result of new ESG requirements, and

WHEREAS, the Council-appointed Citizens' Housing & Community Development Committee (CH&CDC) reviews these proposals and makes funding recommendations, and

WHEREAS, the grant amounts of the CDBG Public Services and ESG funding recommendations have been adjusted for the second year of this two-year funding cycle to reflect the available funding for FY 2012-13, and

WHEREAS, these allocations are detailed in the City's AAP, and

WHEREAS, preparation of the AAP requires a citizens participation element, including a 30-day public comment period, and

WHEREAS, the 30-day public comment period began on April 3, 2012, and concluded with a public hearing scheduled for the Council meeting of May 8, 2012,

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Modesto that it hereby approves the allocation of funding in the amount of \$256,880 in Community Development Block Grant (CDBG) Public Services, \$228,321 in Emergency Solutions Grant (ESG) along with any carryover over from Fiscal Year 2011-12 for Fiscal Year 2012-13.

BE IT FURTHER RESOLVED by the Council of the City of Modesto that it approves a continued funding commitment to the Public Service and Emergency Solutions grant recipients through Fiscal Year 2012-13.

BE IT FURTHER RESOLVED by the Council of the City of Modesto that it hereby authorizes the City Manager, or his designee, to execute the agreements.

The foregoing resolution was introduced at a regular meeting of the Council of the City of Modesto held on the 8th day of May, 2012, by Councilmember Cogdill, who moved its adoption, which motion being duly seconded by Councilmember Geer, was upon roll call carried and the resolution adopted by the following vote:

AYES: Councilmembers: Burnside, Cogdill, Geer, Gunderson, Lopez, Muratore, Mayor Marsh

NOES: Councilmembers: None

ABSENT: Councilmembers: None

ATTEST: 
STEPHANIE LOPEZ, City Clerk

(SEAL)

APPROVED AS TO FORM:

By: 
SUSANA ALCALA WOOD, City Attorney

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction	Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED May 11, 2012	Applicant Identifier CA62292 MODESTO
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION

Legal Name: City of Modesto	Organizational Unit: Department: Parks, Recreation & Neighborhoods
Organizational DUNS: 140654166	Division: Neighborhood Services
Address: Street: 1010 Tenth Street	Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Julie
City: Modesto	Middle Name: G.
County: Stanislaus	Last Name: Hannon
State: CA	Zip Code: 95353
Country: U.S.A.	Email: jhannon@modestogov.com

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 94-6000374	Phone Number (give area code) 209-577-5417	Fax Number (give area code) 209-571-5825
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8. TYPE OF APPLICATION: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify)	7. TYPE OF APPLICANT: (See back of form for Application Types) Local Government City Other (specify)
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10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 14-231 TITLE (Name of Program): Entitlement Grant	9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Modesto, CA	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: ESG: Emergency Shelter, Homeless Prevention, Rapid-Rehousing, Essential Services

13. PROPOSED PROJECT Start Date: 07/01/2012	Ending Date: 06/30/2013	14. CONGRESSIONAL DISTRICTS OF: a. Applicant 18	b. Project 18
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15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal ESG Grant \$ 182,643 ⁰⁰	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON
b. Applicant \$ ⁰⁰	DATE:
c. State \$ ⁰⁰	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372
d. Local \$ ⁰⁰	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
e. Other 2011 2nd allocation \$ 57,698 ⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
f. Program Income \$ ⁰⁰	<input type="checkbox"/> Yes if "Yes" attach an explanation. <input checked="" type="checkbox"/> No
g. TOTAL \$ 240,341 ⁰⁰	

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative		
Prefix Mr.	First Name Greg	Middle Name
Last Name Nyhoff		Suffix
b. Title City Manager		c. Telephone Number (give area code) 209-577-404
d. Signature of Authorized Representative 		e. Date Signed 5-10-12

Previous Edition Usable
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Standard Form 424 (Rev. 9-2003)
 Prescribed by OMB Circular A-102

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

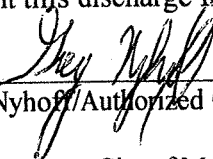
Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Greg Nyhoff/Authorized Official

5/10/12
Date

City Manager, City of Modesto
Title